



中山大學  
SUN YAT-SEN UNIVERSITY

计算机学院 (软件学院)

SCHOOL OF COMPUTER SCIENCE AND ENGINEERING

# Compilation Principle

## 编译原理

---

### 第12讲：语法分析(8)

张献伟

[xianweiz.github.io](https://xianweiz.github.io)

DCS290, 4/11/2024



中山大學  
SUN YAT-SEN UNIVERSITY

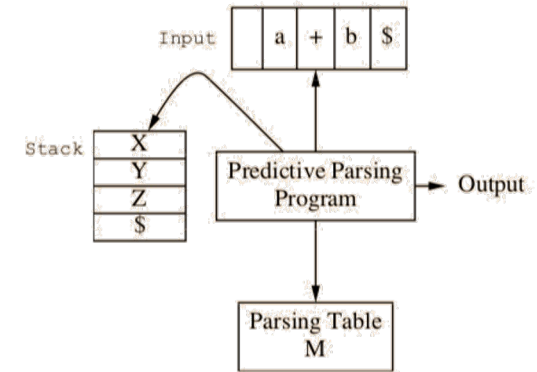
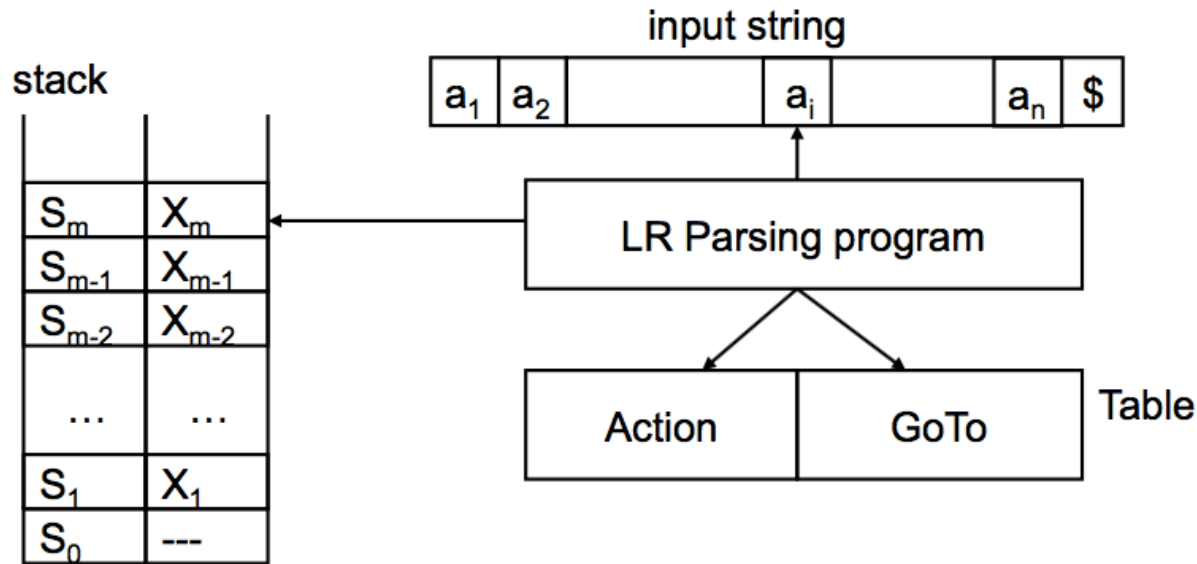


# Review Questions

- Q1: what is LR(k)?
  - L: scan input from left to right
  - R: construct a rightmost derivation in reverse
  - k: number of lookahead symbols
- Q2: what are the components of a LR parser?
  - Input buffer, stack, parse table, driver.
- Q3: describe LR parse table.
  - ACTION for terminals+\$, GOTO for non-terminals;
  - Each row is an state.
- Q4: what does 's3' mean?
  - Shift a token from buffer to stack, and associate state '3'.
- Q5: what does 'r2' mean?
  - Reduce using the rule numbered '2'.

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	r2	r2	r2		5

# LR Parser



- The stack holds a sequence of states,  $s_0s_1\dots s_m$  ( $s_m$  is the top)
  - States are to track where we are in a parse
  - Each grammar symbol  $X_i$  is associated with a state  $s_i$
- Contents of stack + input ( $X_1X_2\dots X_ma_i\dots a_n$ ) is a right sentential form
  - If the input string is a member of the language
- Uses  $[S_m, a_i]$  to index into parsing table to determine action

# Example: Parse Table

Grammar:

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

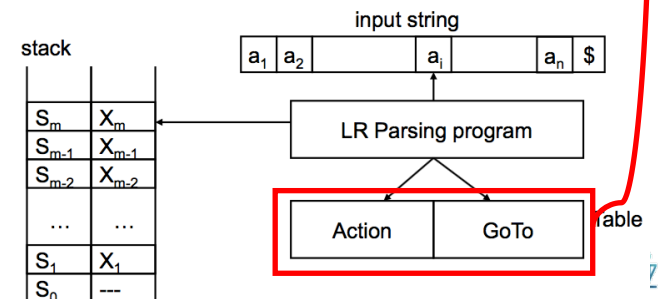
(3)  $B \rightarrow b$

String:  $bab$

- Table entry:

- $si$ : shifts the input symbol and moves to state  $i$  (i.e., push state on stack)
- $rj$ : reduce by production numbered  $j$
- acc: accept
- blank: error

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



# Construct Parse Table[构建解析表]

- Construct parsing table: identify the possible states and arrange the transitions among them[状态及转换]

State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

- **LR(0)** parsing

- Simplest LR parsing, only considers stack to decide shift/reduce
- Weakest, not used much in practice because of its limitations

- **SLR(1)** parsing / SLR

- Simple LR, lookahead from FIRST/FOLLOW rules derived from LR(0)
- Keeps table as small as LR(0)

- **LR(1)** parsing / canonical LR / LR

- LR parser that considers next token (lookahead of 1)
- Compared to LR(0), more complex algorithm and much bigger table

- **LALR(1)** parsing / lookahead LR / LALR

- Lookahead LR(1): fancier lookahead analysis using the same LR(0) automaton as SLR(1)

# State in LR Parsing[状态]

- How does a shift-reduce parser know when to shift and when to reduce?[何时移进? 何时归约? ]
  - For the example, how does parser know that **int** on the top of the stack is not a handle, so the action is **shift** but **not to reduce** ( $T \leftarrow \text{int}$ )?
- LR parser makes shift-reduce decisions by maintaining **states** to keep track of where we are in a parse[状态追踪]
  - States represent sets of “**items**”[‘项目’集合]

Grammar

$E \rightarrow T + E \mid T$

$T \rightarrow \text{int} * T \mid \text{int} \mid (E)$

String

$\text{int} * \text{int} + \text{int}$

Step	Operation
# int * int + int	Shift
<b>int</b> # * int + int	Shift
int * # int + int	Shift
int * <b>int</b> # + int	Reduce $T \rightarrow \text{int}$
<b>int</b> * <b>T</b> # + int	Reduce $T \rightarrow \text{int} * T$

# Item[項目]

---

- An **item** is a production with a “.” somewhere on the RHS
  - Dot indicates extent of RHS already seen in the parsing process
    - Everything to the left of the dot has been shifted onto the parsing stack
  - The only item for  $X \rightarrow \varepsilon$  is  $X \rightarrow \cdot$
  - Items are often called “**LR(0) items**” (a.k.a., **configuration**)
- The items for  $A \rightarrow XYZ$  are
  - $A \rightarrow \cdot XYZ$ 
    - Indicates that we hope to see an string derivable from  $XYZ$  next on the input
  - $A \rightarrow X \cdot YZ$ 
    - Indicates that we have just seen on the input an string derivable from  $X$  and that we hope next to see an string derivable from  $YZ$
  - $A \rightarrow XY \cdot Z$
  - $A \rightarrow XYZ \cdot$ 
    - Indicates that we have seen the body  $XYZ$  and that it may be time to reduce  $XYZ$  to  $A$

# Item (cont.)

---

- Example:

- Suppose we are currently in this position

$$A \rightarrow X \cdot YZ$$

- We have just recognized  $X$  and expect the upcoming input to contain a sequence derivable from  $YZ$  (say,  $Y \rightarrow u|w$ )[已经识别了 $X$ ，期待 $YZ$ 推导的串]

- $Y$  is further derivable from either  $u$  or  $w$

$$A \rightarrow X \cdot YZ$$

$$Y \rightarrow \cdot u$$

$$Y \rightarrow \cdot w$$

- The above three items can be placed into a set, called as **configuration set**[配置集] of the LR parser

- Parsing tables have one **state** corresponding to each set

- The states can be modeled as a finite automaton where we move from one state to another via transitions marked with a symbol of the CFG



# Augmented Grammar[增广文法]

- We want to start with an item with a dot before the start symbol  $S$  and move to an item with a dot after  $S$ 
  - Represents shifting and reducing an entire sentence of the grammar[完成了整个句子的移进归约]
  - Thus, we need  $S$  to appear on the right side of a production
  - Only one ‘acc’ in the table
- Modify the grammar by adding the production[修改文法]

$S' \rightarrow \cdot S$

Grammar:

(1)  $E \rightarrow E + T$

(2)  $E \rightarrow T$

(3)  $T \rightarrow T * F$



Augmented grammar:

(0)  $E' \rightarrow E$

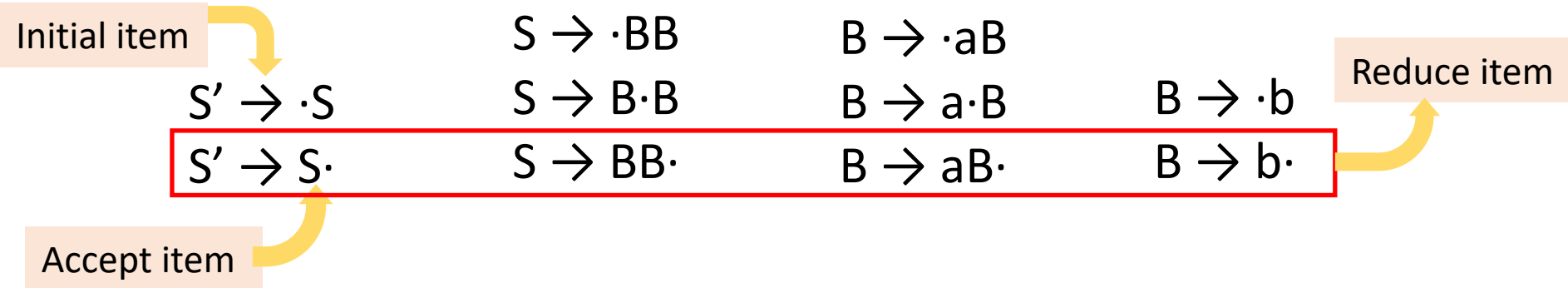
(1)  $E \rightarrow E + T$

(2)  $E \rightarrow T$

(3)  $T \rightarrow T * F$

# Example

(0)  $S' \rightarrow S$       (1)  $S \rightarrow BB$       (2)  $B \rightarrow aB$       (3)  $B \rightarrow b$



- **Closure:** the action of adding equivalent items to a set
  - Example:  $S' \rightarrow \cdot S$        $S \rightarrow \cdot BB$     $B \rightarrow \cdot aB$     $B \rightarrow \cdot b$
- Intuitively,  $A \rightarrow \alpha \cdot B \beta$  means that we might next see a substring derivable from  $B\beta$  ( $_{sub}$ ) as input. The  $_{sub}$  will have a prefix derivable from  $B$  by applying one of the  $B$ -productions [期待意义等价]
  - Thus, we add items for all the  $B$ -productions, i.e., if  $B \rightarrow \gamma$  is a production, we add  $B \rightarrow \cdot \gamma$  in the closure

# Example

---

Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$

# Example

---

Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$

$I_0:$

$S' \rightarrow \cdot S$

# Example

---

Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$

$I_0$ :

$S' \rightarrow \cdot S$

$S \rightarrow \cdot BB$

# Example

---

Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$

$I_0$ :

$S' \rightarrow \cdot S$

$S \rightarrow \cdot BB$

$B \rightarrow \cdot aB$

$B \rightarrow \cdot b$

# Example

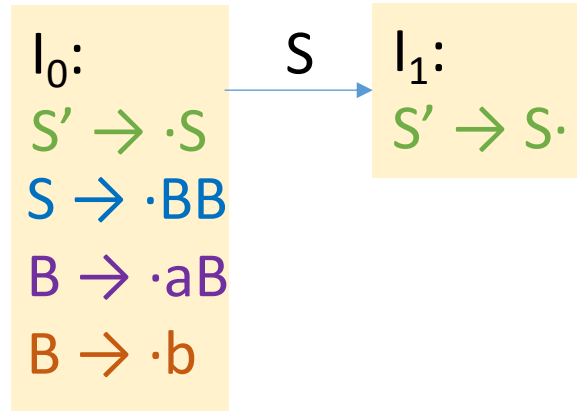
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



# Example

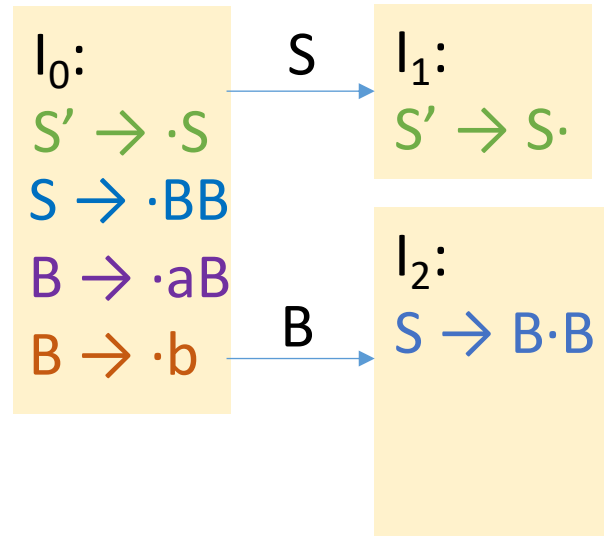
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$





