



中山大學
SUN YAT-SEN UNIVERSITY

计算机学院 (软件学院)

SCHOOL OF COMPUTER SCIENCE AND ENGINEERING

Compilation Principle

编译原理

第11讲：语法分析(8)

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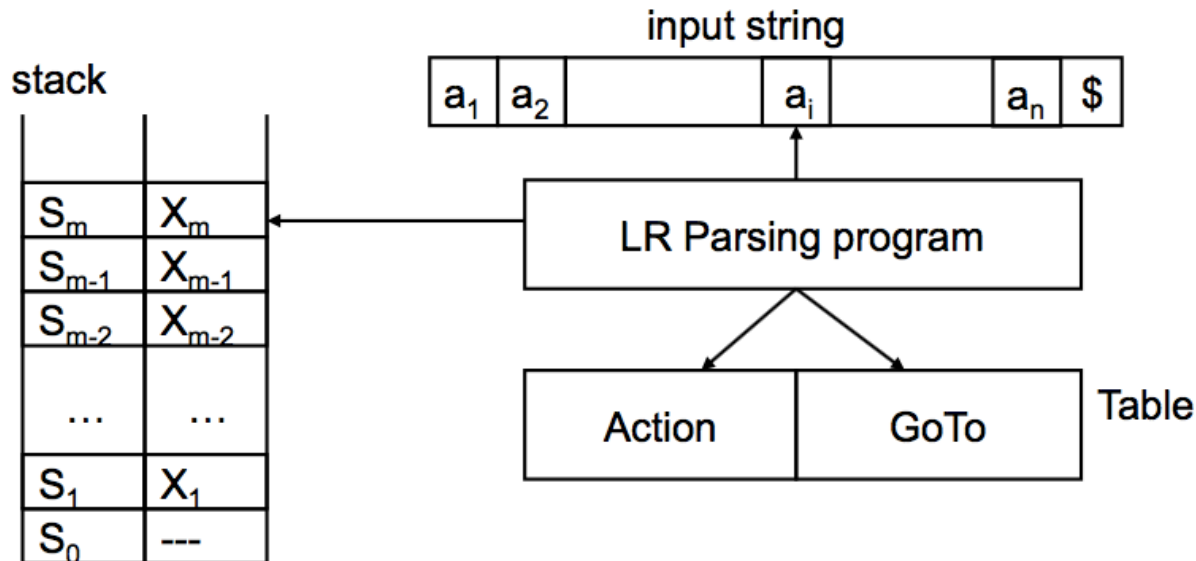
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Review Questions

- Q1: what are the operations in bottom-up parsing?
 - Shift: move a token from buffer into stack
 - Reduce: reversely apply a production (pop rhs, push lhs)
- Q2: when to reduce?
 - When there is a handle at the stack top.
- Q3: how to recognize a handle?
 - Right sentential form - phase - simple phase - leftmost simple phase
- Q4: what does LR(k) mean?
 - L: scan input from left to right
 - R: construct a rightmost derivation in reverse
 - k: number of symbols to lookahead
- Q5: what are components of LR parser?
 - Input buffer, stack, parse table, driver

LR Parser



- The stack holds a sequence of states, $S_0S_1\dots S_m$ (S_m is the top)
 - States are to track where we are in a parse
 - Each grammar symbol X_i is associated with a state s_i
- Contents of stack + input ($X_1X_2\dots X_m a_i\dots a_n$) is a right sentential form
 - If the input string is a member of the language
- Uses $[S_m, a_i]$ to index into parsing table to determine action

Possible Actions[可能动作]

- **Shift**

- Transfer the next input symbol onto the top of the stack

- **Reduce**

- If there's a rule $A \rightarrow w$, and if the contents of stack are qw for some q (q may be empty), then we can reduce the stack to qA

- **Accept**

- The special case of reduce: reducing the entire contents of stack to the start symbol with no remaining input[完全归约到开始符号]
- Last step in a successful parse: have recognized input as a valid sentence[输入串被识别为符合语法]

- **Error**

- Cannot reduce, and shifting would create a sequence on the stack that cannot eventually be reduced to the start symbol

Possible Actions (cont.)

