



中山大學
SUN YAT-SEN UNIVERSITY

计算机学院（软件学院）

SCHOOL OF COMPUTER SCIENCE AND ENGINEERING

Compilation Principle 编译原理

第14讲：语法分析(11)

张献伟

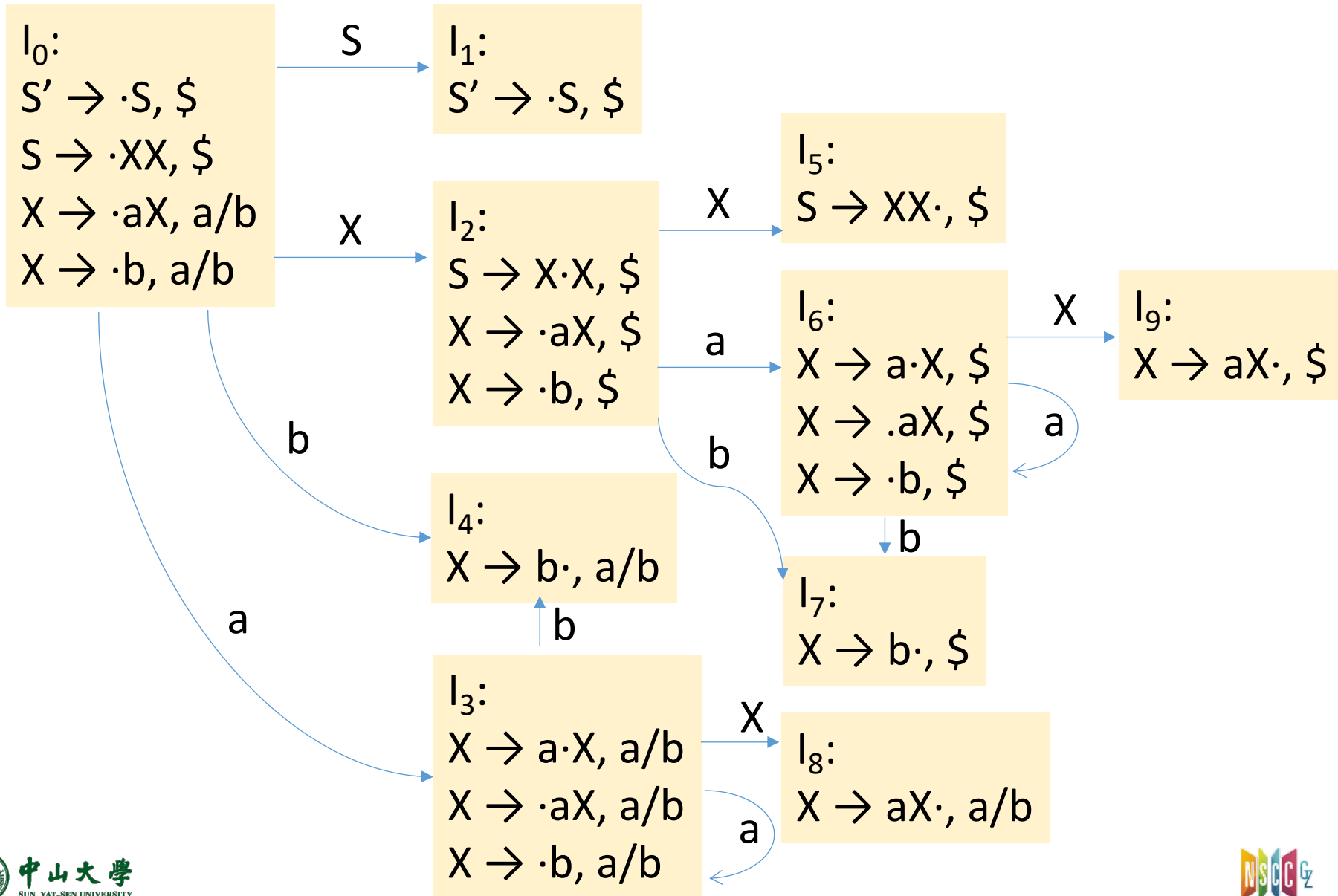
xianweiz.github.io

DCS290, 4/11/2023

Review Questions

- Why LR(0) is of limited usage?
No lookahead, easy to have shift-reduce and reduce-reduce conflicts
- How does SLR(1) improve LR(0)?
Lookahead using the FOLLOW set when reduce happens
- At high level, how does LR(1) improve SLR(1)?
Splitting FOLLOW set (i.e., splitting states) to enforce reduce to consider not only the stack top
- How does LR(1) split the states?
Add lookaheads to each item, i.e., LR(1) item=LR(0) item+lookahead
- How to understand the item $[A \rightarrow u\bullet, a/b/c]$
Reduce using $A \rightarrow u$, ONLY when the next input symbol is $a/b/c$

Example

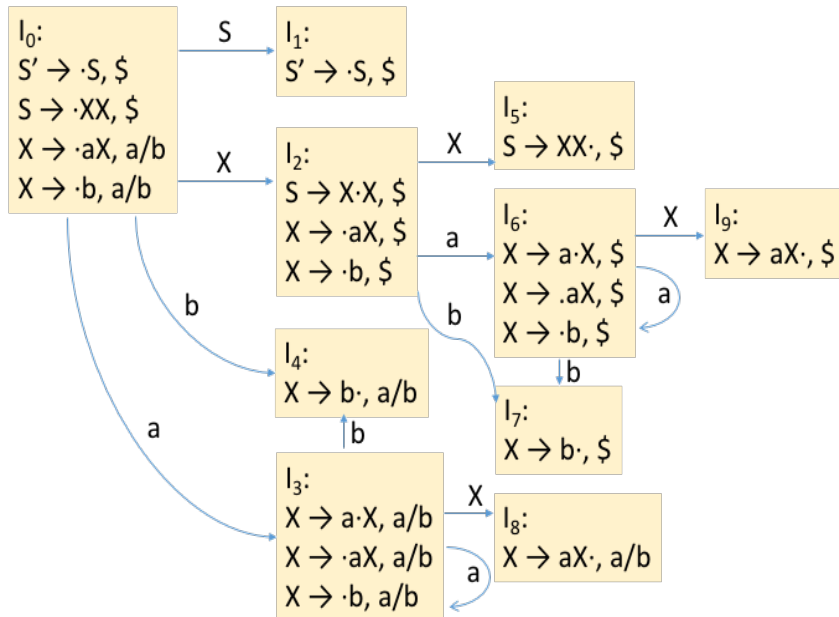


LR(1) Parse Table[解析表]