# Example

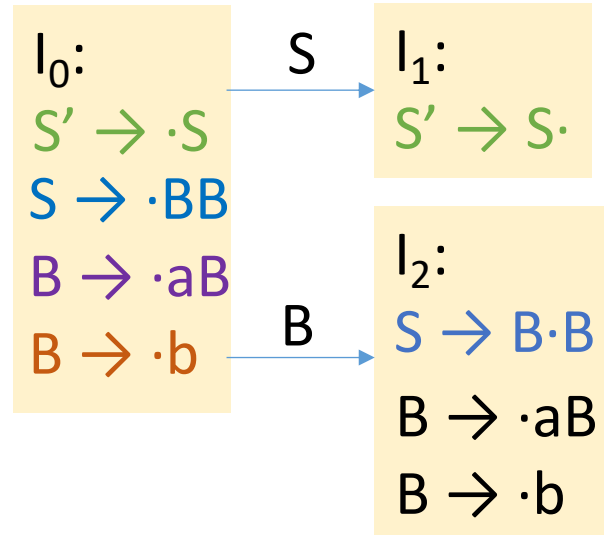
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



# Example

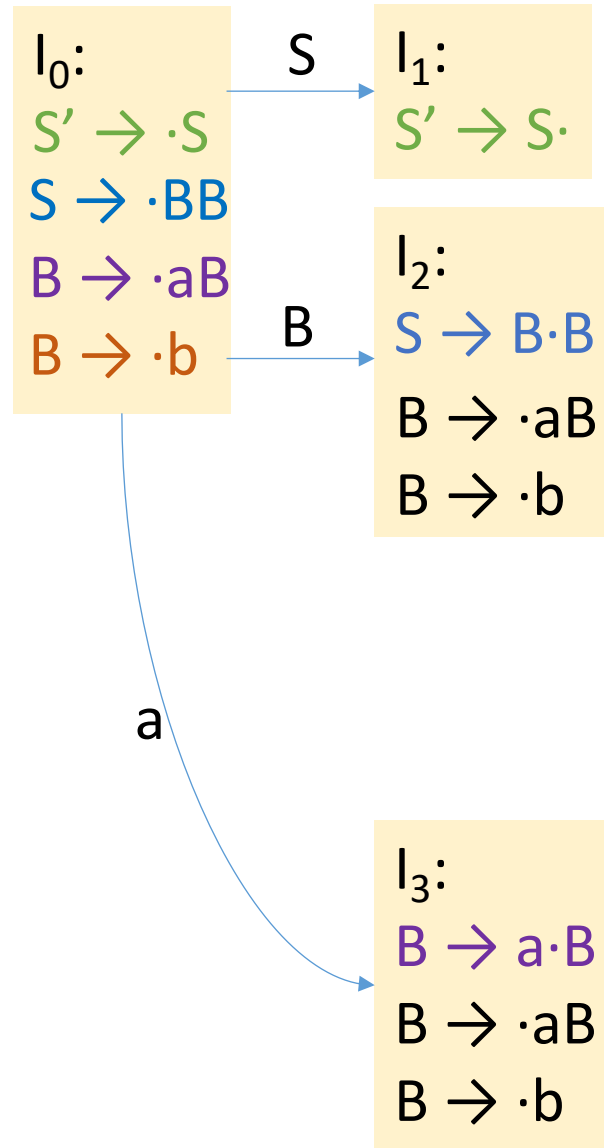
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



# Example

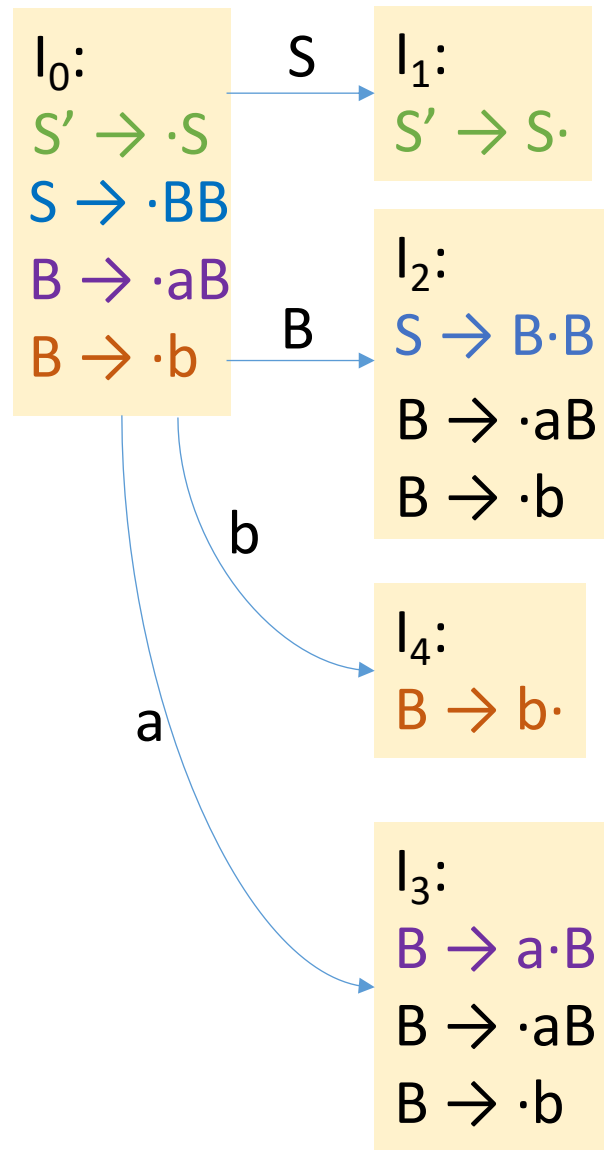
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



# Example

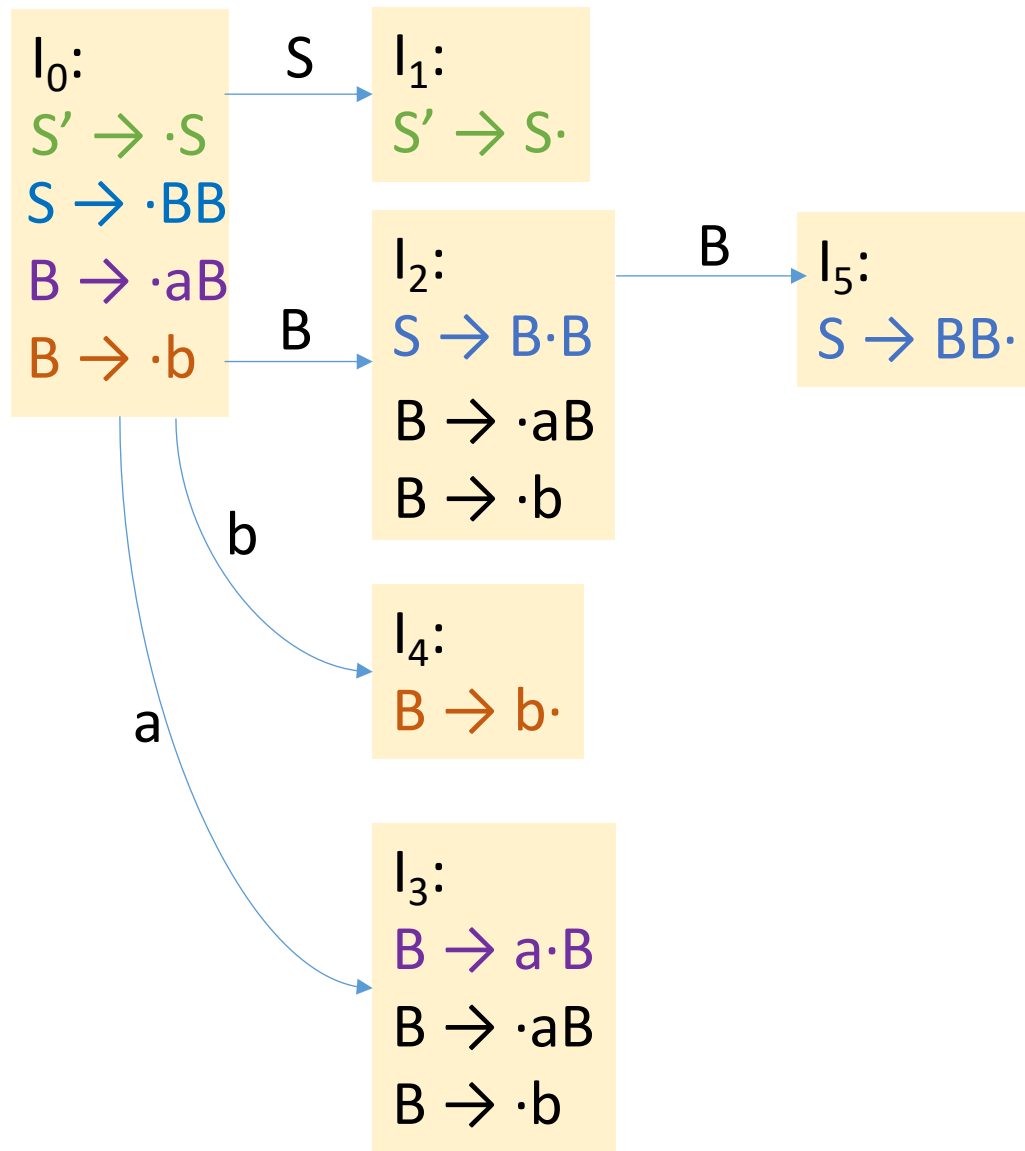
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



# Example

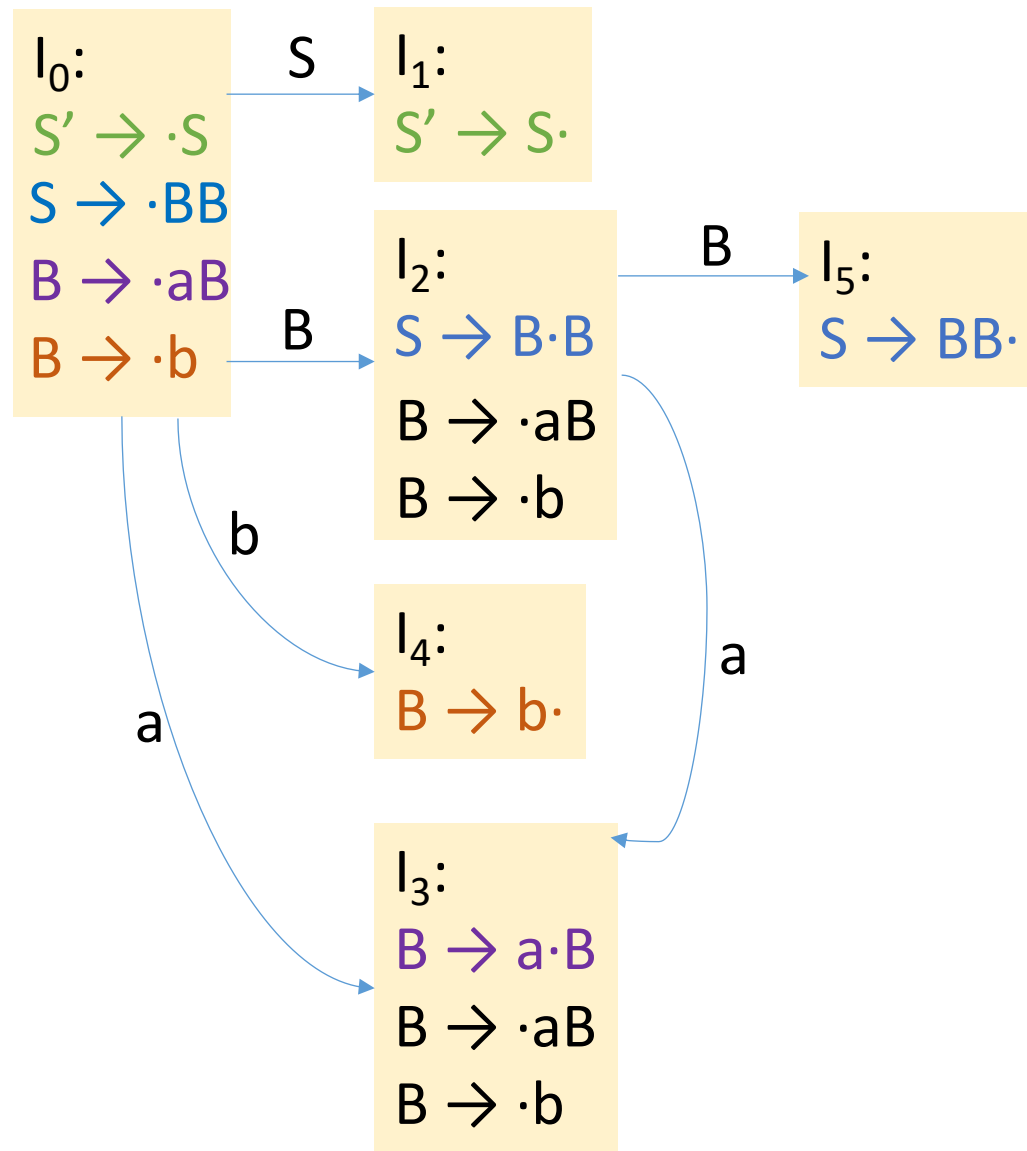
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