- Grammar

$S \rightarrow E$

$E \rightarrow T \mid E + T$

$T \rightarrow \text{id} \mid (E)$

- Input: (id + id)

– $\#(\text{id} + \text{id})\$ \Rightarrow (\text{id}\#\text{id})\$ \Rightarrow (\text{T}\#\text{id})\$ \Rightarrow (\text{E}\#\text{id})\$ \Rightarrow (\text{E}+\text{id}\#)\$ \Rightarrow$
 $(\text{E}+\text{T}\#)\$ \Rightarrow (\text{E}\#)\$ \Rightarrow (\text{E})\#\$ \Rightarrow \text{T}\#\$ \Rightarrow \text{E}\#\$ \Rightarrow \text{S}\#\$$
Accept

- Input: id+)

– $\#\text{id}+)\$ \Rightarrow \text{id}\#\text{+)\$} \Rightarrow \text{T}\#\text{+)\$} \Rightarrow \text{E}\#\text{+)\$} \Rightarrow \text{E}+\#\text{)\$} \dots$
Error

Example: Parse Table

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

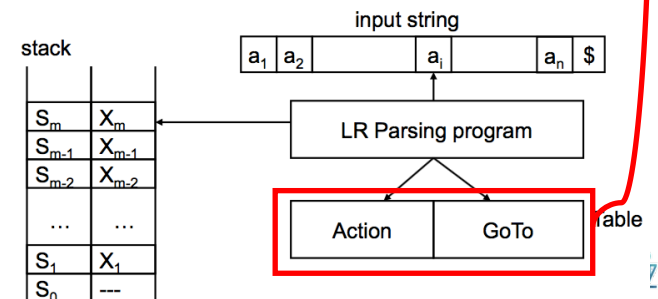
(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

- Table entry:

- si : shifts the input symbol and moves to state i (i.e., push state on stack)
- rj : reduce by production numbered j
- acc: accept
- blank: error



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0

b a b

symbol \rightarrow \$

b a b \$

Stack

7

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0

b a b

symbol \rightarrow \$

b a b \$

Stack

7

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0

b a b

symbol \rightarrow \$

a b \$

Stack

7

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0

b a b

symbol \rightarrow \$ b

a b \$

Stack

7

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0 4

b a b

symbol \rightarrow \$ b

a b \$

Stack

7

Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0 4

b a b

symbol \rightarrow \$ b

a b \$

Stack

7

Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
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0	s3	s4		1	2
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3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0 4

b a b

symbol \rightarrow \$ b

a b \$

Stack

8

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
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3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0 4

b a b

symbol \rightarrow \$ b

a b \$

Stack

8

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
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3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0 4

b a b

symbol \rightarrow \$

a b \$

Stack

8

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
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2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0

b a b

symbol \rightarrow \$

a b \$

Stack

8

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0
 symbol \rightarrow \$ B
 Stack

b a b

a b \$
 Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0
 symbol \rightarrow \$ B
 Stack

a b \$
 Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

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String: bab

State	ACTION			GOTO	
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1			acc		
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3	s3	s4			6
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6	r2	r2	r2		

B

b a b

state \rightarrow 0
 symbol \rightarrow \$ B
 Stack

a b \$
 Buffer

Example: Parse Table (cont.)

Grammar:

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String: bab

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0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0

symbol \rightarrow \$ B

a b \$

Stack

9

Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0 2
 symbol \rightarrow \$ B
 Stack

a b \$
 Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0 2
 symbol \rightarrow \$ B
 Stack

a b \$
 Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0 2
 symbol \rightarrow \$ B
 Stack

a b \$
 Buffer

Example: Parse Table (cont.)

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String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0 2

symbol \rightarrow \$ B

b \$

Stack

9

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0 2
 symbol \rightarrow \$ B a

b \$

Stack

9

Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b

a

b

state \rightarrow 0 2 3

symbol \rightarrow \$ B a

b \$

Stack

9

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0 2 3

symbol \rightarrow \$ B a

b \$

Stack

9

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b

a

b

state \rightarrow 0 2 3

symbol \rightarrow \$ B a

\$

Stack

9

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0 2 3

symbol \rightarrow \$ B a b \$

Stack 9

Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0 2 3 4

symbol \rightarrow \$ B a b \$

Stack 9

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0 2 3 4

symbol \rightarrow \$ B a b \$

Stack 10

Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0 2 3 4

symbol \rightarrow \$ B a b \$

Stack 10

Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0 2 3

symbol \rightarrow \$ B a

\$

Stack

10

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B

b a b

state \rightarrow 0 2 3
 symbol \rightarrow \$ B a B \$

Stack 10

Buffer



Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B B
 | |
 b a b

state \rightarrow 0 2 3
 symbol \rightarrow \$ B a B \$
 Stack 10 Buffer

Example: Parse Table (cont.)