- Shift[移进]
 - Same as LR(0) and SLR(1)
 - Don't care the lookahead symbols
- Reduce[归约]
 - Don't use FOLLOW set (too coarse-grain[太粗粒度])
 - Reduce only if input matches lookahead for item
- ACTION and GOTO[表格]
 - If $[A \rightarrow \alpha \cdot a \beta, b] \in S_i$ and $\text{goto}(S_i, a) = S_j$, $\text{Action}[i, a] = sj$
 - Shift a and goto state j
 - Same as SLR(1)/LR(0)
 - If $[A \rightarrow \alpha \cdot, a] \in S_i$, $\text{Action}[i, a] = r[R]$
 - Reduce R: $A \rightarrow \alpha$ if input matches a
 - For SLR, reduced if put input matches $\text{FOLLOW}(A)$

Example

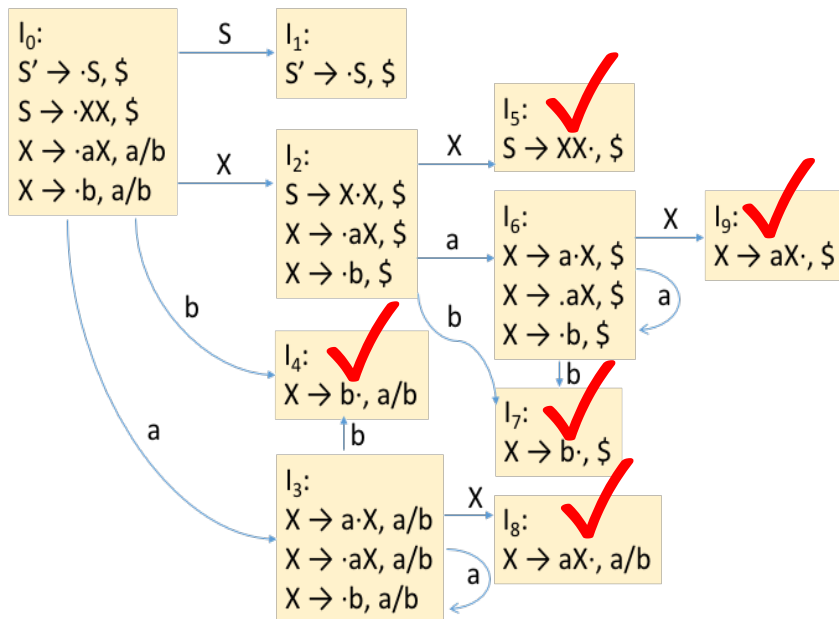
- (0) $S' \rightarrow S$
- (1) $S \rightarrow XX$
- (2) $X \rightarrow aX$
- (3) $X \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	X
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

Example

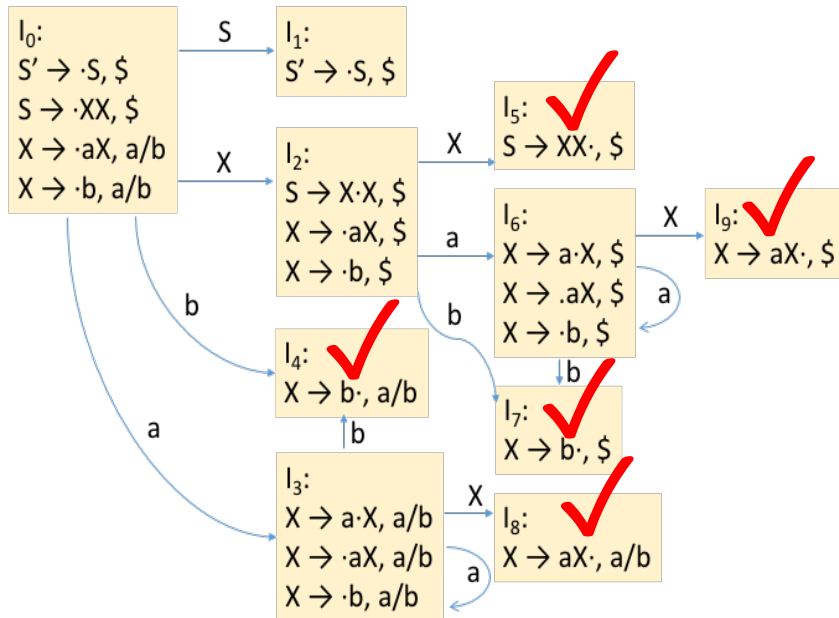
- (0) $S' \rightarrow S$
- (1) $S \rightarrow XX$
- (2) $X \rightarrow aX$
- (3) $X \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	X
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

Example

- (0) $S' \rightarrow S$
- (1) $S \rightarrow XX$
- (2) $X \rightarrow aX$
- (3) $X \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	X
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