# Example

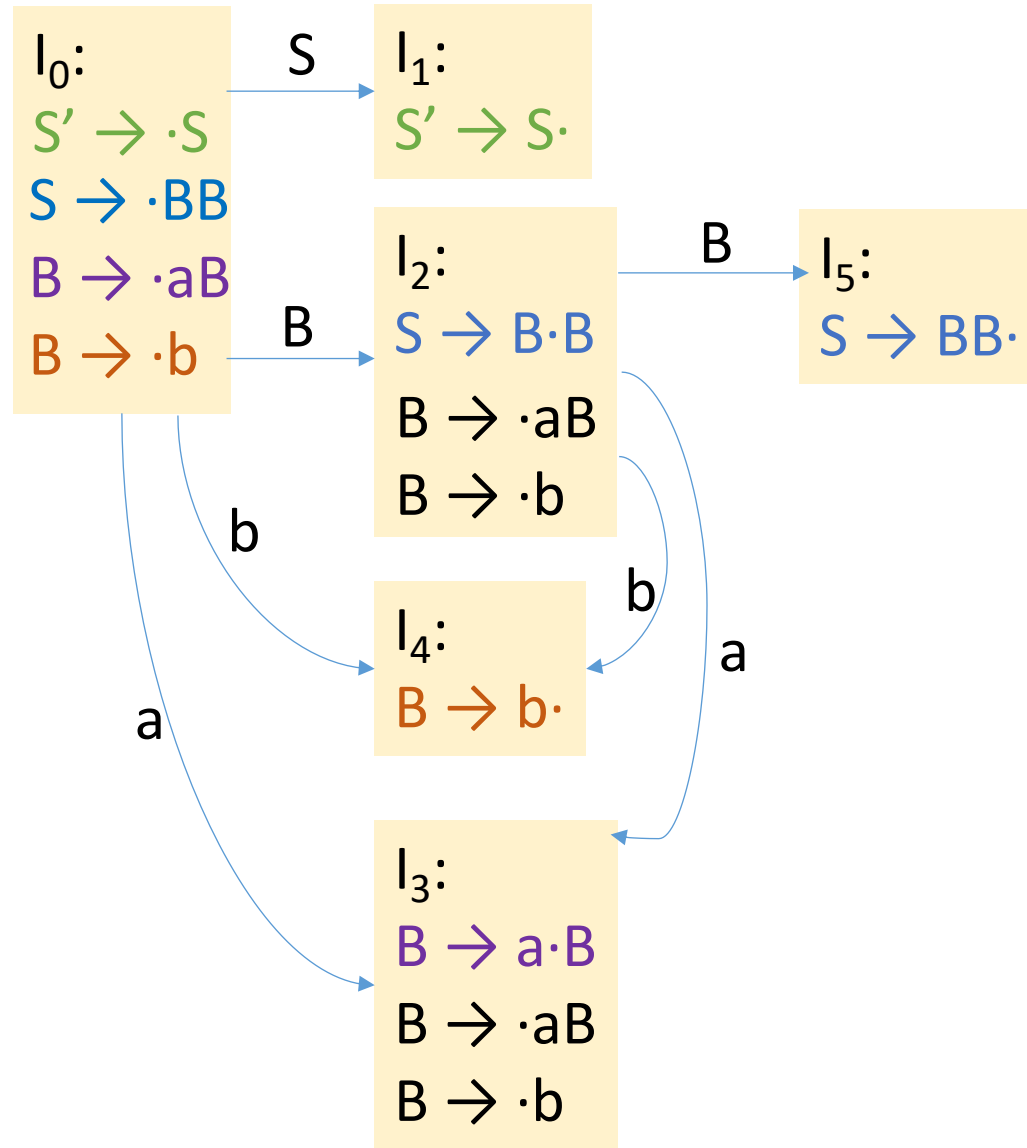
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



# Example

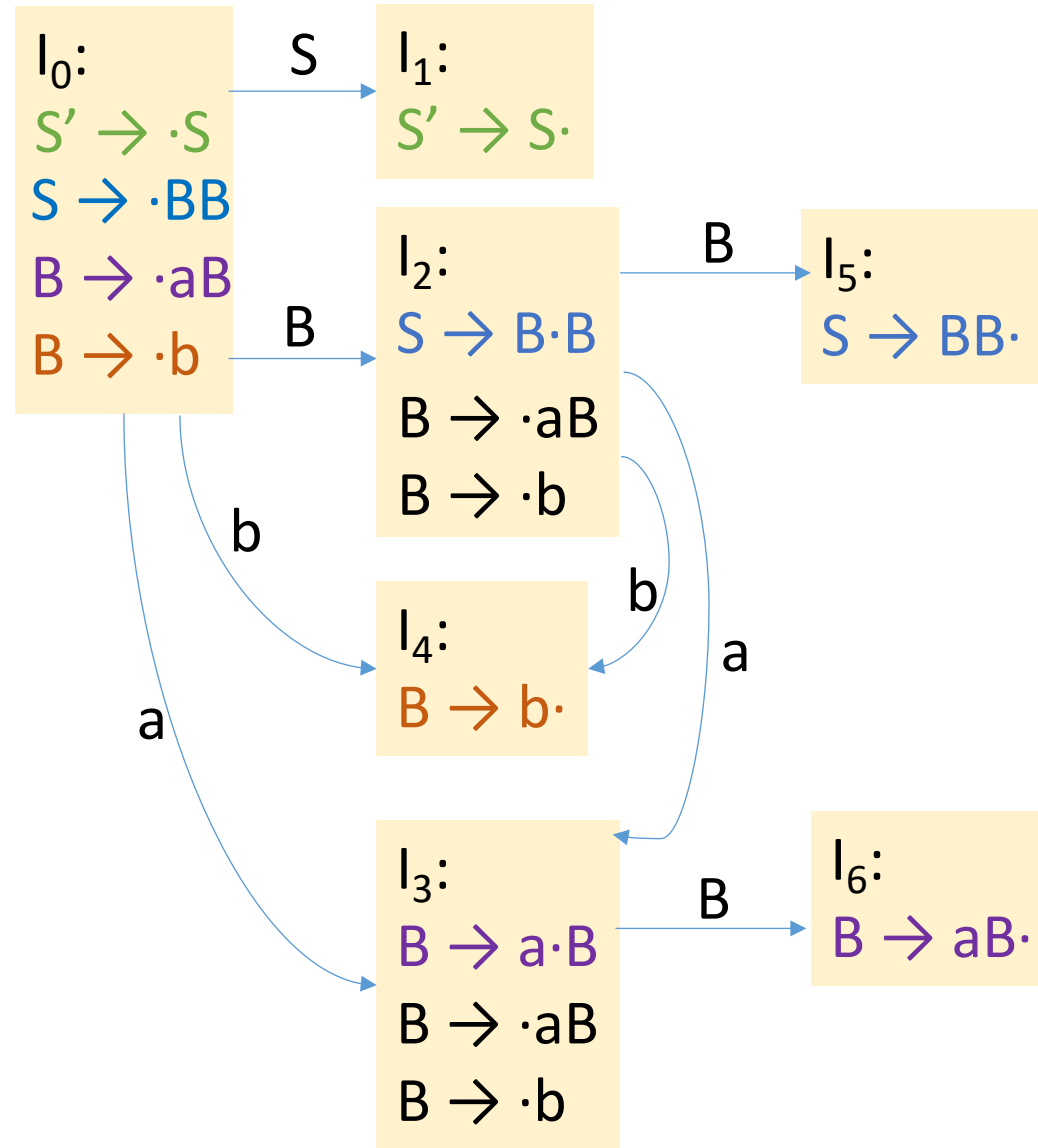
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



# Example

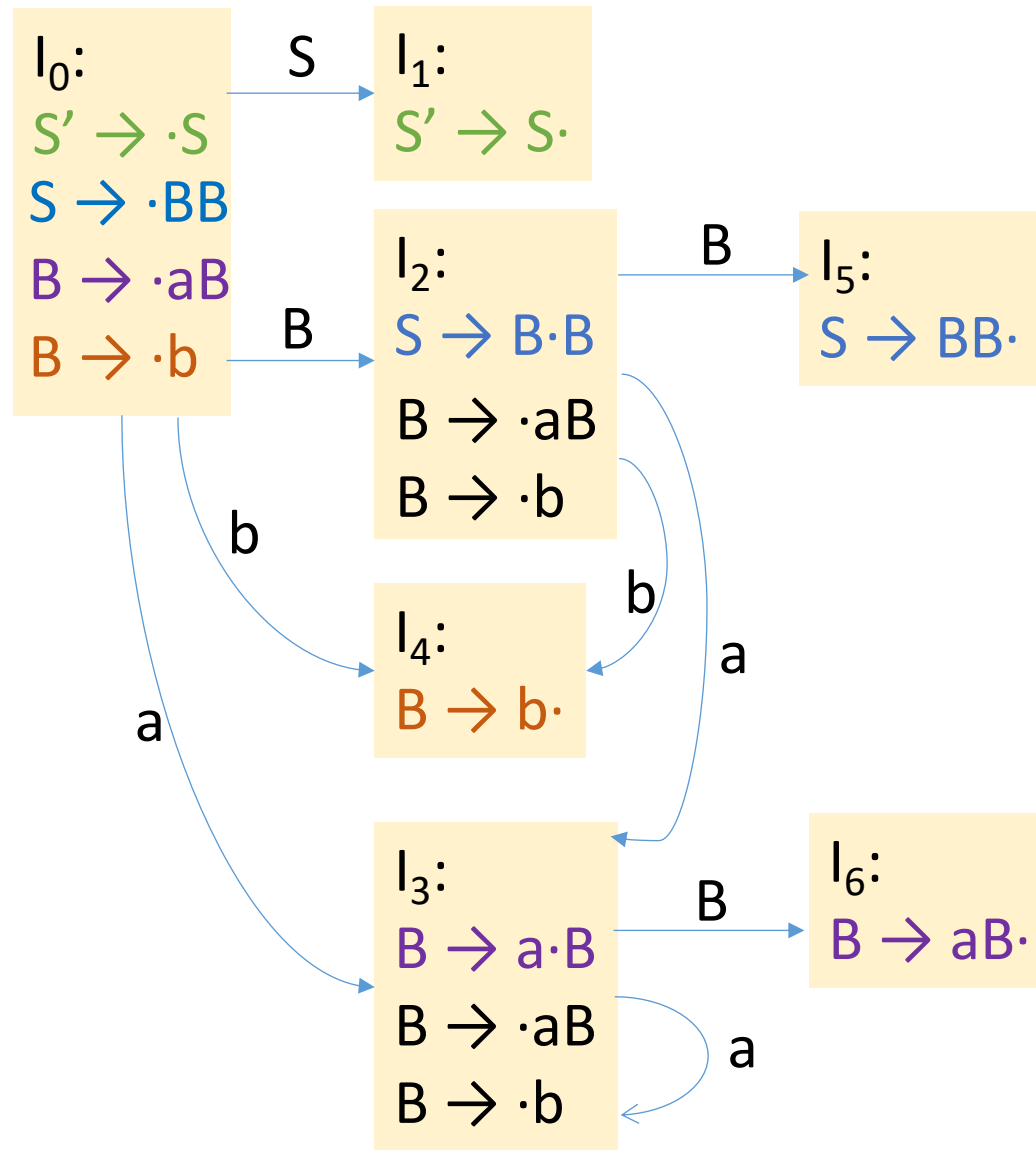
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$





# Example

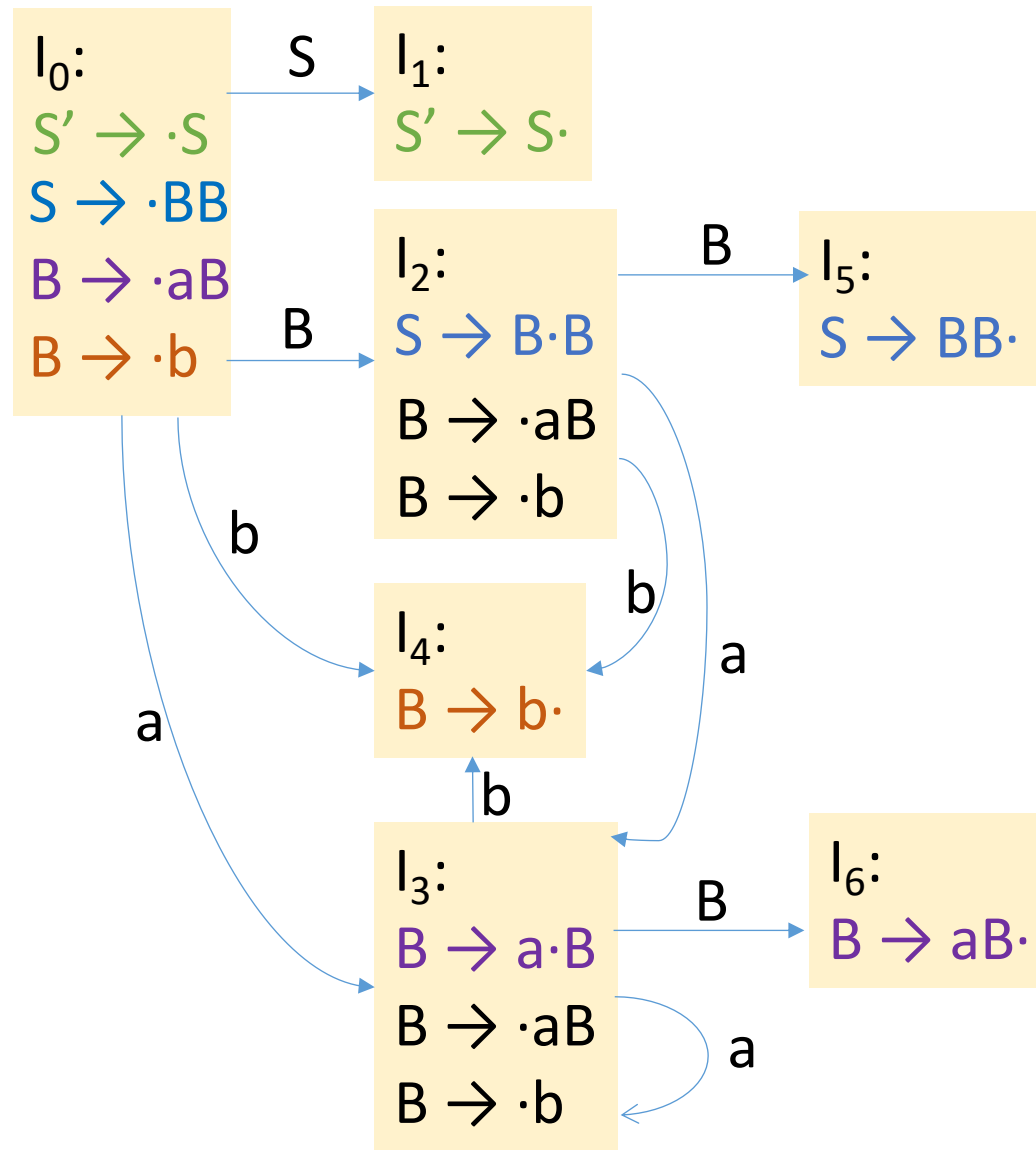
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