Grammar:

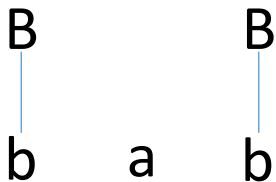
(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



state \rightarrow 0 2 3
 symbol \rightarrow \$ B a B \$

Stack 10 Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B B
 $|$ $|$
 b a b

state \rightarrow 0 2 3
 symbol \rightarrow \$ B a B \$

Stack 10

Buffer

Example: Parse Table (cont.)

Grammar:

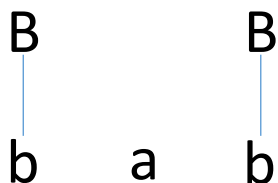
(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



state \rightarrow 0 2 3 6
 symbol \rightarrow \$ B a B \$

Stack 10

Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B B
 | |
 b a b

state \rightarrow 0 2 3 6
 symbol \rightarrow \$ B a B \$

Stack

11

Buffer

Example: Parse Table (cont.)

Grammar:

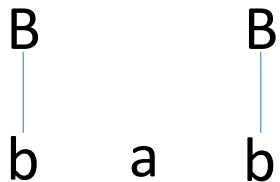
(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



state \rightarrow 0 2 3 6
 symbol \rightarrow \$ B a B \$

Stack

Buffer

Example: Parse Table (cont.)

Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

B B
 | |
 b a b

state \rightarrow 0 2

symbol \rightarrow \$ B

\$

Stack

11

Buffer



Example: Parse Table (cont.)

Grammar:

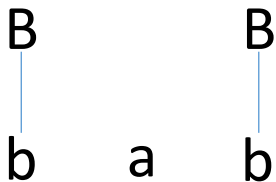
(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



state \rightarrow 0 2
 symbol \rightarrow \$ B B \$

Stack **Buffer**

Example: Parse Table (cont.)

Grammar:

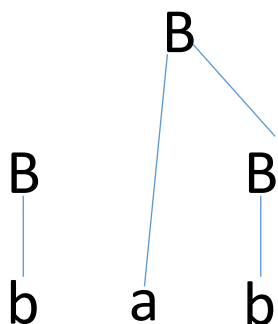
(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: **bab**

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



state \rightarrow 0 2
 symbol \rightarrow \$ B B \$

Stack Buffer

Example: Parse Table (cont.)

Grammar:

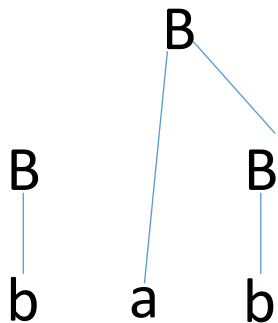
(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



state \rightarrow 0 2
 symbol \rightarrow \$ B B \$
 Stack Buffer

Example: Parse Table (cont.)

Grammar:

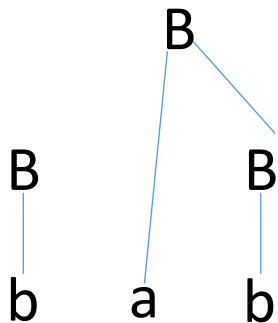
(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



state \rightarrow 0 2
 symbol \rightarrow \$ B B \$
 Stack Buffer

Example: Parse Table (cont.)

Grammar:

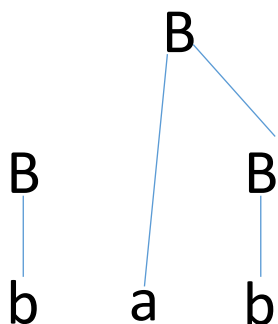
(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



state \rightarrow 0 2 5

symbol \rightarrow \$ B B

\$

Stack

12

Buffer



Example: Parse Table (cont.)

Grammar:

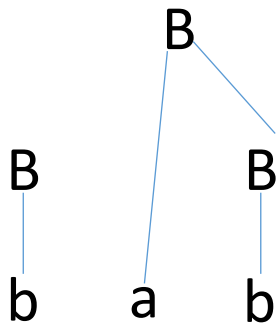
(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



state \rightarrow 0 2 5
 symbol \rightarrow \$ B B

Stack

12

Buffer



Example: Parse Table (cont.)

Grammar:

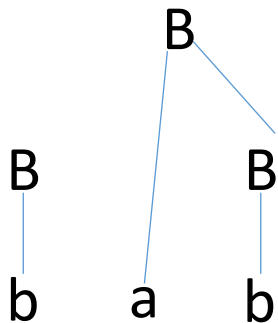
(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



state \rightarrow 0 2 5
 symbol \rightarrow \$ B B

Stack

12

Buffer

Example: Parse Table (cont.)

