



中山大學  
SUN YAT-SEN UNIVERSITY

计算机学院 (软件学院)

SCHOOL OF COMPUTER SCIENCE AND ENGINEERING

# Compilation Principle

## 编译原理

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### 第18讲：中间代码(1)

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[xianweiz.github.io](https://xianweiz.github.io)

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# Review Questions

- Examples of semantic analysis?

Define-use, scoping, typing, ...

- Differences of SDD and SDT?

SDD: syntax-directed definition, high-level abstraction

SDT: syntax-directed translation scheme

- S-SDD and L-SDD, which is more general?

L-SDD, allow to have both synthesized and inherited attributes.

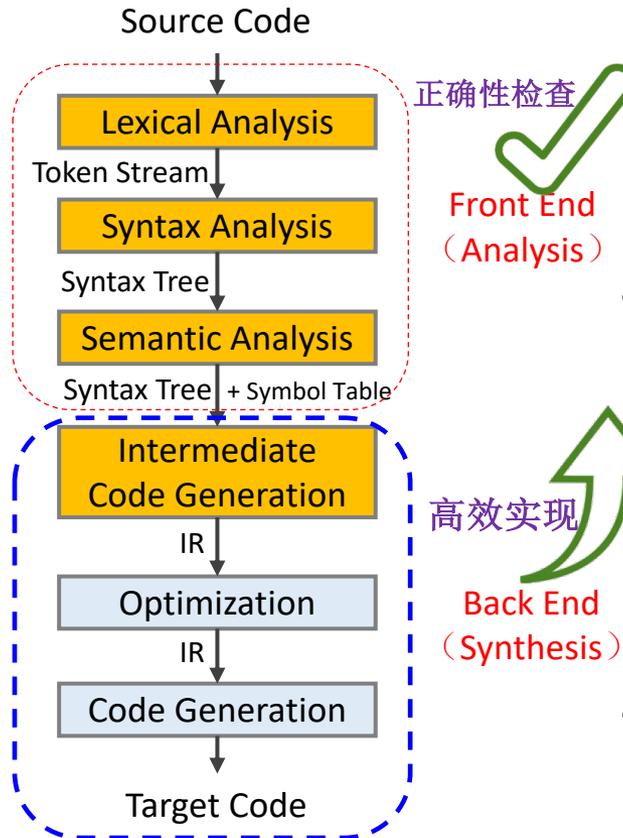
- What marker is used for?

To calculate inherited attributes (or to transform L-SDD into S-SDD).

- Is symbol table still useful after semantic analysis?

Yes. Still used in later code generation (and even debugging).

# Compilation Phases[编译阶段]



- **Lexical:** source code → tokens

- RE, NFA, DFA, ...

- Is the program **lexically** well-formed?

- E.g.,  $x\#y = 1$

- **Syntax:** tokens → AST or parse tree

- CFG, LL(1), LALR(1), ...

- Is the input program **syntactically** well-formed?

- E.g.,  $x=1\ y=2, \text{for}(i = 1)$

- **Semantic:** AST → AST + symbol table

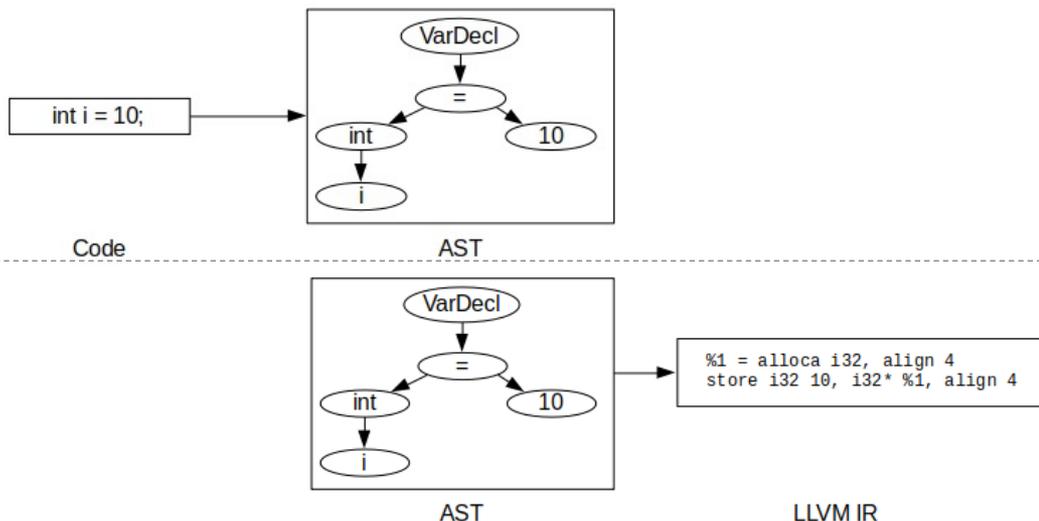
- SDD, SDT, typing, scoping, ...