# Example

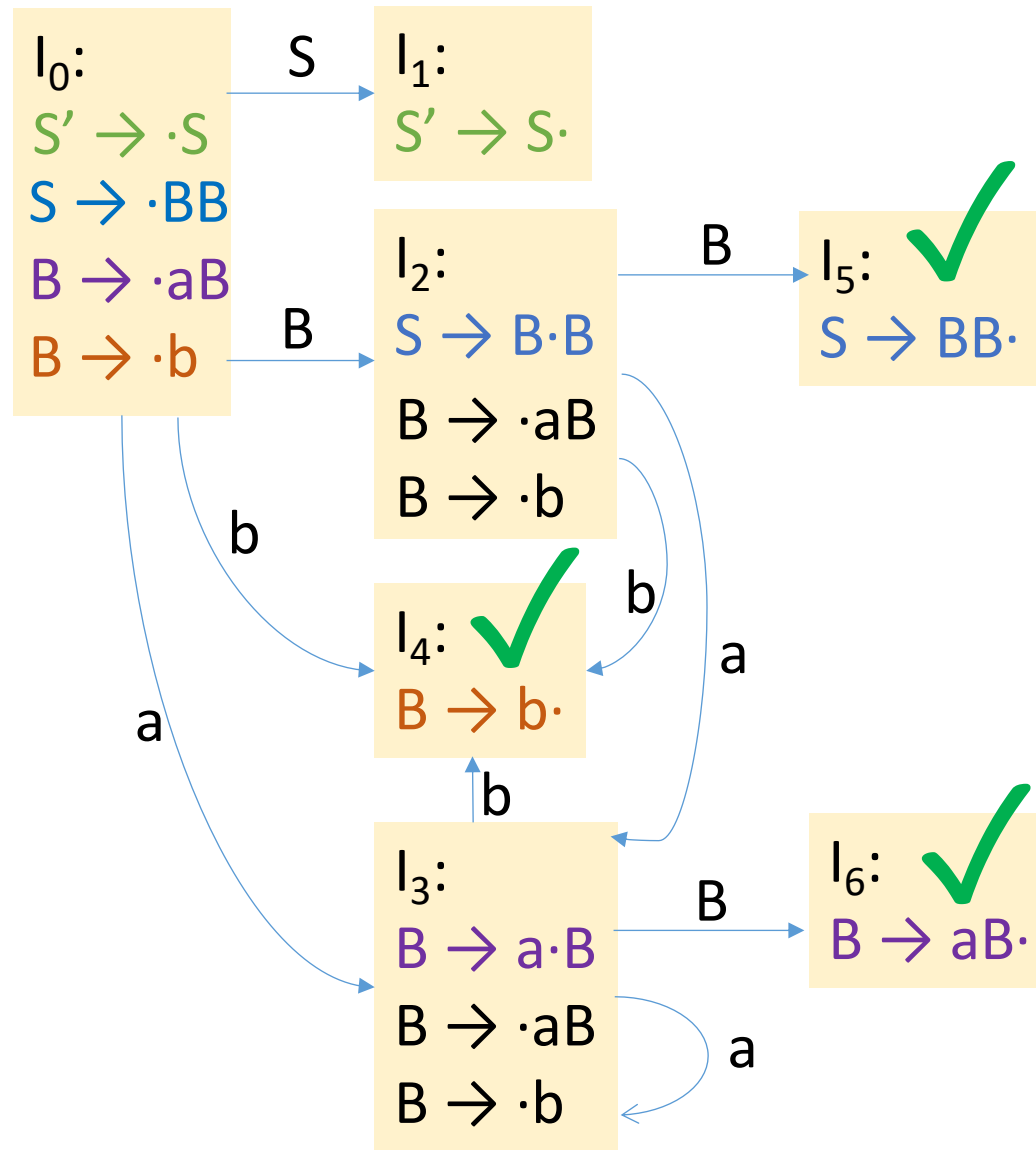
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



# Example (cont.)

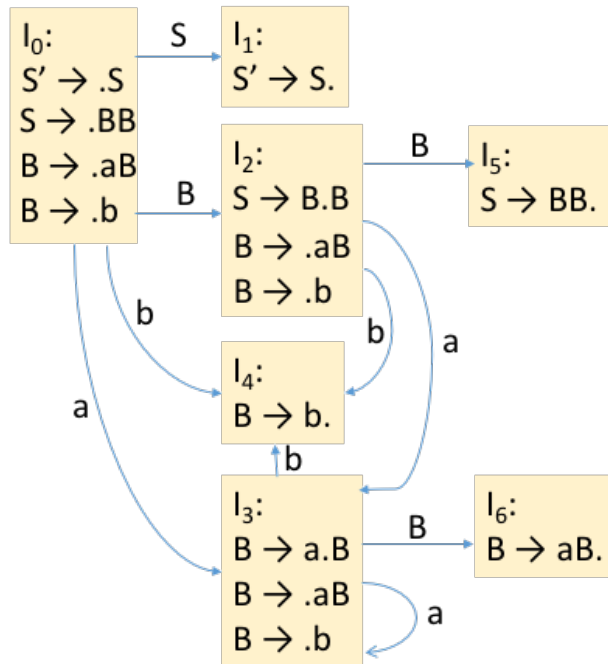
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

“state  $j$ ” refers to the state corresponding to the set of items  $I_j$

# Example (cont.)

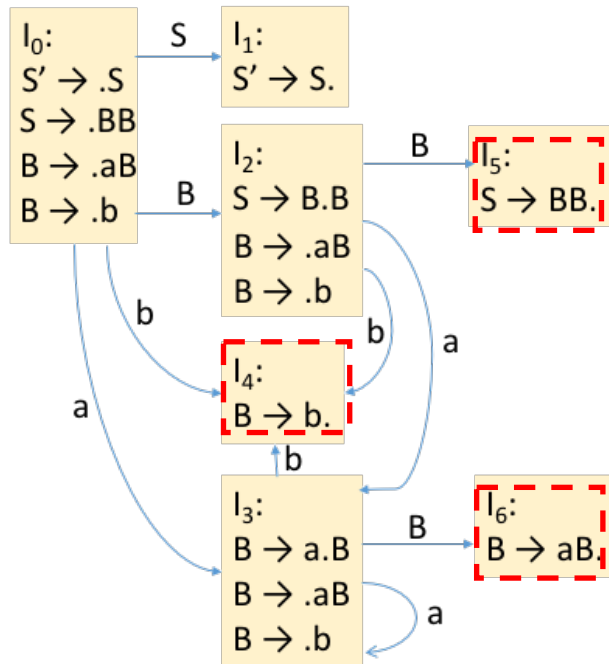
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

“state  $j$ ” refers to the state corresponding to the set of items  $I_j$

# Example (cont.)

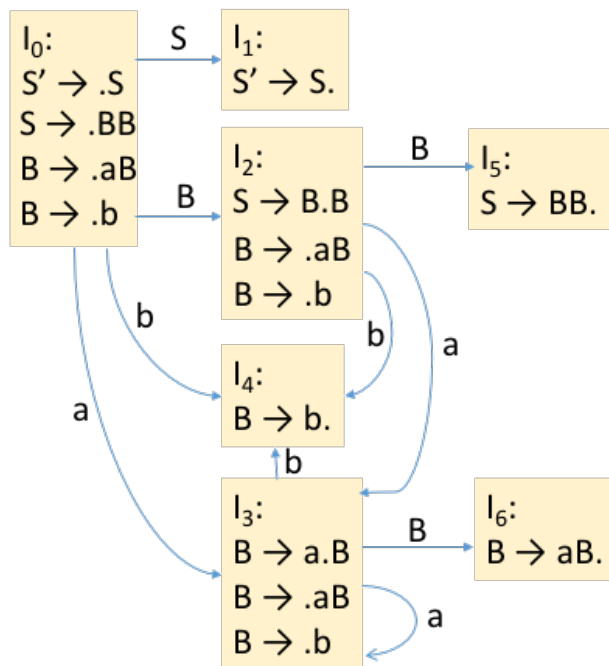
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

“state  $j$ ” refers to the state corresponding to the set of items  $I_j$

# Example (cont.)

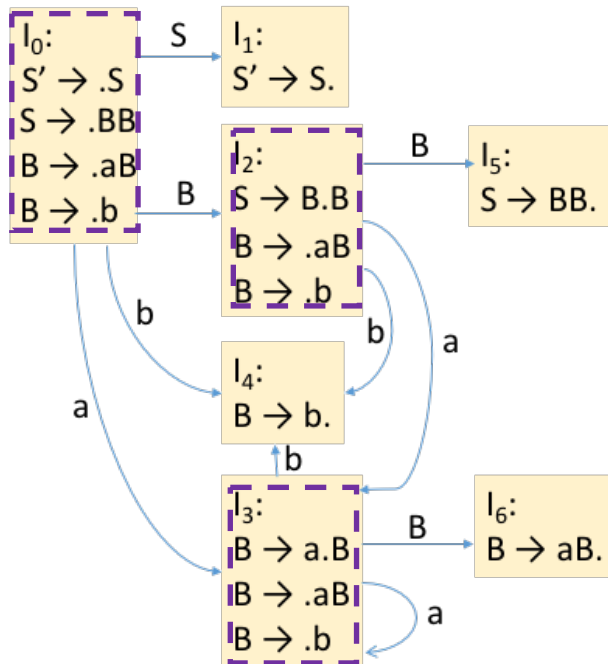
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

“state  $j$ ” refers to the state corresponding to the set of items  $I_j$

# Example (cont.)

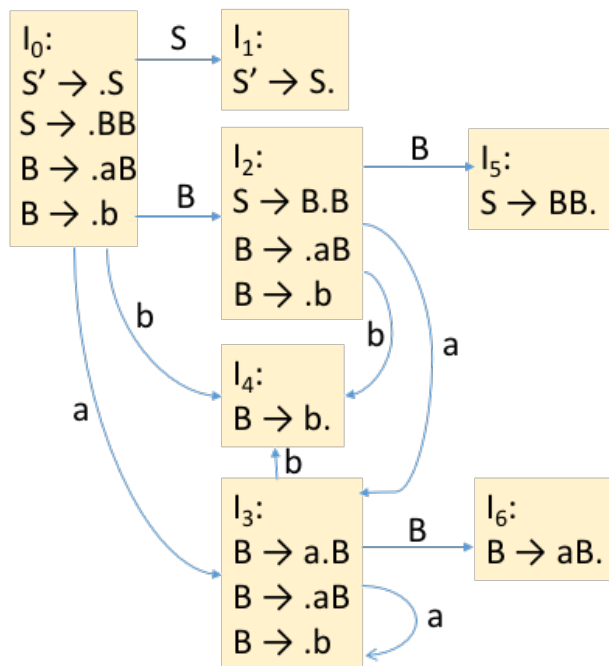
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



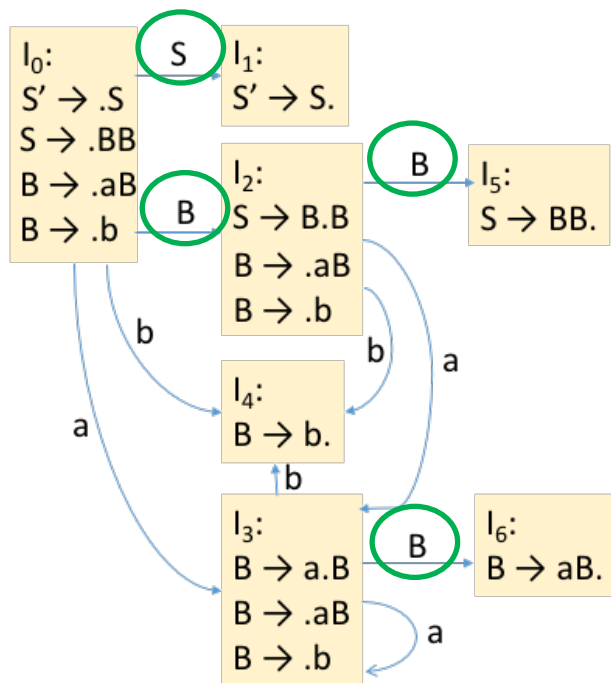
State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

“state  $j$ ” refers to the state corresponding to the set of items  $I_j$

# Example (cont.)

Grammar:

- (0)  $S' \rightarrow S$
- (1)  $S \rightarrow BB$
- (2)  $B \rightarrow aB$
- (3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

“state  $j$ ” refers to the state corresponding to the set of items  $I_j$



# CLOSURE()[闭包]

- **Closure of item sets:** if  $I$  is a set of items for a grammar  $G$ , then  $closure(I)$  is the set of items constructed from  $I$  by the two rules:
  - Initially, add every item in  $I$  to  $CLOSURE(I)$
  - If  $A \rightarrow \alpha \cdot B \beta$  is in  $CLOSURE(I)$  and  $B \rightarrow \gamma$  is a production, then add item  $B \rightarrow \cdot \gamma$  to  $CLOSURE(I)$ , if it is not already there[期待B]
    - Apply this rule until no more new items can be added to  $CLOSURE(I)$

Grammar:

- (0)  $S' \rightarrow S$
- (1)  $S \rightarrow BB$
- (2)  $B \rightarrow aB$
- (3)  $B \rightarrow b$

$S' \rightarrow \cdot S$



$S' \rightarrow \cdot S$

$S \rightarrow \cdot BB$

$B \rightarrow \cdot aB$

$B \rightarrow \cdot b$

# goto()[跳转]

- goto( $I, X$ ): returns state (i.e., set of items) that can be reached by advancing  $X$ [即: 归约到 $X$ ]
  - Where  $I$  is a set of items and  $X$  is a grammar symbol
  - The closure of the set of all items  $[A \rightarrow \alpha X \cdot \beta]$  such that  $[A \rightarrow \alpha \cdot X \beta]$  is in  $I$ [即: 识别了/归约到 $X$ 后的item再闭包]
  - Used to define the transitions in the LR(0) automaton[定义了状态间的转换]
    - The states of the automaton correspond to sets of items, and goto( $I, X$ ) specifies the transition from the state for  $I$  under input  $X$

Grammar:

- (0)  $S' \rightarrow S$
- (1)  $S \rightarrow BB$
- (2)  $B \rightarrow aB$
- (3)  $B \rightarrow b$

$I_0$ :

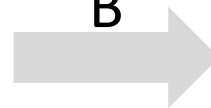
$S' \rightarrow \cdot S$

$S \rightarrow \cdot BB$

$B \rightarrow \cdot aB$

$B \rightarrow \cdot b$

B



$I_2$ :