Grammar:

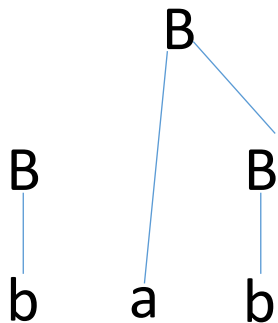
(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



state \rightarrow 0

symbol \rightarrow \$

Stack

12

Buffer

Example: Parse Table (cont.)

Grammar:

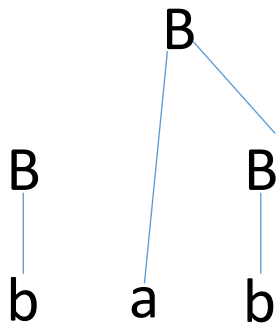
(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



state \rightarrow 0

symbol \rightarrow \$ S

\$

Stack

12

Buffer

Example: Parse Table (cont.)

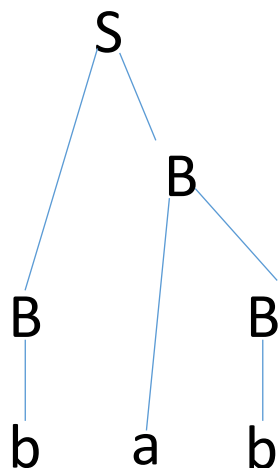
Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: **bab**



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0
 symbol \rightarrow \$ S

Stack

12

Buffer

Example: Parse Table (cont.)

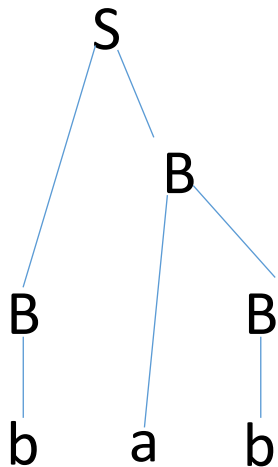
Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0

symbol \rightarrow \$ S

\$

Stack

12

Buffer

Example: Parse Table (cont.)

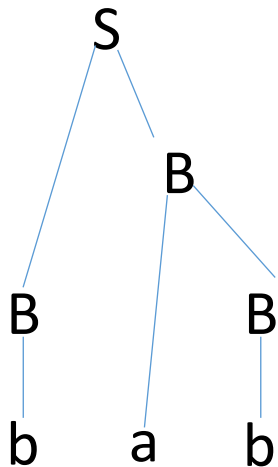
Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0

symbol \rightarrow \$ S

\$

Stack

12

Buffer

Example: Parse Table (cont.)

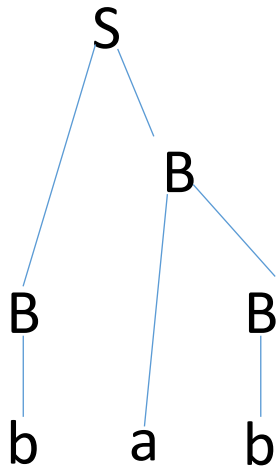
Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: **bab**



state \rightarrow 0 1

symbol \rightarrow \$ S

Stack

12

Buffer

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

Example: Parse Table (cont.)

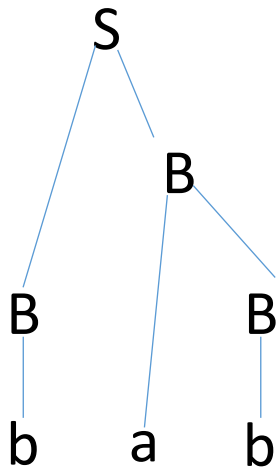
Grammar:

(1) $S \rightarrow BB$

(2) $B \rightarrow aB$

(3) $B \rightarrow b$

String: bab



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

state \rightarrow 0 1

symbol \rightarrow \$ S

Stack

12

Buffer

Parser Actions[解析动作]

Initial

S_0

$\$$

$a_1a_2\dots a_n\$$

General

$S_0S_1\dots S_m$

$\$X_1\dots X_m$

$a_ia_{i+1}\dots a_n\$$

- If $\text{ACTION}[s_m, a_i] = s_x$, then do **shift**[移进]

- Pushes a_i on stack

- a_i is removed from input

- Enters state x

- i.e., pushes state x on stack

- 自帶下一状态

$S_0S_1\dots S_mx$

$\$X_1\dots X_ma_i$

$a_{i+1}\dots a_n\$$

Parser Actions (cont.)