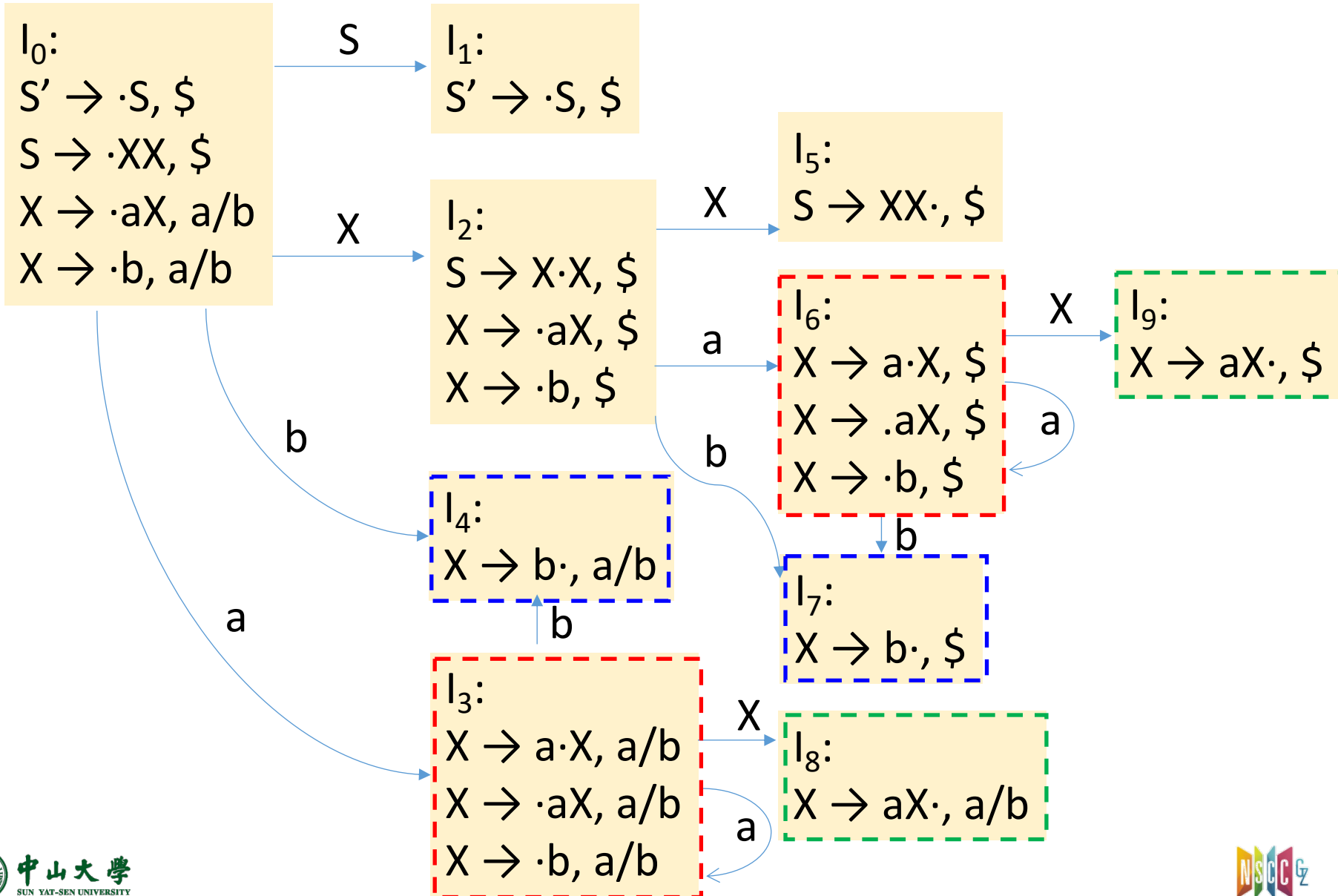
LR(1) Grammars

- Every SLR(1) grammar is LR(1), but the LR(1) parser may have **more states** than SLR(1) parser[SLR一定是LR，LR状态更多]
 - LR(1) parser splits states based on differing lookaheads, thus it may avoid conflicts that would otherwise result if using the full FOLLOW set
- A grammar is LR(1) if the following two conditions hold for each configurating set[是LR需要满足两个条件]
 - (1) For any item $[A \rightarrow u \cdot x v, a]$ in the set, with terminal x , there is no item in the set of form $[B \rightarrow v \cdot, x]$
 - In the table, this translates no shift-reduce conflict on any state
 - (2) The lookaheads for all complete items within the set must be disjoint, e.g. set cannot have both $[A \rightarrow u \cdot, a]$ and $[B \rightarrow v \cdot, a]$
 - This translates to no reduce-reduce conflict on any state

LALR(1) Parser

- LR(1) drawbacks[缺点]
 - With state splitting, the LR(1) parser can have many more states than SLR(1) or LR(0) parser
 - One LR(0) item may split up to many LR(1) items
 - As many as all possible lookaheads
 - In theory can lead to an exponential increase in #states
- **LALR** (lookahead LR) – compromise LR(1) and SLR(1)[折衷]
 - Reduce the number of states in LR(1) parser by merging similar states[状态合并]
 - Reduces the #states to the same as SLR(1), but still retains the power of LR(1) lookaheads[LR状态可能过度细分，合并回去，但还是比FOLLOW精细]
 - **Similar states**: have same number of items, the core of each item is identical, and they differ only in their lookahead sets[相似：核心相同，展望不同]

The Example



State Merging[状态合并]

- Merge states with the same core
 - Core: LR(1) items minus the lookahead (i.e., LR(0) items)
 - All items are identical except lookahead

l_3 :
 $X \rightarrow a \cdot X, a/b$
 $X \rightarrow \cdot aX, a/b$
 $X \rightarrow \cdot b, a/b$

l_6 :
 $X \rightarrow a \cdot X, \$$
 $X \rightarrow \cdot aX, \$$
 $X \rightarrow \cdot b, \$$



l_{36} :
 $X \rightarrow a \cdot X, a/b/\$$
 $X \rightarrow \cdot aX, a/b/\$$
 $X \rightarrow \cdot b, a/b/\$$

l_4 :
 $X \rightarrow b \cdot, a/b$

l_7 :
 $X \rightarrow b \cdot, \$$



l_{47} :
 $X \rightarrow b \cdot, a/b/\$$

l_8 :
 $X \rightarrow aX \cdot, a/b$

l_9 :
 $X \rightarrow aX \cdot, \$$



l_{89} :
 $X \rightarrow aX \cdot, a/b/\$$

State Merging (cont.)

State	ACTION			GOTO	
	a	b	\$	S	X
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

LR(1)

State	ACTION			GOTO	
	a	b	\$	S	X
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

LALR(1)

Grammar:

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

State Merging (cont.)