$S \rightarrow B \cdot B$

$B \rightarrow \cdot aB$

$B \rightarrow \cdot b$

# Construct LR(0) States

---

- [增广文法] Create augmented grammar  $G'$  for  $G$ 
  - Given  $G: S \rightarrow \alpha \mid \beta$ , create  $G': S' \rightarrow S \quad S \rightarrow \alpha \mid \beta$
  - Creates a single rule  $S' \rightarrow S$  that when reduced, signals acceptance
- [初始状态] Create 1<sup>st</sup> state by performing a closure on init item  $S' \rightarrow \cdot S$ 
  - **Closure(I)**: creates state from an initial set of items  $I$
  - $\text{Closure}(\{S' \rightarrow \cdot S\}) = \{S' \rightarrow \cdot S, S \rightarrow \cdot \alpha, S \rightarrow \cdot \beta\}$
- [添加状态] Create additional states by performing a **goto** on each symbol
  - **Goto(I, X)**: creates state that can be reached from  $I$  by advancing  $X$
  - If  $\alpha$  is single symbol, the following new state will be created:  
 $\text{Goto}(\{S' \rightarrow \cdot S, S \rightarrow \cdot \alpha, S \rightarrow \cdot \beta\}, \alpha) =$   
 $\text{Closure}(\{S \rightarrow \alpha \cdot\}) = \{S \rightarrow \alpha \cdot\}$
- [重复操作] Repeatedly perform gotos until no more states to add

# Construct DFA

- Compute canonical LR(0) collection [规范LR(0)项集族,  $C$ ], i.e., set of all states in DFA
  - One collection of sets of LR(0) items provides the basis for constructing a DFA that is used to make parsing decisions
  - Such an automaton is called an **LR(0) automaton** [LR(0)自动机]
    - Each state of the LR(0) automaton represents a set of items in the  $C$
- All new states are added through  $\text{goto}(I, X)$ 
  - State transitions are done on symbol  $X$

```
void items( $G'$ ) { //  $G'$ : the augmented grammar
   $C = \{ \text{CLOSURE}(\{[S' \rightarrow \cdot S]\}) \}$ ; //  $C$ : the canonical collection of sets of LR(0) items
  repeat
    for ( each state  $I$  in  $C$  )
      for ( each grammar symbol  $X$  )
        if (  $\text{goto}(I, X)$  is not empty and not in  $C$  )
          add  $\text{goto}(I, X)$  to  $C$ ;
  until no new states are added to  $C$ 
}
```

# LR(0) Automaton[自动机]

---

- The LR(0) automaton: each time we perform a shift we are following a transition to a new state[移入：到新状态]
  - States: the sets of items in  $C$ 
    - Start state:  $CLOSURE(\{[S' \rightarrow \cdot S]\})$
    - State  $j$  refers to the state corresponding to the set of items  $I_j$
  - Transitions are given by the goto() function
- How can the automaton help with shift-reduce decisions?
  - Suppose that the string  $\gamma$  of grammar symbols takes the LR(0) automaton from the start state  $0$  to some state  $j$
  - Then, shift on next input symbol  $a$  if state  $j$  has a transition on  $a$
  - Otherwise, we choose to reduce
    - The items in state  $j$  tell us which production to use (e.g.,  $E \rightarrow \alpha$ )
    - $E \rightarrow \alpha$ : pop states for  $\alpha$ , bringing state  $x$  to the top and look for a transition on  $E$  to state  $y$  (i.e., state  $x$  has a transition on  $E$  to state  $y$ ), which is then pushed to stack

# The Example

Grammar:

$$(0) S' \rightarrow S$$

$$(1) S \rightarrow BB$$

$$(2) B \rightarrow aB$$

$$(3) B \rightarrow b$$

- $S_0 = \text{Closure}(\{S' \rightarrow \cdot S\})$   
 $= \{S' \rightarrow \cdot S, S \rightarrow \cdot BB, B \rightarrow \cdot aB, B \rightarrow \cdot b\}$
- $\text{Goto}(S_0, B) = \text{closure}(\{S \rightarrow B \cdot B\})$   
 $S_2 = \{S \rightarrow B \cdot B, B \rightarrow \cdot aB, B \rightarrow \cdot b\}$
- $\text{Goto}(S_0, a) = \text{closure}(\{B \rightarrow a \cdot B\})$   
 $S_3 = \{B \rightarrow a \cdot B, B \rightarrow \cdot aB, B \rightarrow \cdot b\}$
- $\text{Goto}(S_0, b) = \text{closure}(\{B \rightarrow b \cdot\})$   
 $S_4 = \{B \rightarrow b \cdot\}$

... ..

# The Example

Grammar:

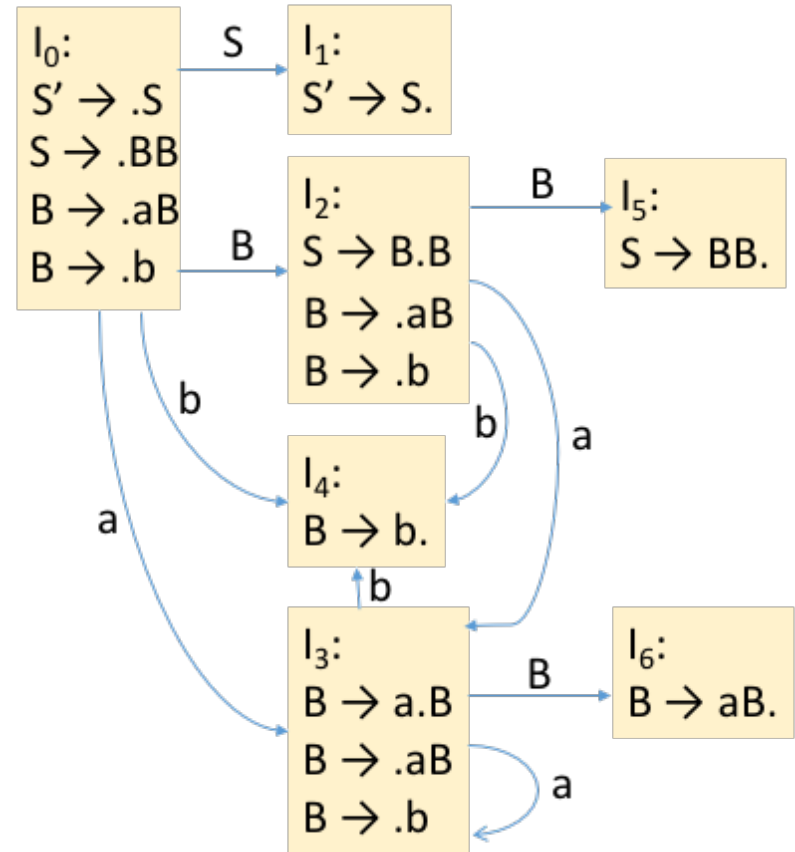
(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

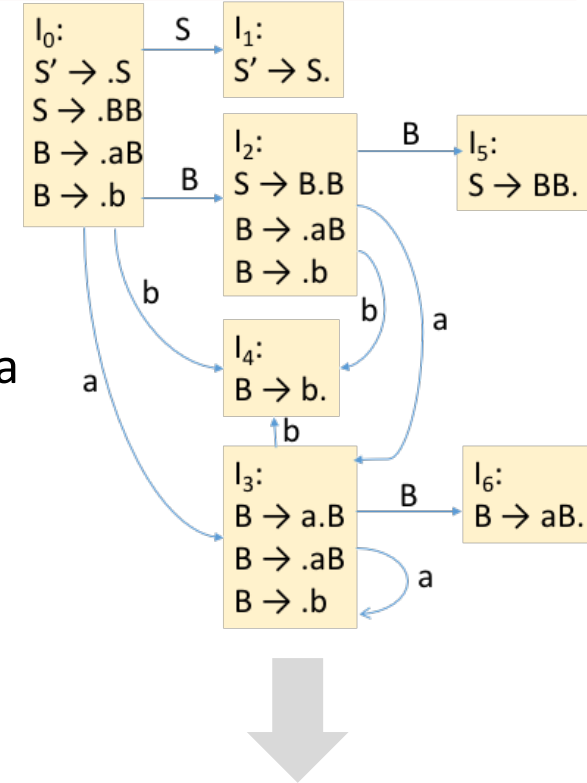
(3)  $B \rightarrow b$

- $S_0 = \text{Closure}(\{S' \rightarrow \cdot S\})$   
 $= \{S' \rightarrow \cdot S, S \rightarrow \cdot BB, B \rightarrow \cdot aB, B \rightarrow \cdot b\}$
- $\text{Goto}(S_0, B) = \text{closure}(\{S \rightarrow B \cdot B\})$   
 $S_2 = \{S \rightarrow B \cdot B, B \rightarrow \cdot aB, B \rightarrow \cdot b\}$
- $\text{Goto}(S_0, a) = \text{closure}(\{B \rightarrow a \cdot B\})$   
 $S_3 = \{B \rightarrow a \cdot B, B \rightarrow \cdot aB, B \rightarrow \cdot b\}$
- $\text{Goto}(S_0, b) = \text{closure}(\{B \rightarrow b \cdot\})$   
 $S_4 = \{B \rightarrow b \cdot\}$



# Build Parse Table from DFA

- ACTION: [*state*, *terminal symbol*]
- GOTO: [*state*, *non-terminal symbol*]
- ACTION[动作]
  - If  $[A \rightarrow \alpha \cdot a \beta]$  is in  $S_i$  and  $\text{goto}(S_i, a) = S_j$ , where “a” is a terminal, then  $\text{ACTION}[S_i, a] = \text{shift } j$  (*sj*)
  - If  $[A \rightarrow \alpha \cdot]$  is in  $S_i$  and  $A \rightarrow \alpha$  is rule numbered  $j$ , then  $\text{ACTION}[S_i, a] = \text{reduce } j$  (*rj*)
  - If  $[S' \rightarrow S \cdot]$  is in  $S_i$  then  $\text{ACTION}[S_i, \$] = \text{accept}$
  - If no conflicts among ‘shift’ and ‘reduce’ (the first two ‘if’s), then this parser is able to parse the given grammar
- GOTO[跳转]
  - if  $\text{goto}(S_i, A) = S_j$  then  $\text{GOTO}[S_i, A] = j$
- All entries not filled are rejects

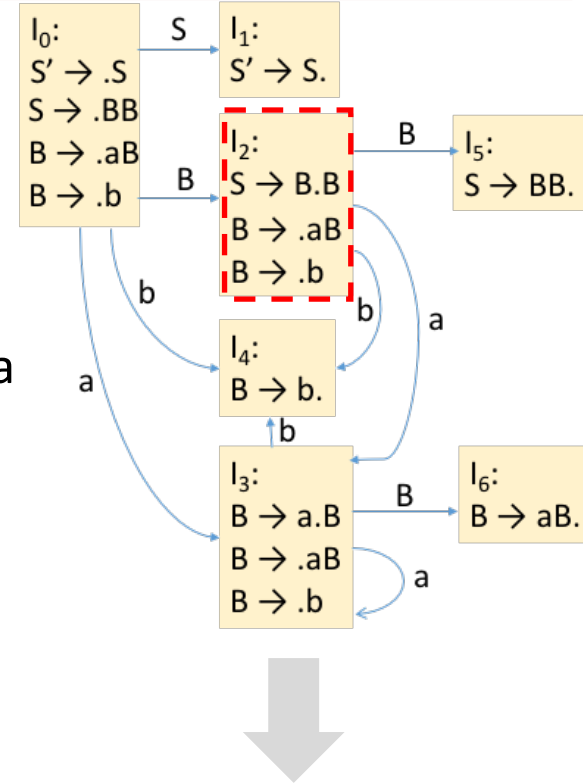


State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		



# Build Parse Table from DFA

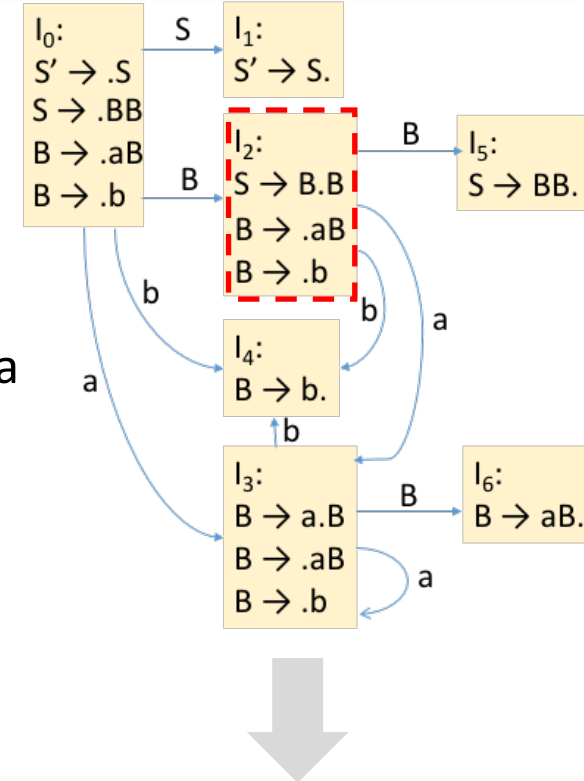
- ACTION: [*state*, *terminal symbol*]
- GOTO: [*state*, *non-terminal symbol*]
- ACTION[动作]
  - If  $[A \rightarrow \alpha \cdot a \beta]$  is in  $S_i$  and  $\text{goto}(S_i, a) = S_j$ , where “a” is a terminal, then  $\text{ACTION}[S_i, a] = \text{shift } j$  (*sj*)
  - If  $[A \rightarrow \alpha \cdot]$  is in  $S_i$  and  $A \rightarrow \alpha$  is rule numbered  $j$ , then  $\text{ACTION}[S_i, a] = \text{reduce } j$  (*rj*)
  - If  $[S' \rightarrow S \cdot]$  is in  $S_i$  then  $\text{ACTION}[S_i, \$] = \text{accept}$
  - If no conflicts among ‘shift’ and ‘reduce’ (the first two ‘if’s), then this parser is able to parse the given grammar
- GOTO[跳转]
  - if  $\text{goto}(S_i, A) = S_j$  then  $\text{GOTO}[S_i, A] = j$
- All entries not filled are rejects