Initial

S_0	
$\$$	$a_1 a_2 \dots a_n \$$

General

$S_0 S_1 \dots S_m$	
$\$ X_1 \dots X_m$	$a_i a_{i+1} \dots a_n \$$

- If $\text{ACTION}[s_m, a_i] = rx$, (i.e., the x^{th} production: $A \rightarrow X_{m-(k-1)} \dots X_m$), then do **reduce**[归约]
 - Pops k symbols from stack
 - Pushes A on stack
 - No change on input
 - $\text{GOTO}[S_{m-k}, A] = y$, then
 - 需寻找下一状态

$S_0 S_1 \dots S_{m-k}$	
$\$ X_1 \dots X_{m-k} A$	$a_i a_{i+1} \dots a_n \$$



$S_0 S_1 \dots S_{m-k} Y$	
$\$ X_1 \dots X_{m-k} A$	$a_i a_{i+1} \dots a_n \$$

Parser Actions (cont.)

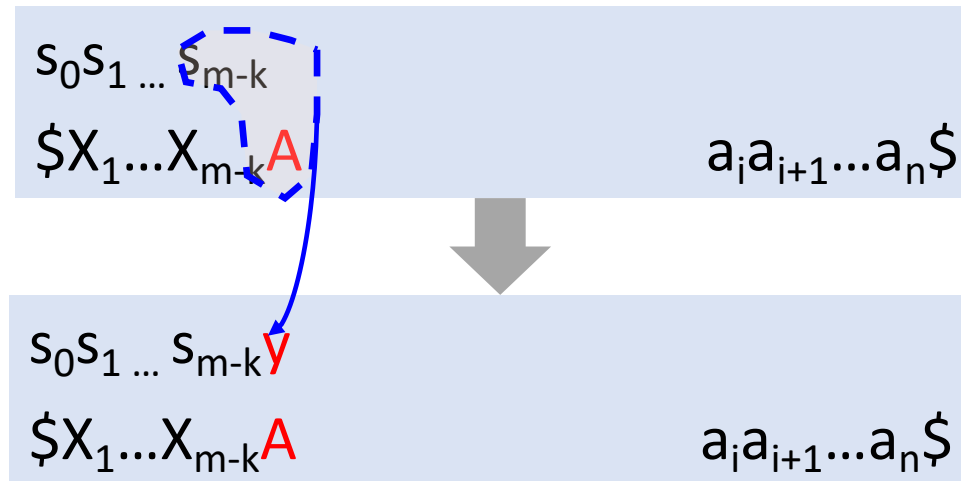
Initial

S_0	
$\$$	$a_1 a_2 \dots a_n \$$

General

$S_0 S_1 \dots S_m$	
$\$ X_1 \dots X_m$	$a_i a_{i+1} \dots a_n \$$

- If $\text{ACTION}[s_m, a_i] = rx$, (i.e., the x^{th} production: $A \rightarrow X_{m-(k-1)} \dots X_m$), then do **reduce**[归约]
 - Pops k symbols from stack
 - Pushes A on stack
 - No change on input
 - $\text{GOTO}[S_{m-k}, A] = y$, then
 - 需寻找下一状态



Parser Actions (cont.)