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

State	ACTION			GOTO	
	a	b	\$	S	X
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

LR(0)/SLR(1)

LALR(1)

State	ACTION			GOTO	
	a	b	\$	S	X
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

LR(1)

Grammar:

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

Merge Effects[合并效果]

- Merging states can introduce **conflicts**[引入归约-归约冲突]
 - **Cannot** introduce shift-reduce (*s-r*) conflicts
 - i.e., a *s-r* conflict cannot exist in a merged set unless the conflict was already present in one of the original LR(1) sets
 - **Can** introduce reduce-reduce (*r-r*) conflicts
 - LR was introduced to split the FOLLOW set on reduce action
 - Merging reverts the splitting
- **Detection of errors** may be delayed[推迟错误识别]
 - On error, LALR parsers will not perform shifts beyond an LR parser, but may perform more reductions before finding error
 - We'll see an example

Merge Conflict: Shift-Reduce

- Shift-reduce conflicts are **not** introduced by merging
- Suppose
 - S_{ij} contains: $[A \rightarrow \alpha \cdot, a]$ reduce on input a
 $[B \rightarrow \beta \cdot a \gamma, b]$ shift on input a
 - Formed by merging S_i and S_j [注: S_{ij} 并不一定只有这两个item]
- Because
 - Cores must be the same for S_i and S_j , and thus one of them must contain $[A \rightarrow \alpha \cdot, a]$
 - and it must have an item $[B \rightarrow \beta \cdot a \gamma, c]$ for some c [否则怎么同core?]
 - This state has the same shift/reduce conflict on a , i.e., the grammar was not LR(1)
 - Shift-reduce conflicts were already present in either S_i and S_j (or both) and not newly introduced by merging

Merge Conflict: Reduce-Reduce

- Reduce-reduce conflicts can be introduced by merging

$S' \rightarrow S$
 $S \rightarrow aBc \mid bCc \mid aCd \mid bBd$
 $B \rightarrow e$
 $C \rightarrow e$

I_{69} :
 $C \rightarrow e\cdot, c/d$
 $B \rightarrow e\cdot, d/c$

next token is c or d,
reduce to B or C???

Reduce to B when next token is d
Reduce to C when next token is c

I_0 : $S' \rightarrow \cdot S, \$$
 $S \rightarrow \cdot aBc, \$$
 $S \rightarrow \cdot bCc, \$$
 $S \rightarrow \cdot aCd, \$$
 $S \rightarrow \cdot bBd, \$$

I_3 : $S \rightarrow b\cdot Cc, \$$
 $S \rightarrow b\cdot Bd, \$$
 $C \rightarrow \cdot e, c$
 $B \rightarrow \cdot e, d$

I_8 : $S \rightarrow bB\cdot d, \$$

I_9 : $B \rightarrow e\cdot, d$
 $C \rightarrow e\cdot, c$

I_1 : $S' \rightarrow S\cdot, \$$

I_4 : $S \rightarrow aB\cdot c, \$$

I_{10} : $S \rightarrow aBc\cdot, \$$

I_2 : $S \rightarrow a\cdot Bc, \$$
 $S \rightarrow a\cdot Cd, \$$
 $B \rightarrow \cdot e, c$
 $C \rightarrow \cdot e, d$

I_5 : $S \rightarrow aC\cdot d, \$$

I_{11} : $S \rightarrow aCd\cdot, \$$

I_6 : $B \rightarrow e\cdot, c$
 $C \rightarrow e\cdot, d$

I_{12} : $S \rightarrow bCc\cdot, \$$

I_7 : $S \rightarrow bC\cdot c, \$$

I_{13} : $S \rightarrow bBd\cdot, \$$

Reduce to B when next token is c

Reduce to C when next token is d 15

Example: Error Delay

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

Input: **aab**\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

Example: Error Delay

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

Input: **aab**\$

state $\rightarrow S_0$

symbol $\rightarrow \$$

aab\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

Example: Error Delay

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

Input: **aab**\$

state $\rightarrow S_0$

symbol $\rightarrow \$$

aab\$

state $\rightarrow S_0S_3$

symbol $\rightarrow \$ a$

ab\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

Example: Error Delay

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

Input: **aab**\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

state $\rightarrow S_0$

symbol $\rightarrow \$$

aab\$

state $\rightarrow S_0S_3$

symbol $\rightarrow \$ a$

ab\$

state $\rightarrow S_0S_3S_3$

symbol $\rightarrow \$ a a$

b\$

Example: Error Delay

- (0) $S' \rightarrow S$
- (1) $S \rightarrow XX$
- (2) $X \rightarrow aX$
- (3) $X \rightarrow b$

Input: **aab**\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

state $\rightarrow S_0$

symbol $\rightarrow \$$

aab\$

state $\rightarrow S_0S_3$

symbol $\rightarrow \$ a$

ab\$

state $\rightarrow S_0S_3S_3$

symbol $\rightarrow \$ a a$

b\$

state $\rightarrow S_0S_3S_3S_4$

symbol $\rightarrow \$ a a b$

\$

Example: Error Delay

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

Input: **aab**\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

state $\rightarrow S_0$

symbol $\rightarrow \$$

aab\$

state $\rightarrow S_0S_3$

symbol $\rightarrow \$ a$

ab\$

state $\rightarrow S_0S_3S_3$

symbol $\rightarrow \$ a a$

b\$

state $\rightarrow S_0S_3S_3S_4$

symbol $\rightarrow \$ a a b$

\$



Example: Error Delay (cont.)

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

Input: **aab**\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

Example: Error Delay (cont.)

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

Input: **aab**\$

state $\rightarrow S_0$

symbol $\rightarrow \$$

aab\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

Example: Error Delay (cont.)

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

Input: **aab**\$

state $\rightarrow S_0$

symbol $\rightarrow \$$

aab\$

state $\rightarrow S_0 S_{36}$

symbol $\rightarrow \$ a$

ab\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

Example: Error Delay (cont.)