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

# Build Parse Table from DFA

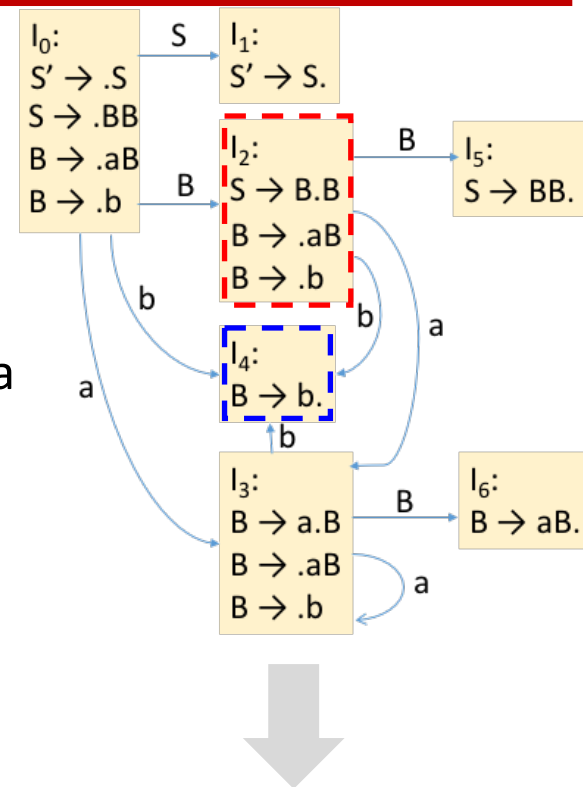
- ACTION: [*state*, *terminal symbol*]
- GOTO: [*state*, *non-terminal symbol*]
- ACTION[动作]
  - If  $[A \rightarrow \alpha \cdot a \beta]$  is in  $S_i$  and  $\text{goto}(S_i, a) = S_j$ , where “a” is a terminal, then  $\text{ACTION}[S_i, a] = \text{shift } j$  (*sj*)
  - If  $[A \rightarrow \alpha \cdot]$  is in  $S_i$  and  $A \rightarrow \alpha$  is rule numbered  $j$ , then  $\text{ACTION}[S_i, a] = \text{reduce } j$  (*rj*)
  - If  $[S' \rightarrow S \cdot]$  is in  $S_i$  then  $\text{ACTION}[S_i, \$] = \text{accept}$
  - If no conflicts among ‘shift’ and ‘reduce’ (the first two ‘if’s), then this parser is able to parse the given grammar
- GOTO[跳转]
  - if  $\text{goto}(S_i, A) = S_j$  then  $\text{GOTO}[S_i, A] = j$
- All entries not filled are rejects



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

# Build Parse Table from DFA

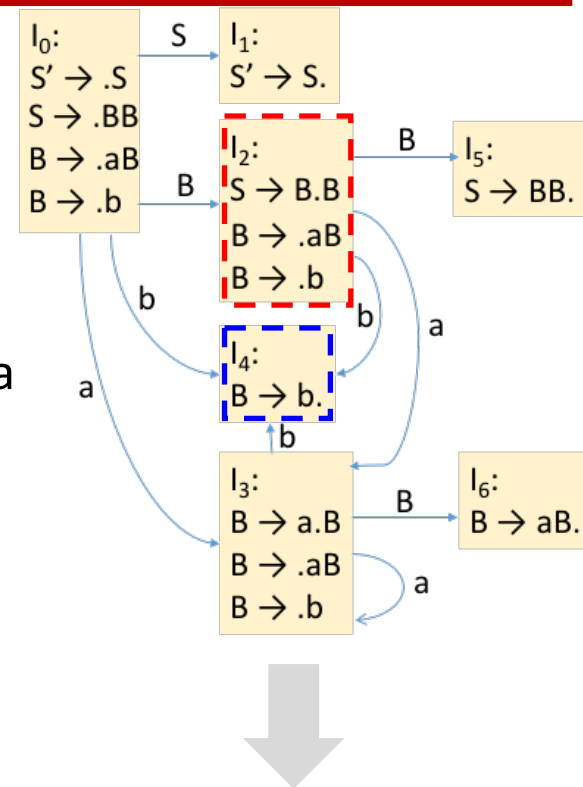
- ACTION: [*state*, *terminal symbol*]
- GOTO: [*state*, *non-terminal symbol*]
- ACTION[动作]
  - If  $[A \rightarrow \alpha \cdot a \beta]$  is in  $S_i$  and  $\text{goto}(S_i, a) = S_j$ , where “a” is a terminal, then  $\text{ACTION}[S_i, a] = \text{shift } j$  (*sj*)
  - If  $[A \rightarrow \alpha \cdot]$  is in  $S_i$  and  $A \rightarrow \alpha$  is rule numbered  $j$ , then  $\text{ACTION}[S_i, a] = \text{reduce } j$  (*rj*)
  - If  $[S' \rightarrow S \cdot]$  is in  $S_i$  then  $\text{ACTION}[S_i, \$] = \text{accept}$
  - If no conflicts among ‘shift’ and ‘reduce’ (the first two ‘if’s), then this parser is able to parse the given grammar
- GOTO[跳转]
  - if  $\text{goto}(S_i, A) = S_j$  then  $\text{GOTO}[S_i, A] = j$
- All entries not filled are rejects



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

# Build Parse Table from DFA

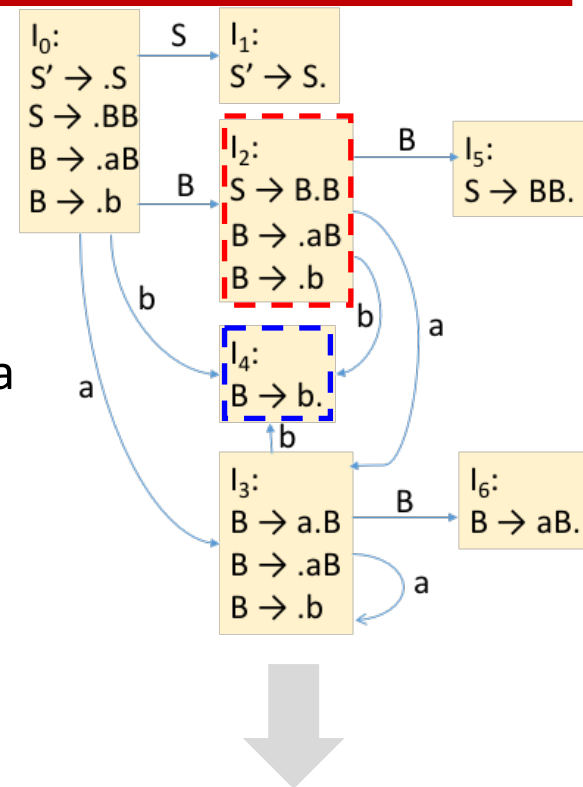
- ACTION: [*state, terminal symbol*]
- GOTO: [*state, non-terminal symbol*]
- ACTION[动作]
  - If  $[A \rightarrow \alpha \cdot a \beta]$  is in  $S_i$  and  $\text{goto}(S_i, a) = S_j$ , where "a" is a terminal, then  $\text{ACTION}[S_i, a] = \text{shift } j$  (*sj*)
  - If  $[A \rightarrow \alpha \cdot]$  is in  $S_i$  and  $A \rightarrow \alpha$  is rule numbered  $j$ , then  $\text{ACTION}[S_i, a] = \text{reduce } j$  (*rj*)
  - If  $[S' \rightarrow S \cdot]$  is in  $S_i$  then  $\text{ACTION}[S_i, \$] = \text{accept}$
  - If no conflicts among 'shift' and 'reduce' (the first two 'if's), then this parser is able to parse the given grammar
- GOTO[跳转]
  - if  $\text{goto}(S_i, A) = S_j$  then  $\text{GOTO}[S_i, A] = j$
- All entries not filled are rejects



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

# Build Parse Table from DFA

- ACTION: [*state, terminal symbol*]
- GOTO: [*state, non-terminal symbol*]
- ACTION[动作]
  - If  $[A \rightarrow \alpha \cdot a \beta]$  is in  $S_i$  and  $\text{goto}(S_i, a) = S_j$ , where “a” is a terminal, then  $\text{ACTION}[S_i, a] = \text{shift } j$  (*sj*)
  - If  $[A \rightarrow \alpha \cdot]$  is in  $S_i$  and  $A \rightarrow \alpha$  is rule numbered  $j$ , then  $\text{ACTION}[S_i, a] = \text{reduce } j$  (*rj*)
  - If  $[S' \rightarrow S \cdot]$  is in  $S_i$  then  $\text{ACTION}[S_i, \$] = \text{accept}$
  - If no conflicts among ‘shift’ and ‘reduce’ (the first two ‘if’s), then this parser is able to parse the given grammar
- GOTO[跳转]
  - if  $\text{goto}(S_i, A) = S_j$  then  $\text{GOTO}[S_i, A] = j$
- All entries not filled are rejects



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

# The Example

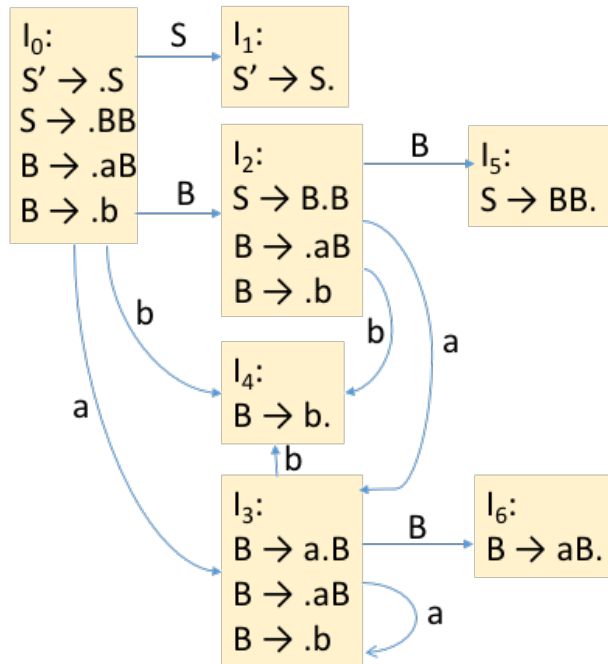
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
<b>0</b>	s3	s4		1	2
<b>1</b>			acc		
<b>2</b>	s3	s4			5
<b>3</b>	s3	s4			6
<b>4</b>	r3	r3	r3		
<b>5</b>	r1	r1	r1		
<b>6</b>	r2	r2	r2		

# The Example

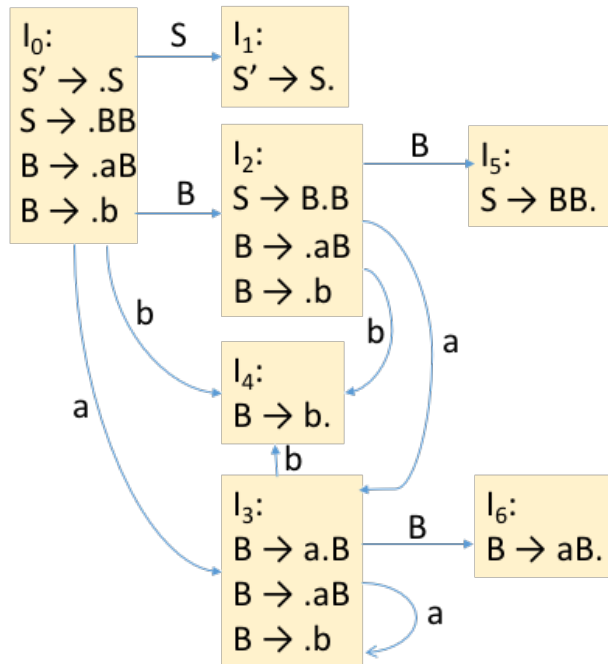
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

# The Example

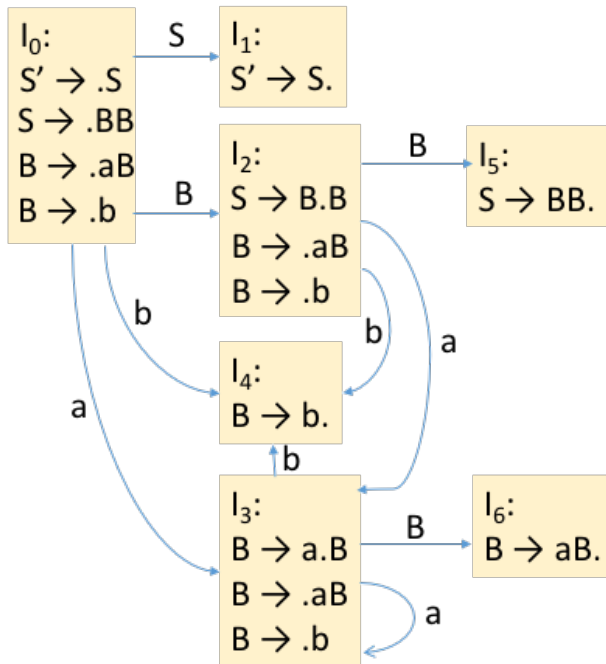
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$



# The Example

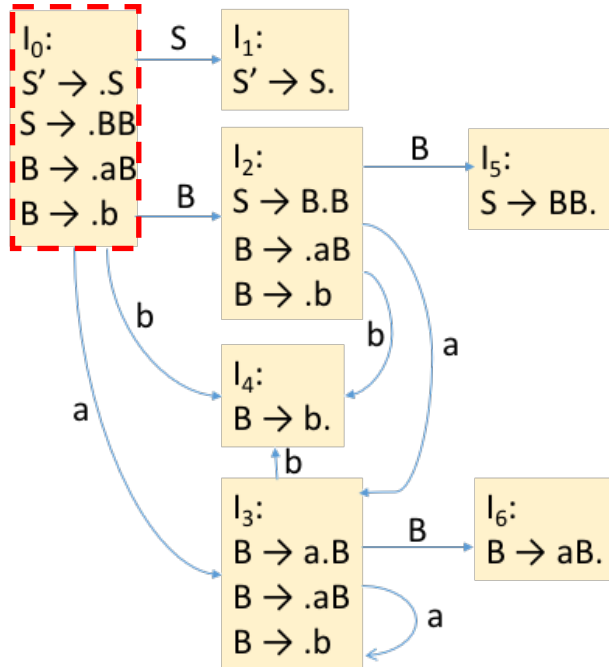
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