- Does the input program has a well-defined **meaning**?

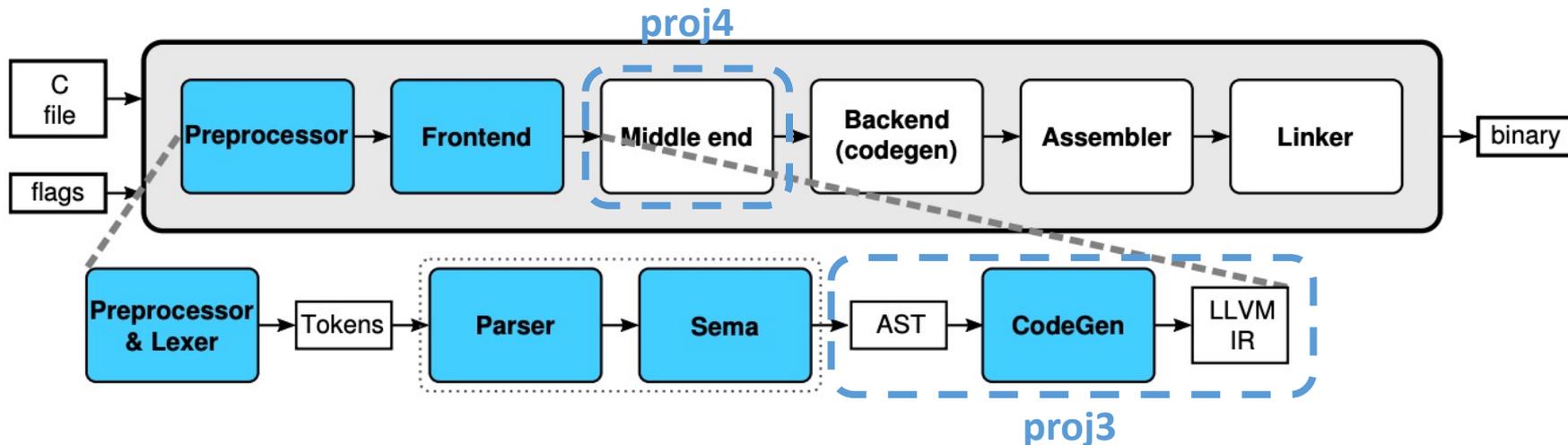
- E.g.,  $\text{int } x; y = x(1)$

# Generating Code: AST to IR[IR生成]

- By now, we have
  - An AST, annotated with scope and type information
- Next, to generate **intermediate representation (IR)**
  - Traverse the AST after the parsing[另外遍历]
    - Writing a *codegen()* method for the appropriate kinds of AST nodes
  - Syntax-directed translation[语法制导]
    - Generate code while parsing