Initial

S_0

\$

$a_1 a_2 \dots a_n \$$

General

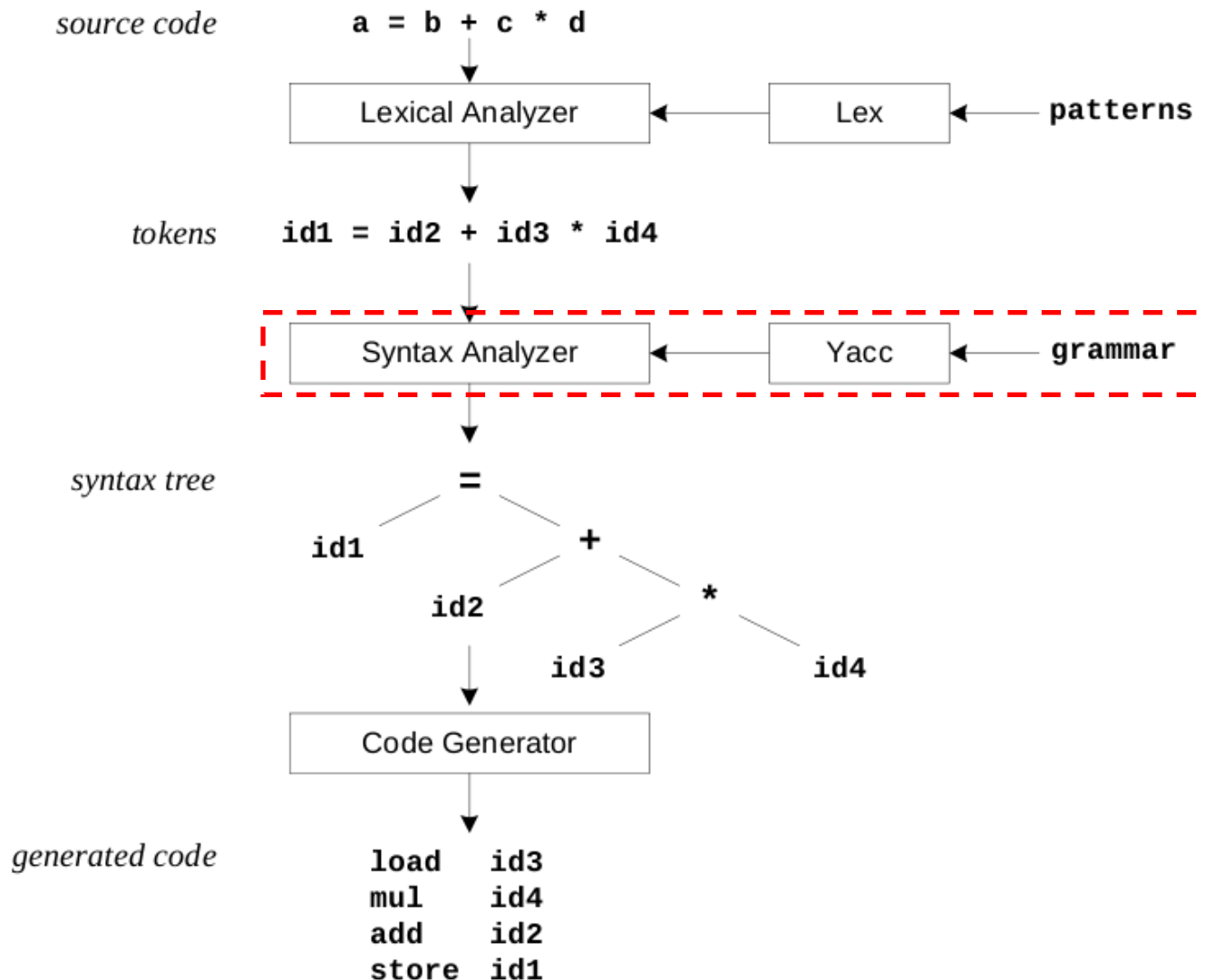
$S_0 S_1 \dots S_m$

$\$X_1 \dots X_m$

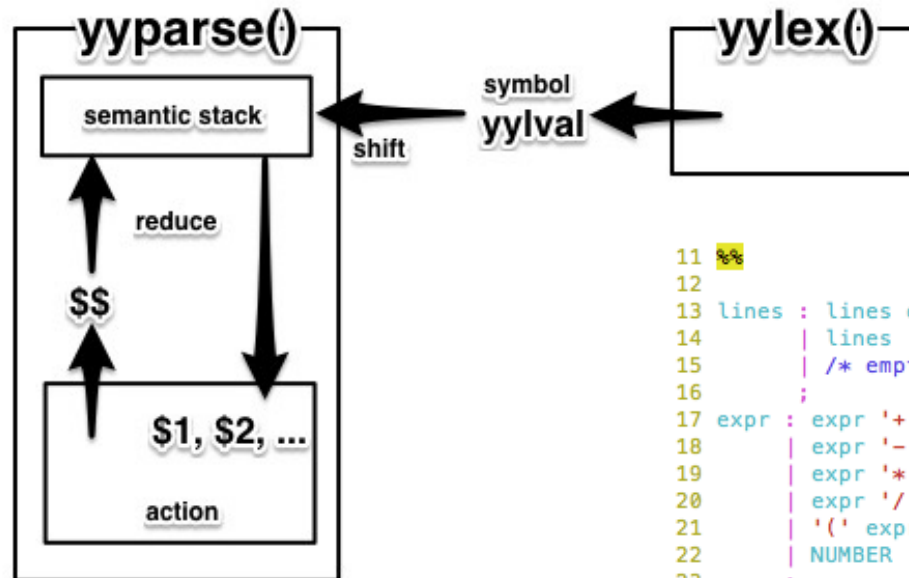
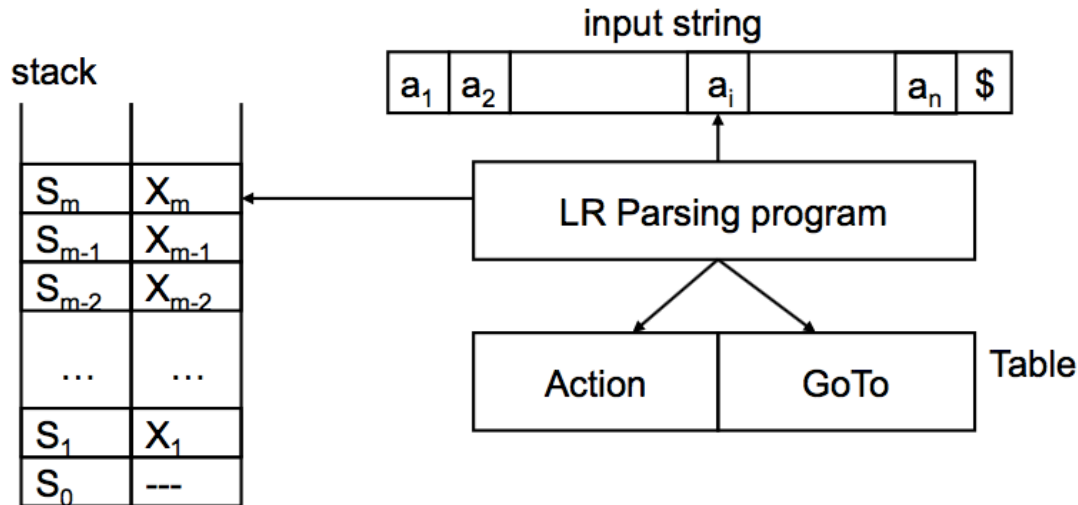
$a_i a_{i+1} \dots a_n \$$

- If $\text{ACTION}[s_m, a_i] = \text{acc}$, then parsing is **complete**[接收]
- If $\text{ACTION}[s_m, a_i] = \langle \text{empty} \rangle$, then report **error** and stop[报错]

Parser in Practice



Parser in Practice (cont.)



```
11 %%
12
13 lines : lines expr '\n' { printf("= %g\n", $2); }
14       | lines '\n'
15       | /* empty */
16       ;
17 expr  : expr '+' expr { $$ = $1 + $3; }
18       | expr '-' expr { $$ = $1 - $3; }
19       | expr '*' expr { $$ = $1 * $3; }
20       | expr '/' expr { $$ = $1 / $3; }
21       | '(' expr ')' { $$ = $2; }
22       | NUMBER
23       ;
```

LR Parsing Program[解析程序]

- **Input:** input string ω and parse table with ACTION/GOTO
- **Output:** shift-reduce steps of ω 's parsing, or error
- **Initial:** s_0 on the stack, $\omega\$$ in the input buffer

```
let  $a$  be the first symbol of  $\omega\$$ 
while (1) { /* repeat forever */
  let  $s$  be the state on top of the stack;
  if (ACTION[ $s,a$ ] = shift  $t$ ) {