- (0) $S' \rightarrow S$
 (1) $S \rightarrow XX$ Input: **aab**\$
 (2) $X \rightarrow aX$
 (3) $X \rightarrow b$

state $\rightarrow S_0$
 symbol $\rightarrow \$$ aab\$

state $\rightarrow S_0 S_{36}$
 symbol $\rightarrow \$ a$ ab\$

state $\rightarrow S_0 S_{36} S_{36}$
 symbol $\rightarrow \$ a a$ b\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

Example: Error Delay (cont.)

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

Input: **aab**\$

state $\rightarrow S_0$

symbol $\rightarrow \$$

aab\$

state $\rightarrow S_0 S_{36}$

symbol $\rightarrow \$ a$

ab\$

state $\rightarrow S_0 S_{36} S_{36}$

symbol $\rightarrow \$ a a$

b\$

state $\rightarrow S_0 S_{36} S_{36} S_{47}$

symbol $\rightarrow \$ a a b$

\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

Example: Error Delay (cont.)

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

Input: **aab**\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

state $\rightarrow S_0$

symbol $\rightarrow \$$

aab\$

state $\rightarrow S_0S_{36}$

symbol $\rightarrow \$ a$

ab\$

state $\rightarrow S_0S_{36}S_{36}$

symbol $\rightarrow \$ a a$

b\$

state $\rightarrow S_0S_{36}S_{36}S_{47}$

symbol $\rightarrow \$ a a b$

\$

state $\rightarrow S_0S_{36}S_{36}S_{89}$

symbol $\rightarrow \$ a a X$

\$

Example: Error Delay (cont.)

(0) $S' \rightarrow S$

(1) $S \rightarrow XX$

(2) $X \rightarrow aX$

(3) $X \rightarrow b$

Input: **aab**\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

state $\rightarrow S_0$

symbol $\rightarrow \$$

aab\$

state $\rightarrow S_0S_{36}$

symbol $\rightarrow \$ a$

ab\$

state $\rightarrow S_0S_{36}S_{36}$

symbol $\rightarrow \$ a a$

b\$

state $\rightarrow S_0S_{36}S_{36}S_{47}$

symbol $\rightarrow \$ a a b$

\$

state $\rightarrow S_0S_{36}S_{36}S_{89}$

symbol $\rightarrow \$ a a X$

\$

state $\rightarrow S_0S_{36}S_{89}$

symbol $\rightarrow \$ a X$

\$

Example: Error Delay (cont.)

- (0) $S' \rightarrow S$
 (1) $S \rightarrow XX$
 (2) $X \rightarrow aX$
 (3) $X \rightarrow b$

Input: **aab**\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

state $\rightarrow S_0$
 symbol $\rightarrow \$$ aab\$

state $\rightarrow S_0S_{36}$
 symbol $\rightarrow \$ a$ ab\$

state $\rightarrow S_0S_{36}S_{36}$
 symbol $\rightarrow \$ a a$ b\$

state $\rightarrow S_0S_{36}S_{36}S_{47}$
 symbol $\rightarrow \$ a a b$ \$

state $\rightarrow S_0S_{36}S_{36}S_{89}$
 symbol $\rightarrow \$ a a X$ \$

state $\rightarrow S_0S_{36}S_{89}$
 symbol $\rightarrow \$ a X$ \$

state $\rightarrow S_0S_2$
 symbol $\rightarrow \$ X$ \$

Example: Error Delay (cont.)

- (0) $S' \rightarrow S$
- (1) $S \rightarrow XX$
- (2) $X \rightarrow aX$
- (3) $X \rightarrow b$

Input: **aab**\$

State	ACTION			GOTO	
	a	b	\$	S	X
0	s36	s47		1	2
1			acc		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		

state $\rightarrow S_0$

symbol $\rightarrow \$$

aab\$

state $\rightarrow S_0 S_{36}$

symbol $\rightarrow \$ a$

ab\$

state $\rightarrow S_0 S_{36} S_{36}$

symbol $\rightarrow \$ a a$

b\$

state $\rightarrow S_0 S_{36} S_{36} S_{47}$

symbol $\rightarrow \$ a a b$

\$

state $\rightarrow S_0 S_{36} S_{36} S_{89}$

symbol $\rightarrow \$ a a X$

\$

state $\rightarrow S_0 S_{36} S_{89}$

symbol $\rightarrow \$ a X$

\$

state $\rightarrow S_0 S_2$

symbol $\rightarrow \$ X$

\$



LALR Table Construction[解析表构建]

- LALR(1) parsing table is built from the configuration sets in the same way as LR(1)[同样方法构建的项目集]
 - The lookaheads determine where to place reduce actions
 - If there are no mergable states, the LALR(1) table will be identical to the LR(1) table and we gain nothing[退化为LR(1)]
 - Usually, there will be states that can be merged and the LALR table will thus have **fewer rows** than LR
- LALR(1) table have the same #states (rows) with SLR(1) and LR(0), but have fewer reduce actions[同等数目的状态, 但更少的归约动作]
 - Some reductions are not valid if we are more precise about the lookahead
 - Some conflicts in SLR(1) and LR(0) are avoided by LALR(1)
 - For C language: SLR/LALR - 100s states, LR - 1000s states

LALR Table Construction (cont.)