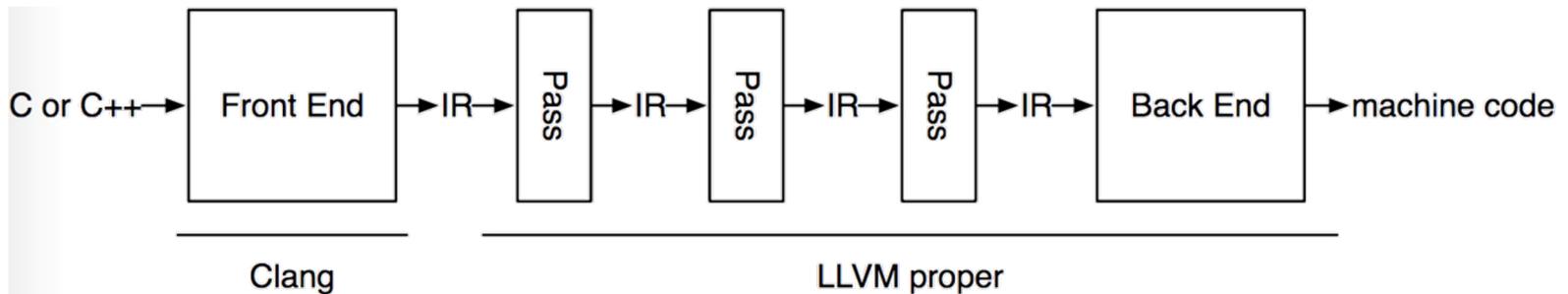
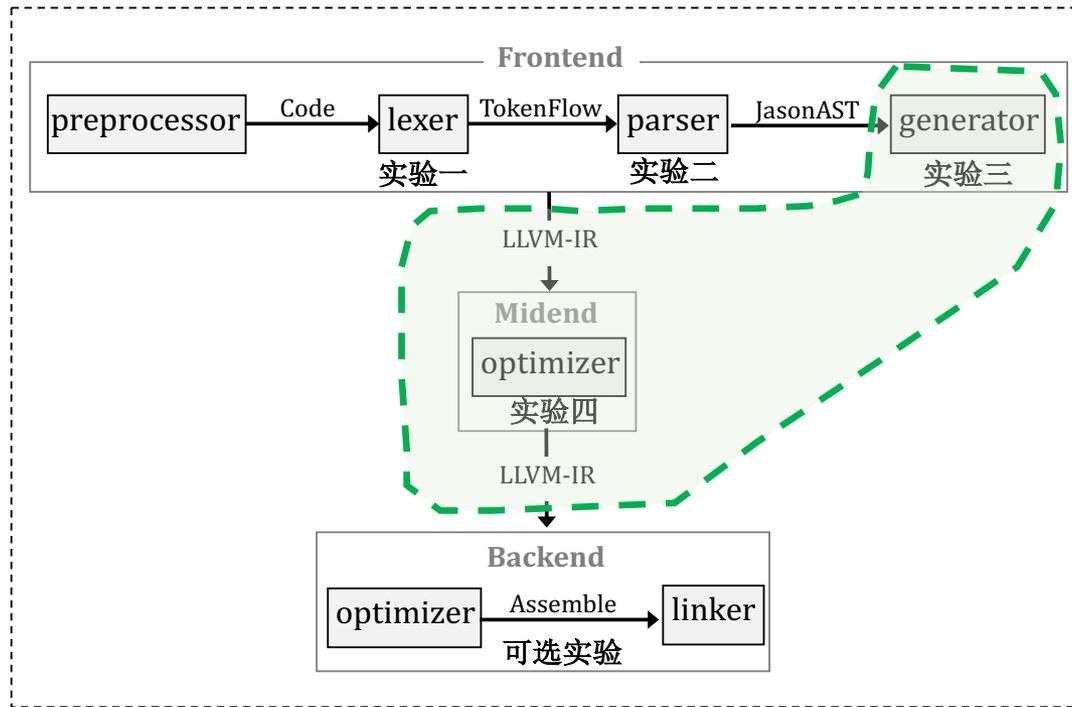


# CodeGen[中间代码生成]



- Not to be confused with LLVM CodeGen! (which generates machine code)
- Uses AST visitors, IRBuilder, and TargetInfo
  - AST visitors
    - *RecursiveASTVisitor* for visiting the full AST
    - *StmtVisitor* for visiting Stmt and Expr
    - *TypeVisitor* for Type hierarchy

# CodeGen (cont.)



# Proj3: IR Generator

---

## task/3/main.cpp

```
44     // 从 ASG 发射到 LLVM IR
45     llvm::LLVMContext ctx;
46     EmitIR emitIR(mgr, ctx);
47     auto& mod = emitIR(asg);
48     mgr.gc();
49
50     // 先把 LLVM IR 写出到文件里, 再检查合不合法
51     mod.print(outFile, nullptr, false, true);
```