    push  $a$  onto the stack; //  $a$  is token
    push  $t$  onto the stack; //  $t$  is state
    advance to next symbol in  $\omega$ ;
  } else if (ACTION[ $s,a$ ] = reduce  $A \rightarrow \beta$ ) {
    pop  $|\beta|$  symbols off the stack;
    let state  $t$  now be on top of the stack;
    push GOTO[ $t, A$ ] onto the stack;
    output the production  $A \rightarrow \beta$ ; // i.e., build the tree
  } else if (ACTION[ $s, a$ ] = accept) break; /* parsing is done */
  else call error-recovery routine; // illegal
}
```

Construct Parse Table[构建解析表]

- Construct parsing table: identify the possible states and arrange the transitions among them[状态及转换]
- **LR(0)** parsing
 - Simplest LR parsing, only considers stack to decide shift/reduce
 - Weakest, not used much in practice because of its limitations
- **SLR(1)** parsing / SLR
 - Simple LR, lookahead from FIRST/FOLLOW rules derived from LR(0)
 - Keeps table as small as LR(0)
- **LR(1)** parsing / canonical LR / LR
 - LR parser that considers next token (lookahead of 1)
 - Compared to LR(0), more complex algorithm and much bigger table
- **LALR(1)** parsing / lookahead LR / LALR
 - Lookahead LR(1): fancier lookahead analysis using the same LR(0) automaton as SLR(1)