- Brute force[暴力方式]
 - Construct LR(1) states, then merge states with same core
 - If no conflicts, you have a LALR parser
 - **Inefficient**: building LR(1) items are expensive in time and space
 - We need a better solution

- Efficient way[高效方式]
 - Avoid initial construction of LR(1) states
 - Merge states on-the-fly (step-by-step merging)
 - States are created as in LR(1)
 - On state creation, immediately merge if there is an opportunity

LALR(1) Grammars

- For a grammar, if the LALR(1) parse table has no conflicts, then we say the grammar is LALR(1)
 - No formal definition of a set of rules
- LALR(1) is a subset of LR(1) and a superset of SLR(1)
 - A SLR(1) grammar is definitely LALR(1)[LALR归约更精细了]
 - A LR(1) grammar may or may not be LALR(1)[LALR合并了状态]
 - Depends on whether merging introduces conflicts
 - A non-SLR(1) grammar may be LALR(1)[LALR能解决SLR冲突]
 - Depends on whether the more precise lookaheads resolve the SLR(1) conflicts
- LALR(1) reaches a good balance between the **lookahead power** and the **table size**
 - Most used variant of the LR family

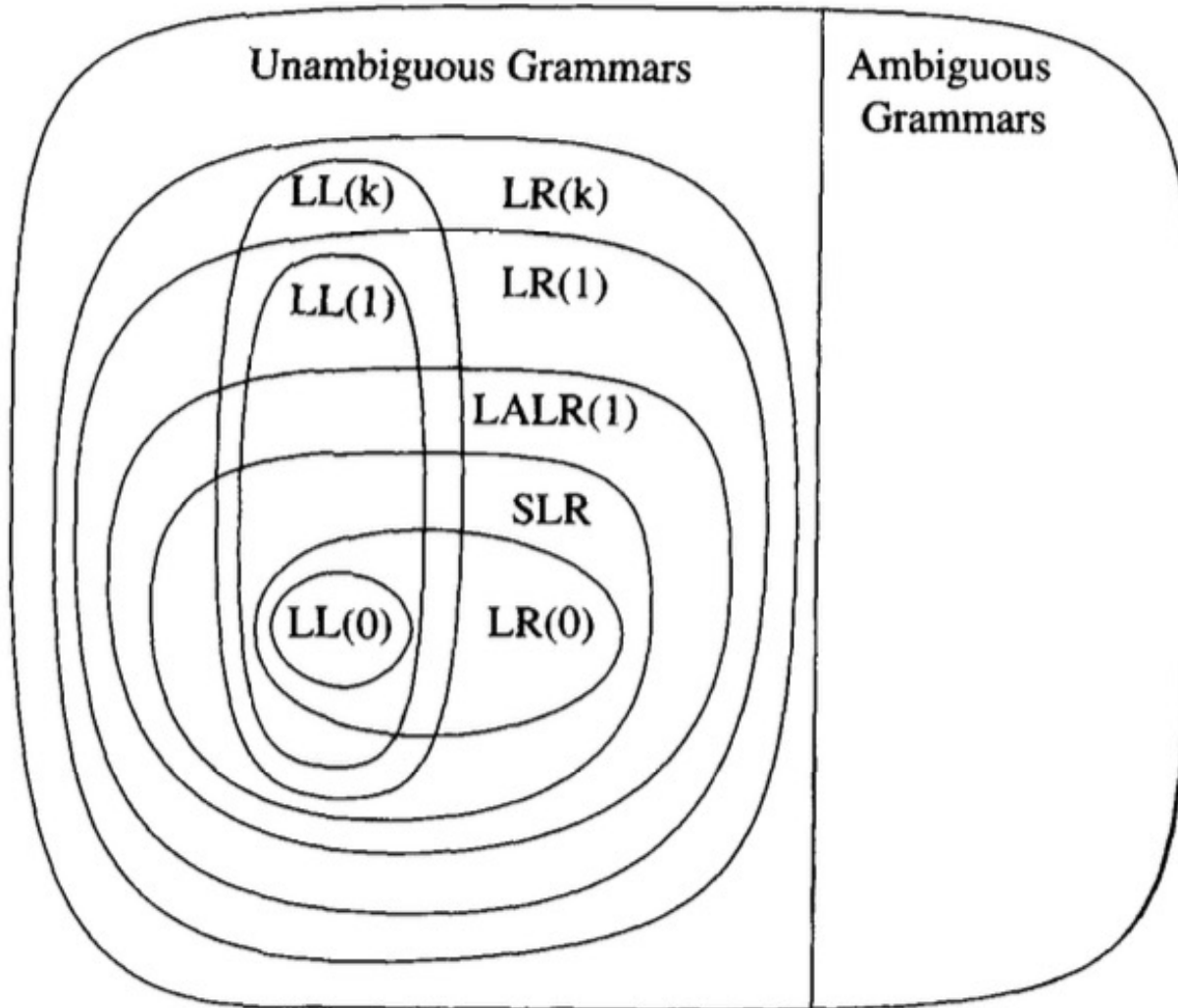
LALR Summary[小结]

- LALR(1)是LR(1)和SLR(1)的平衡
 - 文法范围: $LR > LALR > SLR$
 - 状态数目: $LR > LALR = SLR$
- 假如一个文法G是LR而非SLR, 可能是LALR
 - 非SLR: SLR产生了冲突 (依靠FOLLOW集进行归约不够精确)
 - 是LR: 而LR通过精确的lookahead解决了冲突
 - 可能是LALR: LALR对LR进行相似状态合并
 - 若合并后出现了冲突 --> 不是LALR文法
 - 若合并后没有冲突 --> 是LALR文法
 - LALR可以解析文法G, 也即解决了SLR原有的冲突
 - 实际上LALR的状态数与SLR相同, 但归约动作减少了 (也即, 对SLR解析表而言, 多个移进/归约动作的单元格中的归约被消除了)
 - 如果没有相似状态, 则LALR=LR
- 假如一个文法G是SLR
 - 那么G一定也是LR和LALR文法
 - LR的FOLLOW集细分是不必要的, 因此LALR合并回了SLR

LL vs. LR Parsing (LL < LR)

- LL(k) parser, each expansion $A \rightarrow \alpha$ is decided based on
 - Current non-terminal at the top of the stack[依赖LHS]
 - Which LHS to produce
 - k terminals of lookahead at beginning of RHS[RHS的一点展望]
 - Must **guess** which RHS by peeking at **first few terminals** of RHS
 - 选择依据: LHS + RHS的k个符号 (有限信息)
- LR(k) parser, each production $A \rightarrow \alpha \cdot$ is decided based on
 - RHS at the top of the stack[依赖RHS]
 - Can **postpone** choice of RHS until **entire RHS** is seen
 - Common left factor is OK – waits until entire RHS is seen anyway
 - Left recursion is OK – does not impede forming RHS for reduction
 - k terminals of lookahead beyond RHS[超越RHS]
 - Can decide on RHS after looking at entire RHS plus lookahead
 - 选择依据: 整个RHS + LHS后的k个符号 (充足信息)