## task/3/EmitIR.cpp

```
18     llvm::Module&
19     EmitIR::operator()(asg::TranslationUnit* tu)
20     {
21         for (auto&& i : tu->decls)
22             self(i);
23         return mMod;
24     }
```

# AST → IR: Example

```
$clang -Xclang -ast-dump -fsyntax-only 000_main.sysu.c
```

```
TranslationUnitDecl 0x1d2654a8 <<invalid sloc>> <invalid sloc>
  ... cutting out internal declarations of clang ...
  -FunctionDecl 0x2cf71448 <../tester/functional/000_main.sysu.c:1:1, line:3:1> line:1:5 main 'int ()'
    -CompoundStmt 0x2cf71560 <col:11, line:3:1>
      -ReturnStmt 0x2cf71550 <line:2:5, col:12>
        -IntegerLiteral 0x2cf71530 <col:12> 'int' 3
```



```
$clang -emit-llvm -S 000_main.sysu.c
```

```
; ModuleID = '../tester/functional/000_main.sysu.c'
source_filename = "../tester/functional/000_main.sysu.c"
target datalayout = "e-m:e-i8:8:32-i16:16:32-i64:64-i128:128-n32:64-S128"
target triple = "aarch64-unknown-linux-gnu"

; Function Attrs: noinline nounwind optnone
define dso_local i32 @main() #0 {
    %1 = alloca i32, align 4
    store i32 0, i32* %1, align 4
    ret i32 3
}

attributes #0 = { noinline nounwind optnone "correctly-rounded-divide-sqrt-fp-math"
="false" "disable-tail-calls"="false" "frame-pointer"="non-leaf" "less-precise-fpma
d"="false" "min-legal-vector-width"="0" "no-infs-fp-math"="false" "no-jump-tables"=
"false" "no-nans-fp-math"="false" "no-signed-zeros-fp-math"="false" "no-trapping-ma
th"="true" "stack-protector-buffer-size"="8" "target-cpu"="generic" "target-feature
s"="+neon" "unsafe-fp-math"="false" "use-soft-float"="false" }

!llvm.module.flags = !{!0}
!llvm.ident = !{!1}

!0 = !{i32 1, !"wchar_size", i32 4}
!1 = !{!"Debian clang version 11.0.1-2"}
```

# AST → IR: HelloWorld

Source

```
1 int main(){
2     return 3;
3 }
```



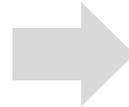
AST

```
TranslationUnitDecl 0xa8e6558 <<invalid sloc>> <invalid sloc>
  ... cutting out internal declarations of clang ...
-FunctionDecl 0xa942a10 <generator/000_main.sysu.c:1:1, line:3:1> line:1:5 main 'int ()'
  |-CompoundStmt 0xa942b28 <col:11, line:3:1>
    |-ReturnStmt 0xa942b18 <line:2:5, col:12>
      |-IntegerLiteral 0xa942af8 <col:12> 'int' 3
```



IR

```
1 define dso_local i32 @main() {
2     %1 = alloca i32, align 4
3     store i32 0, ptr %1, align 4
4     ret i32 3
5 }
```



```
1 define dso_local i32 @main() {
2     ret i32 3
3 }
```

# AST → IR: Local Variable

Source

```
1 int main(){
2     int a = 3;
3     return a;
4 }
```

```
1 int main(){
2     return 3;
3 }
```

TranslationUnitDecl 0xb7ae558 <<invalid sloc>> <invalid sloc>  
... cutting out internal declarations of clang ...