# The Example

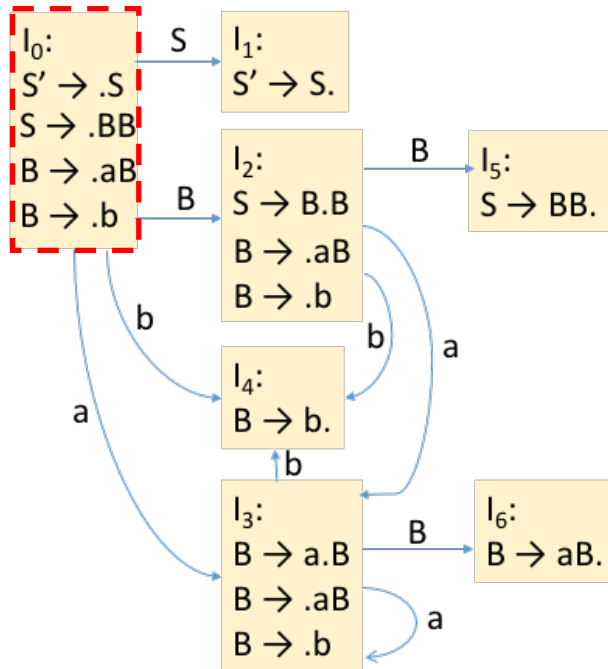
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

# The Example

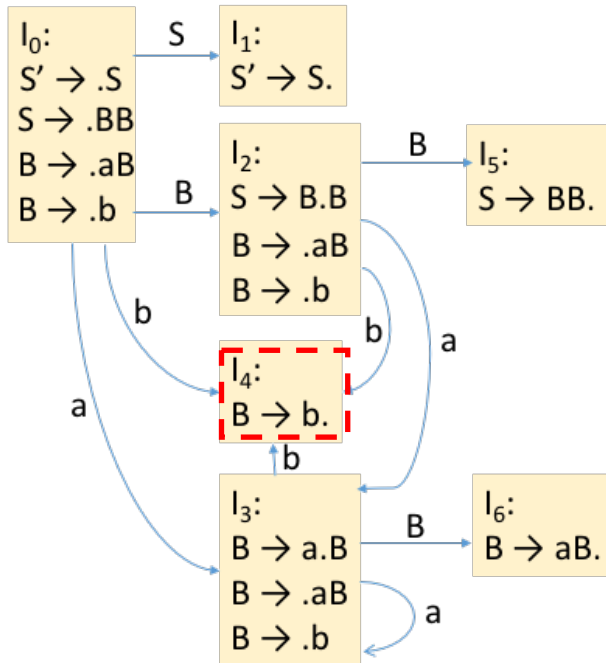
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

# The Example

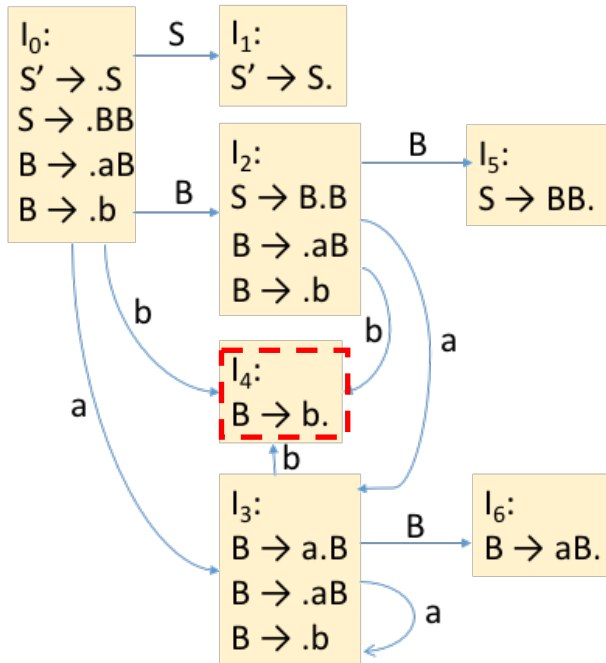
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0

0 4

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

# The Example

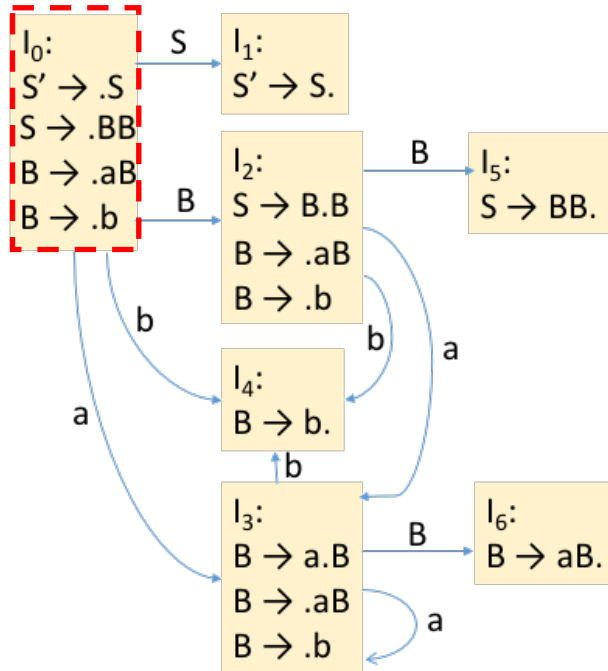
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0

0 4

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

# The Example

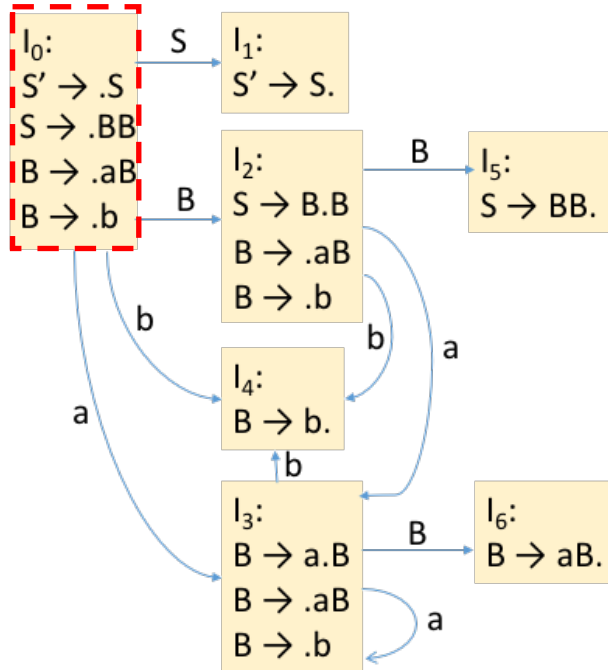
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

# The Example

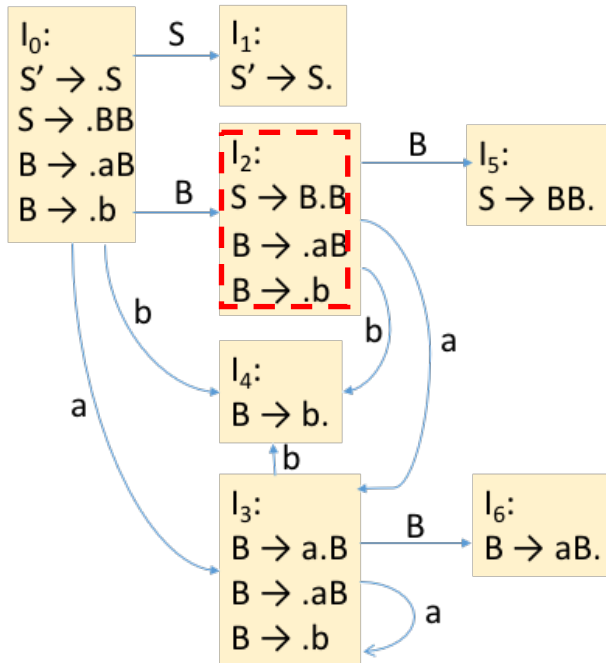
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

# The Example

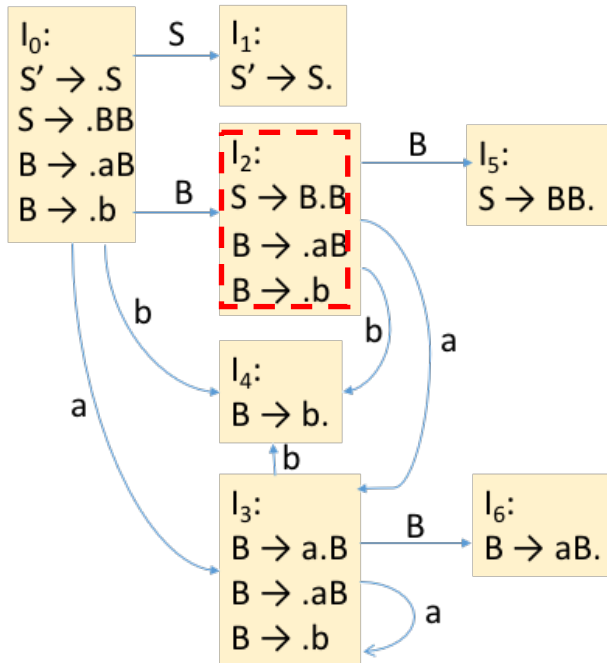
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0

0 4

0 2

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$



# The Example

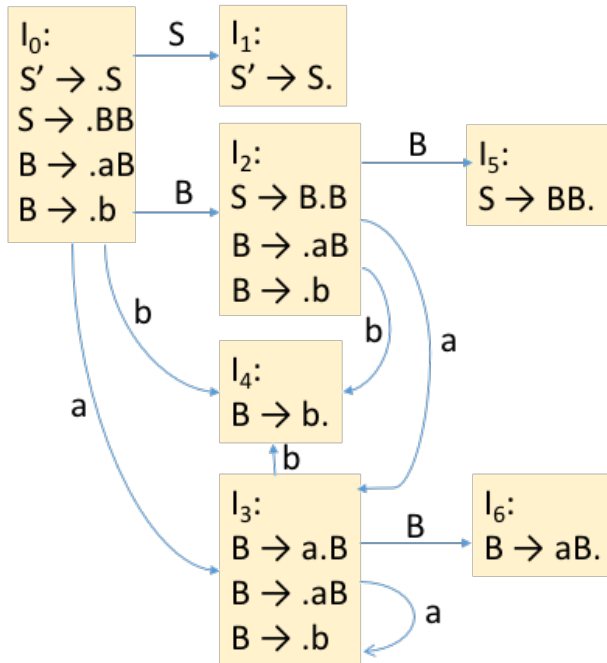
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String:  $bab$

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0

0 4

0 2

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

# The Example

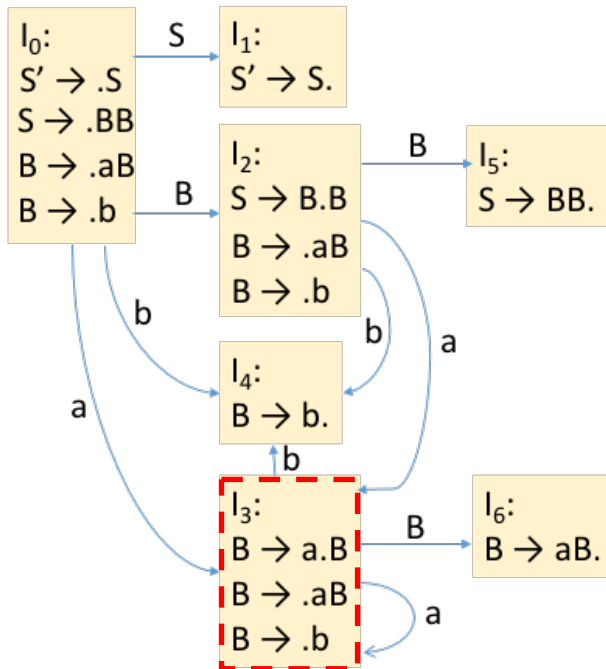
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0                      0 4                      0 2

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$



# The Example

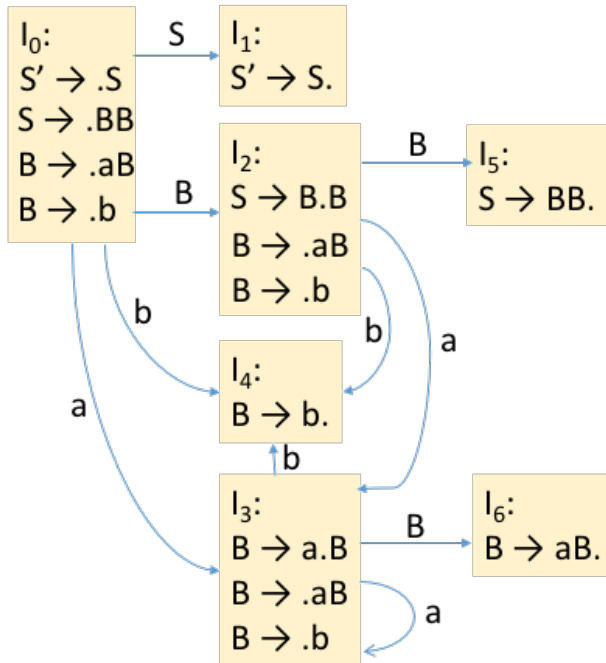
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0                      0 4                      0 2                      0 2 3

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

# The Example

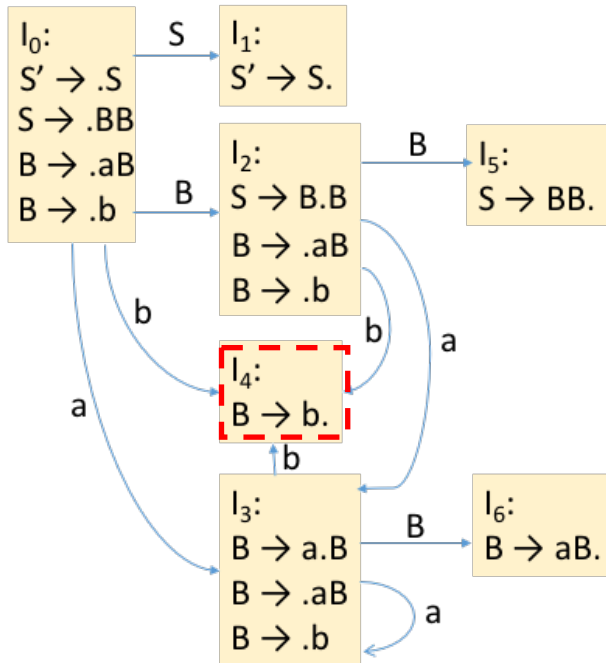
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0                      0 4                      0 2                      0 2 3