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

State in LR Parsing[状态]

- How does a shift-reduce parser know when to shift and when to reduce?[何时移进? 何时归约?]
 - For the example, how does parser know that *int* on the top of the stack is not a handle, so the action is **shift** but **not to reduce** ($T \leftarrow int$)?
- An LR parser makes shift-reduce decisions by maintaining **states** to keep track of where we are in a parse[状态追踪]
 - States represent sets of “**items**”[‘项目’集合]

Grammar

$E \rightarrow T+E | T$

$T \rightarrow int * T | int | (E)$

String

$int * int + int$

Step	Operation
#int * int + int	Shift
int# * int + int	Shift
int * #int + int	Shift
int * int # + int	Reduce $T \rightarrow int$
int * T # + int	Reduce $T \rightarrow int * T$

Item[項目]

- An **item** is a production with a “.” somewhere on the RHS
 - Dot indicates extent of RHS already seen in the parsing process
 - Everything to the left of the dot has been shifted onto the parsing stack
 - The only item for $X \rightarrow \varepsilon$ is $X \rightarrow \cdot$
 - Items are often called “**LR(0) items**” (a.k.a., **configuration**)
- The items for $A \rightarrow XYZ$ are
 - $A \rightarrow \cdot XYZ$
 - Indicates that we hope to see a string derivable from XYZ next on the input
 - $A \rightarrow X \cdot YZ$
 - Indicates that we have just seen on the input a string derivable from X and that we hope next to see a string derivable from YZ
 - $A \rightarrow XY \cdot Z$
 - $A \rightarrow XYZ \cdot$
 - Indicates that we have seen the body XYZ and that it may be time to reduce XYZ to A

Item (cont.)

- Example:
 - Suppose we are currently in this position
 $A \rightarrow X \cdot YZ$
 - We have just recognized X and expect the upcoming input to contain a sequence derivable from YZ (say, $Y \rightarrow u|w$) [已经识别了 X, 期待YZ推导的串]
 - Y is further derivable from either u or w
 $A \rightarrow X \cdot YZ$
 $Y \rightarrow \cdot u$
 $Y \rightarrow \cdot w$
 - The above three items can be placed into a set, called as **configuration set** [配置集] of the LR parser
- Parsing tables have one **state** corresponding to each set
 - The states can be modeled as a finite automaton where we move from one state to another via transitions marked with a symbol of the CFG

Augmented Grammar[增广文法]

- We want to start with an item with a dot before the start symbol S and move to an item with a dot after S
 - Represents shifting and reducing an entire sentence of the grammar[完成了整个句子的移进归约]
 - Thus, we need S to appear on the right side of a production
 - Only one 'acc' in the table
- Modify the grammar by adding the production[修改文法]
 $S' \rightarrow \cdot S$

Grammar:

- (1) $E \rightarrow E + T$
- (2) $E \rightarrow T$
- (3) $T \rightarrow T * F$



Augmented grammar:

- (0) $E' \rightarrow E$
- (1) $E \rightarrow E + T$
- (2) $E \rightarrow T$
- (3) $T \rightarrow T * F$

Example

(0) $S' \rightarrow S$ (1) $S \rightarrow BB$ (2) $B \rightarrow aB$ (3) $B \rightarrow b$

Initial item

$S' \rightarrow \cdot S$

$S \rightarrow \cdot BB$

$B \rightarrow \cdot aB$

$S \rightarrow B \cdot B$

$B \rightarrow a \cdot B$

$B \rightarrow \cdot b$

Reduce item

$S' \rightarrow S \cdot$

$S \rightarrow BB \cdot$

$B \rightarrow aB \cdot$

$B \rightarrow b \cdot$

Accept item

- **Closure:** the action of adding equivalent items to a set
 - Example: $S' \rightarrow \cdot S$ $S \rightarrow \cdot BB$ $B \rightarrow \cdot aB$ $B \rightarrow \cdot b$
- Intuitively, $A \rightarrow \alpha \cdot B \beta$ means that we might next see a substring derivable from $B\beta$ (sub) as input. The sub will have a prefix derivable from B by applying one of the B -productions [期待意义等价]
 - Thus, we add items for all the B -productions, i.e., if $B \rightarrow \gamma$ is a production, we add $B \rightarrow \cdot \gamma$ in the closure