AST

```
`-FunctionDecl 0xb80abf8 <line:6:1, line:9:1> line:6:5 main 'int ()'
  |-CompoundStmt 0xb80ad98 <col:11, line:9:1>
    |-DeclStmt 0xb80ad38 <line:7:5, col:14>
      | `--VarDecl 0xb80acb0 <col:5, col:13> col:9 used a 'int' cinit
        |   `--IntegerLiteral 0xb80ad18 <col:13> 'int' 3
    `--ReturnStmt 0xb80ad88 <line:8:5, col:12>
      `--ImplicitCastExpr 0xb80ad70 <col:12> 'int' <LValueToRValue>
        `--DeclRefExpr 0xb80ad50 <col:12> 'int' lvalue Var 0xb80acb0 'a' 'int'
```

IR

```
1 define dso_local i32 @main() {
2     %1 = alloca i32, align 4
3     store i32 3, ptr %1, align 4
4     %2 = load i32, ptr %1, align 4
5     ret i32 %2
6 }
```

临时寄存器/变量: 分配栈空间, 地址存入%1, 大小同i32类型, 4B对齐

写内存: 将'3'写入%1对应的内存中, 4B对齐

读内存: 将%1对应的内存中的数据读取到%2中

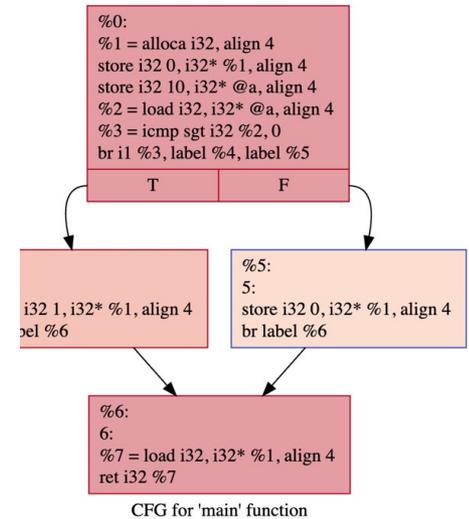
函数返回

# AST → IR: Basic Blocks

- `$clang -emit-llvm -S 027_if2.sysu.c`

```
1  int a;
2  int main(){
3      a = 10;
4      if(a > 0){
5          return 1;
6      }
7      else{
8          return 0;
9      }
10 }
```

```
1  define dso_local i32 @main() {
2      %1 = alloca i32, align 4
3      store i32 0, ptr %1, align 4
4      store i32 10, ptr @a, align 4
5      %2 = load i32, ptr @a, align 4
6      %3 = icmp sgt i32 %2, 0
7      br i1 %3, label %4, label %5
8      -----
9  4:                                ; preds = %0
10     store i32 1, ptr %1, align 4
11     br label %6
12     -----
13  5:                                ; preds = %0
14     store i32 0, ptr %1, align 4
15     br label %6
16     -----
17  6:                                ; preds = %5, %4
18     %7 = load i32, ptr %1, align 4
19     ret i32 %7
20 }
```



<http://viz-js.com/>

# Example: 027\_if2.sysu.c

- The program
  - Global variable (`int a;`)
  - Variable assignment (`a = 10;`)
  - Binary operation (`a > 0`)
  - Branch (`if-else`)

```
1  int main(){
2      return 3;
3  }
```



```
1  int main(){
2      int a = 3;
3      return a;
4  }
```



```
1  int a;
2  int main(){
3      a = 10;
4      if(a > 0){
5          return 1;
6      }
7      else{
8          return 0;
9      }
10 }
```