Hierarchy of Grammars[文法层级]



总结: 语法分析 (1)

- 语法分析(Syntax analysis)是编译的第二个阶段
 - 输入: 词法分析产生的token序列
 - 输出: 分析树(parse tree)或抽象语法树(AST)
- 语法指定(Syntax specification)
 - 词法分析使用的RE/FA表达能力不够(e.g., 嵌套结构)
 - 需要使用文法(grammar), 尤其是上下文无关文法(context-free grammar, CFG)
- 文法形式化定义: $\{T, N, s, \sigma\}$
 - T: terminal symbols[终结符] = 词法分析的token, 分析树的叶子节点
 - N: non-terminal symbols[非终结符], 分析树的内部节点
 - s: start symbol[开始符号]
 - σ : set of productions[产生式], 形式: LHS \rightarrow RHS

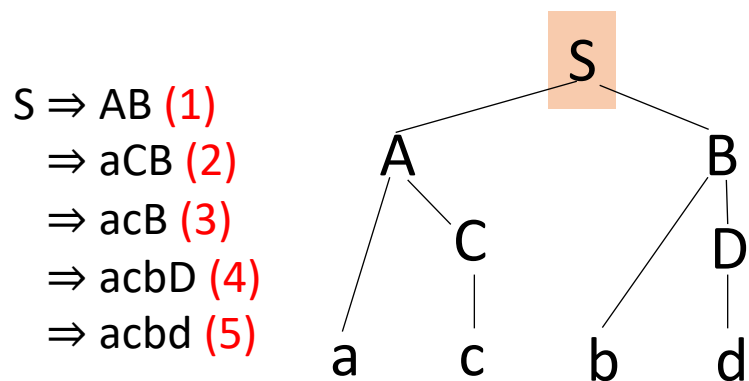
总结: 语法分析 (2)

- 推导(Derivation)
 - 对产生式的若干次使用 (从LHS到RHS)
 - 从文法开始符号到输入串(input string)
- 归约(Reduce)
 - 推导的逆过程(从RHS到LHS)
 - 从输入串(input string)到开始符号
- 分析树(Parse tree)
 - 是推导的图形化表示, 略去了推导中产生式的使用顺序
- 歧义文法(Ambiguous grammar)
 - 某个句子对应多个(最左或最右)分析树
 - 通过指定优先级(precedence)和结合性(associativity)来改写文法以消除歧义

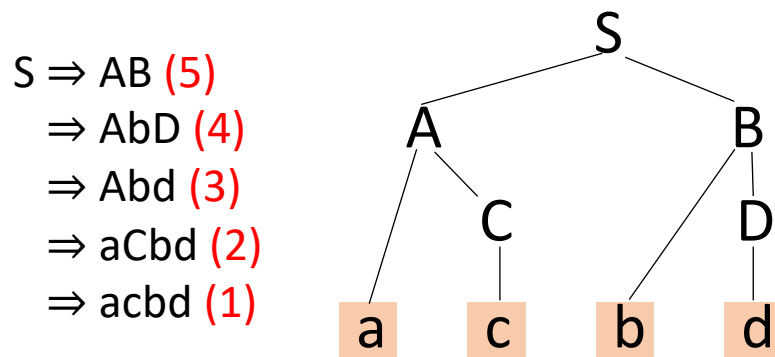
总结: 语法分析 (3)

- 语法分析(或解析)就是处理给定文法的输入句子, 构建一个以分析树或抽象语法树表示的推导
 - 自顶向下(Top-down): 从根节点扩展到叶子节点, 每步考虑
 - 替换哪个非终结符?
 - 使用哪个产生式来替换?
 - 自底向上(Bottom-up): 从叶子节点回到根节点
 - 消耗输入token还是归约?
 - 使用哪个产生式来归约?

Top-down (leftmost derivation)



Bottom-up (reverse of rightmost derivation)



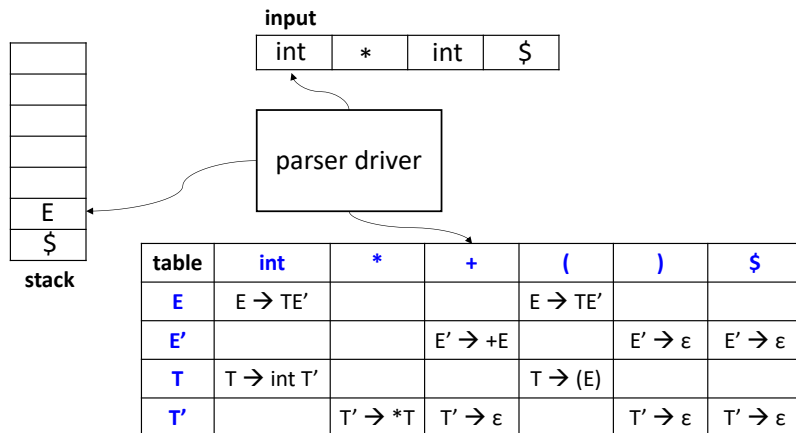
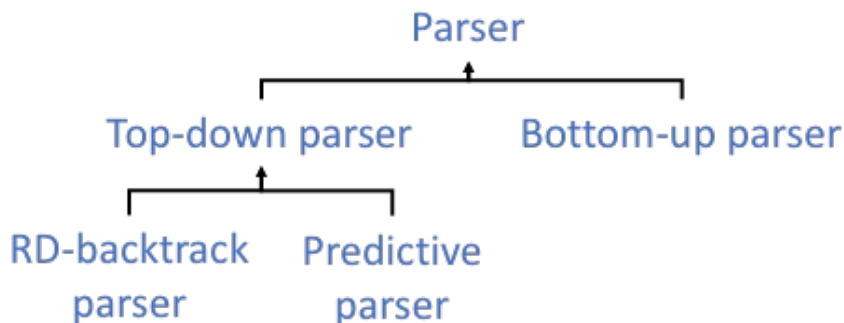
总结: 语法分析 (4)