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

# The Example

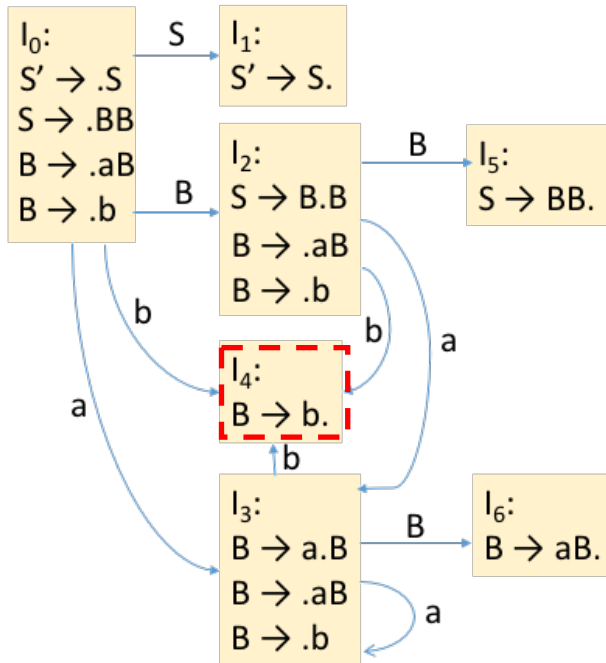
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0                      0 4                      0 2                      0 2 3

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

0 2 3 4











# The Example

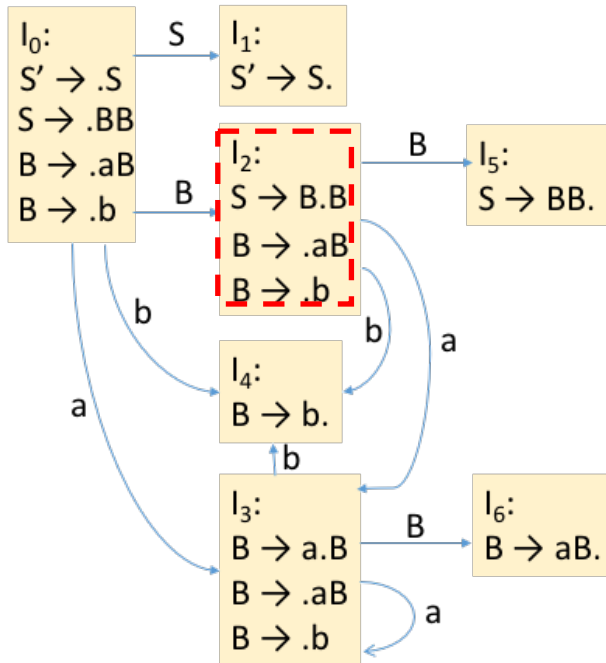
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String:  $bab$

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0                      0 4                      0 2                      0 2 3

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

0 2 3 4                      0 2 3 6



# The Example

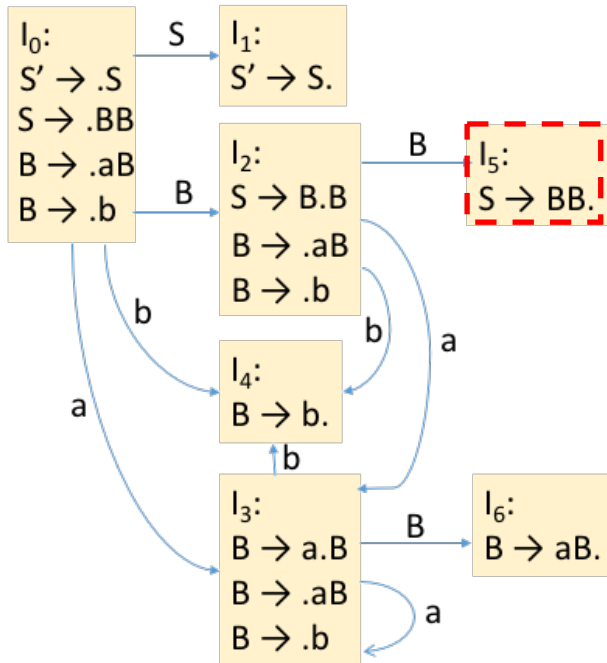
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0                      0 4                      0 2                      0 2 3

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

0 2 3 4                      0 2 3 6                      0 2





# The Example

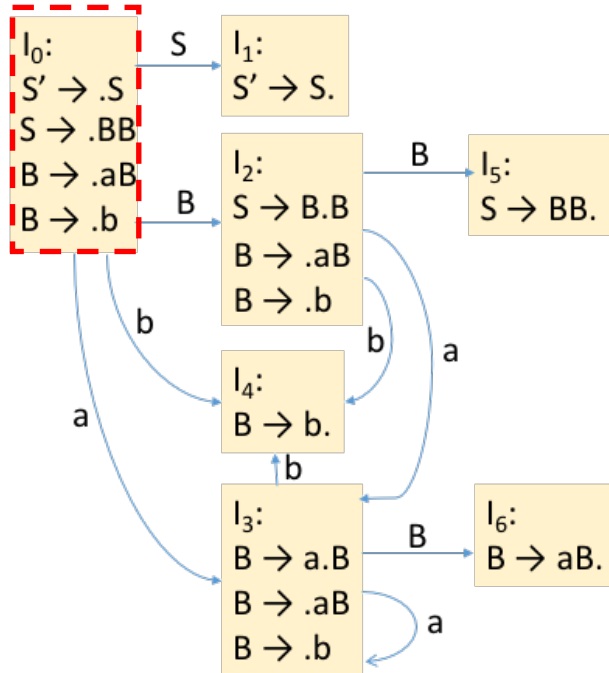
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0                      0 4                      0 2                      0 2 3

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

0 2 3 4                      0 2 3 6                      0 2 5                      0



# The Example

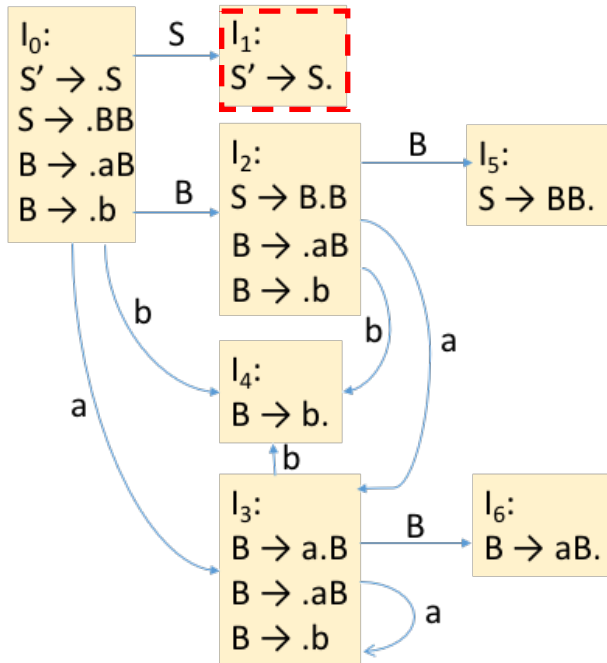
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String:  $bab$

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0                      0 4                      0 2                      0 2 3

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

0 2 3 4                      0 2 3 6                      0 2 5                      0

# The Example

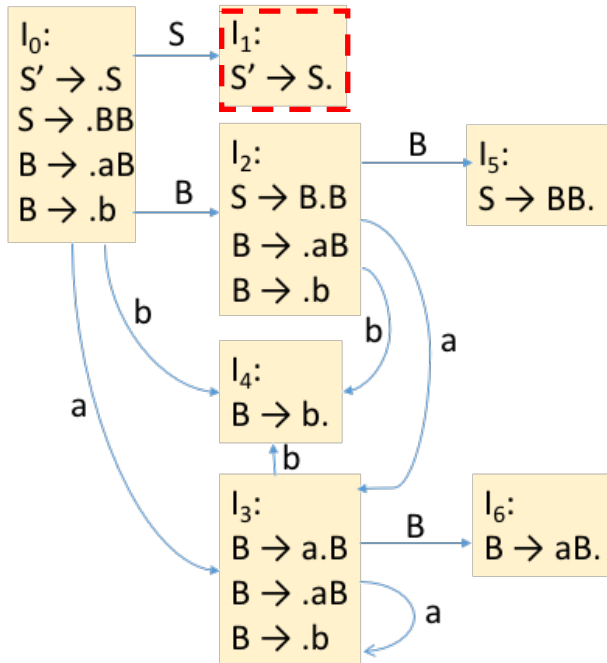
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

$\begin{matrix} 0 & & 04 & & 02 & & 023 \\ \Rightarrow & Bab\#\$ & \Rightarrow & BaB\#\$ & \Rightarrow & BB\#\$ & \Rightarrow & S\#\$ \\ & 0234 & & 0236 & & 025 & & 01 \end{matrix}$

# The Example

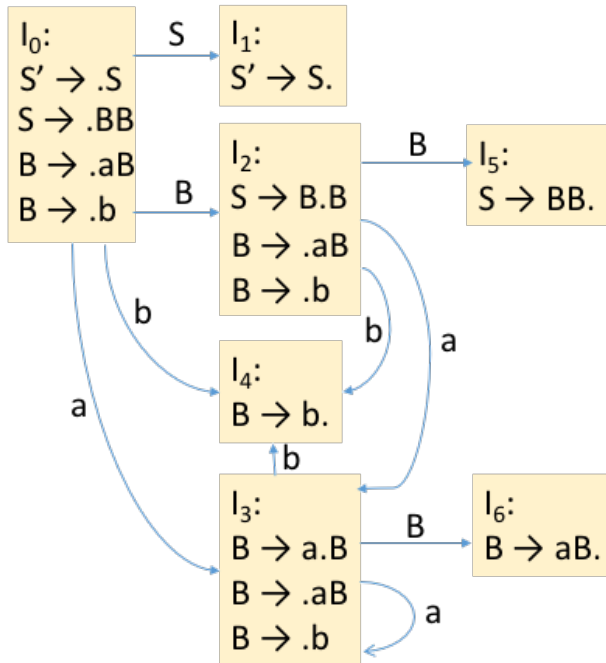
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String: **bab**

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

0                      04                      02                      023

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

0234                      0236                      025                      01

# The Example (cont.)

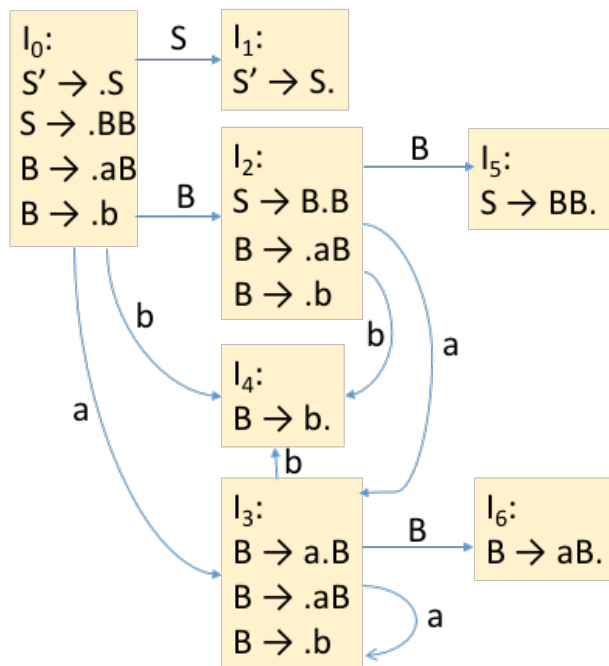
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

String:  $bab$

$\#bab\$ \Rightarrow b\#ab\$ \Rightarrow B\#ab\$ \Rightarrow Ba\#b\$$

$\Rightarrow Bab\#\$ \Rightarrow BaB\#\$ \Rightarrow BB\#\$ \Rightarrow S\#\$$

# The Example (cont.)

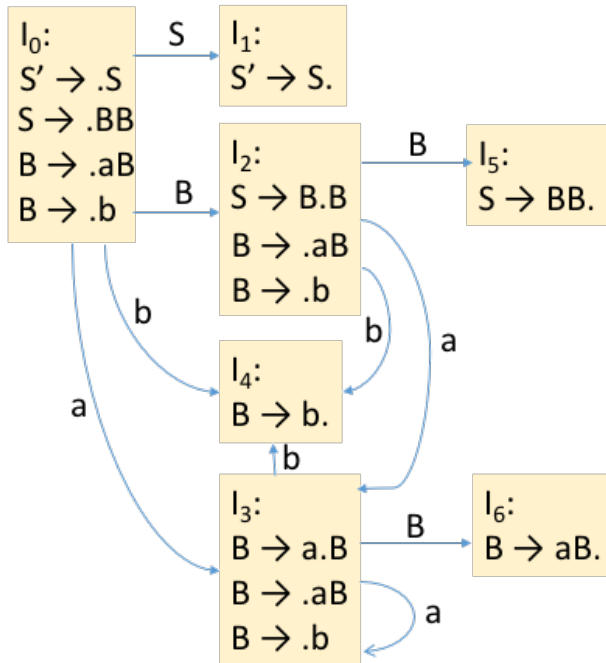
Grammar:

(0)  $S' \rightarrow S$

(1)  $S \rightarrow BB$

(2)  $B \rightarrow aB$

(3)  $B \rightarrow b$



State	ACTION			GOTO	
	a	b	\$	S	B
0	s3	s4		1	2
1			acc		
2	s3	s4			5
3	s3	s4			6
4	r3	r3	r3		
5	r1	r1	r1		
6	r2	r2	r2		

☆ 是LR(0)，没有任何lookahead ☆

- state直接决定了是shift/reduce，并不需要看输入符号
- 若reduce，输入符号及整个input buffer没有任何变化
- 若shift，输入符号从input buffer移入stack

# LR(0) Parsing

- Construct LR(0) automaton from the Grammar[由文法构建自动机]
- Idea: assume
  - Input buffer contains  $\alpha$ [但buffer不止有 $\alpha$ ]
  - Next input is  $t$ [ $\alpha$ 后是 $t$ ]
  - DFA on input  $\alpha$  terminates in state  $s$ 
    - $\alpha$ 处理完毕后处于状态 $s$
- Next: **reduce** by  $X \rightarrow \beta$  if[归约]
  - $s$  contains item  $X \rightarrow \beta \cdot$
- Or, **shift** if[移进]
  - $s$  contains item  $X \rightarrow \beta \cdot t w$
  - Equivalent to saying  $s$  has a transition labeled  $t$