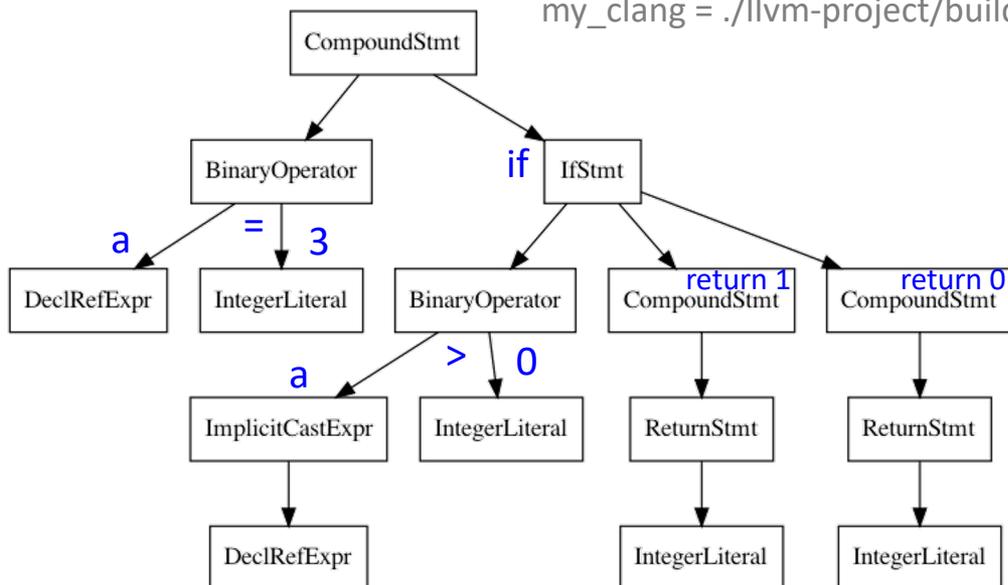
# src → AST: Example

ir\_test.c

```
1 int a;  
2  
3 int main() {  
4   a = 3;  
5  
6   if (a > 0) {  
7     return 1;  
8   } else {  
9     return 0;  
10  }  
11 }
```

\$<my\_clang> -cc1 -ast-view ir\_test.c  
\$dot -Tpng -o ir\_test.png ir\_test.dot

my\_clang = ./llvm-project/build/bin/clang



AST



# AST → IR: Example (cont.)

```
; ModuleID = 'ir_test.c'
source_filename = "ir_test.c"
target datalayout = "e-m:o-i64:64-i128:128-n32:64-S128"
target triple = "arm64-apple-macosx11.0.0"
```

注释      源文件名      目标平台: 数据布局<sup>[1]</sup>, {endian, mangling, data align, native reg size, stack align}

目标平台: arch-vendor-os

```
@a = global i32 0, align 4
```

全局变量定义: @<变量名> = <可见域> <类型> 初值, 4B对齐

```
; Function Attrs: noinline nounwind optnone ssp uwtable(sync)
define i32 @main() #0 {
entry:
```

函数定义: define <返回类型> @<函数名> (参数) #属性<sup>[2]</sup>

```
    %retval = alloca i32, align 4           // allocate memory for a 32b value
    store i32 0, ptr %retval, align 4       // store 0 in the memory slot pointed by the register
    store i32 3, ptr @a, align 4           // store 3 in the memory slot of 'a'
    %0 = load i32, ptr @a, align 4         // do comparison
    %cmp = icmp sgt i32 %0, 0              // branch
    br i1 %cmp, label %if.then, label %if.else
```

```
if.then:                                ; preds = %entry // if (a > 0) {
    store i32 1, ptr %retval, align 4     // store 1 into 'retval'
    br label %return                      // jump to return
```

```
if.else:                                ; preds = %entry // } else {
    store i32 0, ptr %retval, align 4     // store 0 into 'retval'
    br label %return                      // jump to return
```

```
return:                                  ; preds = %if.else, %if.then
    %1 = load i32, ptr %retval, align 4   // load 'retval'
    ret i32 %1                             // return
}
```

函数属性

```
1 int a;
2
3 int main() {
4     a = 3;
5
6     if (a > 0) {
7         return 1;
8     } else {
9         return 0;
10    }
11 }
```

```
attributes #0 = { noinline nounwind optnone ssp uwtable(sync) "frame-pointer"="non-leaf" "no-trapping-math"="true" "s-otprod,+fp-armv8,+fp16fml,+fullfp16,+lse,+neon,+ras,+rcpc,+rdm,+sha2,+sha3,+v8.1a,+v8.2a,+v8.3a,+v8.4a,+v8.5a,+v8a,+z"
```

```
!llvm.module.flags = !{!0, !1, !2, !3}
!llvm.ident = !{!4}
```

```
!0 = !{i32 1, !"wchar_size", i32 4}
!1 = !{i32 8, !"PIC Level", i32 2}
!2 = !{i32 7, !"uwtable", i32 1}
!3 = !{i32 7, !"frame-pointer", i32 1}
!4 = !{"clang version 17.0.0 (https://github.com/llvm/llvm-project.git f759275c1c8ed91f19a6b8db228115c7f75d460b)"}"
```