- Top-down分析

- 递归下降分析(Recursive descent): 试错->回溯(backtracking)
 - 消除左递归(Left recursion)
- 预测分析(Predictive): 预测, 无需回溯
 - 消除左递归, 提取左共因子(Left factoring)

- 表驱动的LL(1)分析器

- 四部分: input buffer, stack, parse table, parser driver
- 基于<stack top, current token>来采取操作(expand or match)
- 解析表行为文法的非终结符、列为文法的终结符号及\$
 - 单元格存放一个产生式或空
 - 表格是借助FIRST和FOLLOW集来构建



总结: 语法分析 (5)

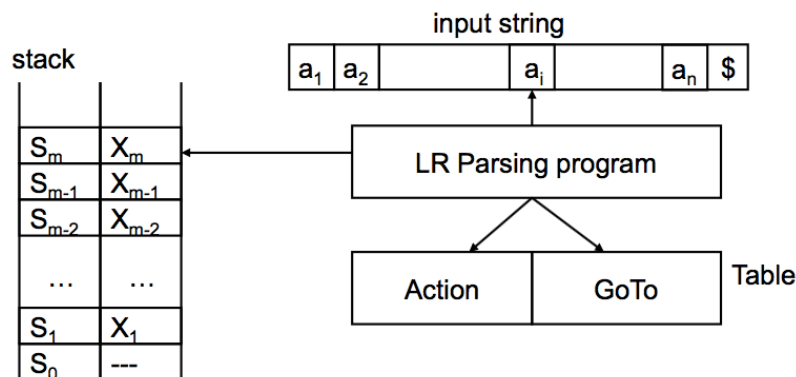
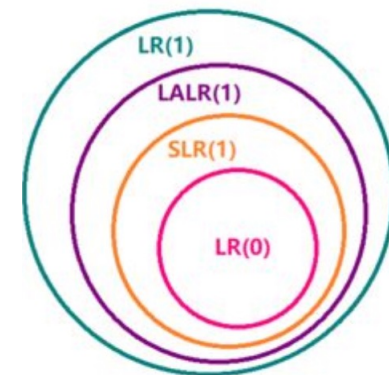
- Bottom-up分析

- 主要有移进(Shift)和归约(Reduce)两个动作
- 实现上主要是LR类型分析器
 - 表格驱动, 高效

- 表驱动的LR分析器

- 四部分: input buffer, stack, parse table, parser driver
- 基于栈顶来采取操作(shift or reduce)
 - 栈保存状态序列和每个状态关联的文法符号
- 解析表包含Action和Goto两个子表
 - 表格是通过识别文法的可能项目集及转换(i.e., DFA)
 - LR(0) -> SLR(1) -> LR(1) -> LALR(1)

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		





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Compilation Principle

编译原理

第14讲：语义分析(1)

张献伟

xianweiz.github.io

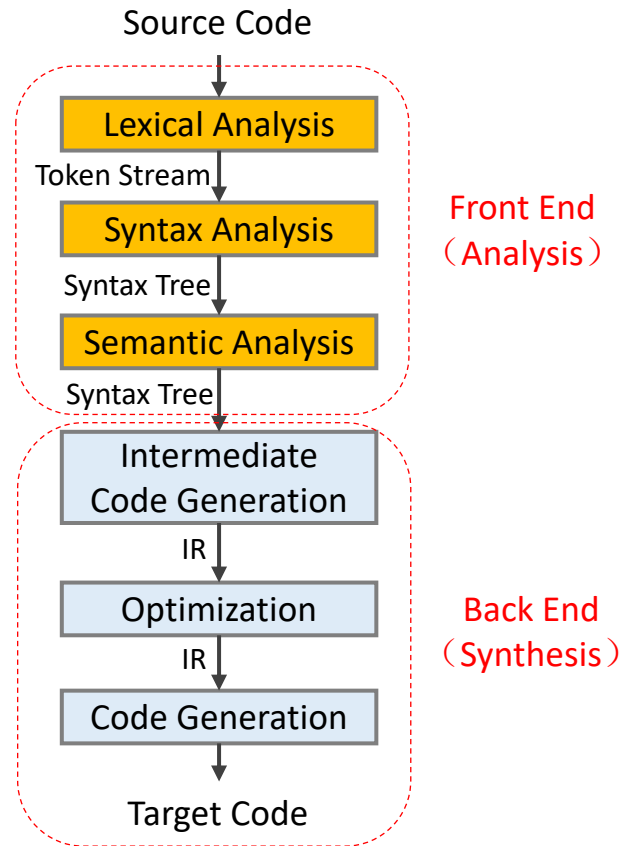
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Compilation Phases[编译阶段]



Compilation Phases (cont.)

- Lexical analysis[词法分析]
 - Source code → tokens
 - Detects inputs with illegal tokens
 - Is the input program **lexically** well-formed?
- Syntax analysis[语法分析]
 - Tokens → parse tree or abstract syntax tree (AST)
 - Detects inputs with incorrect structure
 - Is the input program **syntactically** well-formed?
- Semantic analysis[语义分析]
 - AST → (modified) AST + symbol table
 - Detects semantic errors (errors in meaning)
 - Does the input program has a well-defined **meaning**?

Compilation Phases (cont.)

- Lexical analysis[词法分析]

- Source code → tokens
- Detects inputs with illegal tokens
- Is the input program **lexically** well-formed?

`x#y = 1`

- Syntax analysis[语法分析]

- Tokens → parse tree or abstract syntax tree (AST)
- Detects inputs with incorrect structure
- Is the input program **syntactically** well-formed?

`x = 1 y = 2`

- Semantic analysis[语义分析]

- AST → (modified) AST + symbol table
- Detects semantic errors (errors in meaning)
- Does the input program has a well-defined **meaning**?

`int x; y = x(1)`