模块级别元数据信息<sup>[3]</sup>

Clang版本信息

[1] <https://llvm.org/docs/LangRef.html#data-layout>

[2] <https://llvm.org/docs/LangRef.html#function-attributes>

[3] LLVM之IR篇(1): 零基础快速入门 LLVM IR



# AST → IR: Example (cont.)

`$clang -emit-llvm -S ir_test.c`

```
; ModuleID = 'ir_test.c'  
source_filename = "ir_test.c"  
target datalayout = "e-m:e-i8:8:32-i16:16:32-i64:64-i128:128-n32:64-S128"  
target triple = "aarch64-unknown-linux-gnu"
```

```
@a = dso_local global i32 @, align 4
```

```
; Function Attrs: noinline nounwind optnone  
define dso_local i32 @main() #0 {  
  %1 = alloca i32, align 4  
  store i32 @, i32* %1, align 4  
  store i32 3, i32* @a, align 4  
  %2 = load i32, i32* @a, align 4  
  %3 = icmp sgt i32 %2, 0  
  br i1 %3, label %4, label %5
```

```
4:                                ; preds = %0  
  store i32 1, i32* %1, align 4  
  br label %6
```

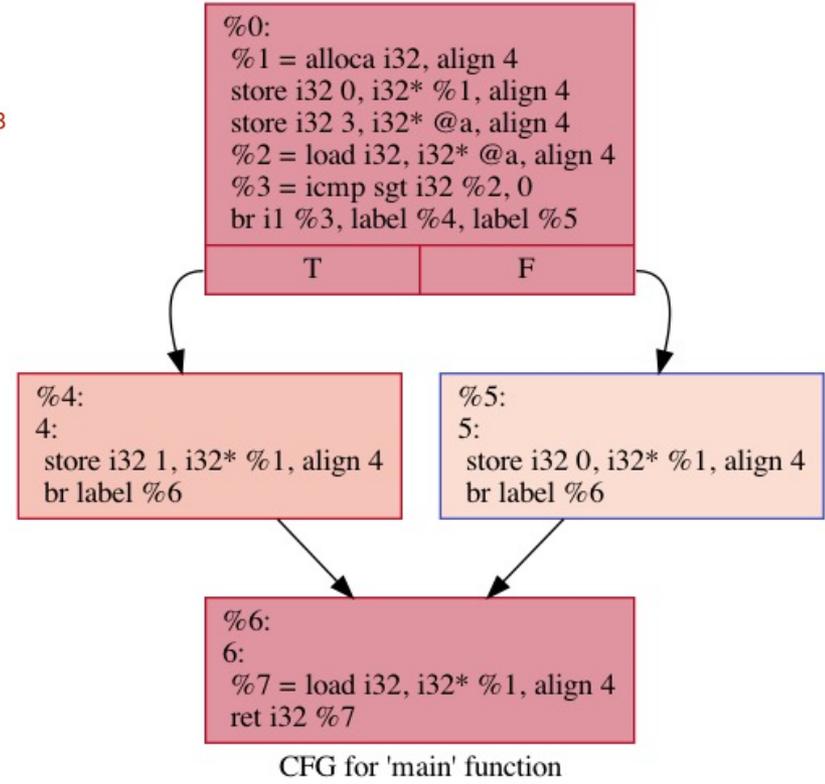
```
5:                                ; preds = %0  
  store i32 0, i32* %1, align 4  
  br label %6
```

```
6:                                ; preds = %5, %4  
  %7 = load i32, i32* %1, align 4  
  ret i32 %7  
}
```

```
attributes #0 = { noinline nounwind optnone "correctly-rounded-divide-sqrt"  
  "no-infs-fp-math"="false" "no-jump-tables"="false" "no-nans-fp-math"="fa  
  features"="+neon" "unsafe-fp-math"="false" "use-soft-float"="false" }
```

```
!llvm.module.flags = !{!0}  
!llvm.ident = !{!1}
```

```
!0 = !{i32 1, !"wchar_size", i32 4}  
!1 = !{"Debian clang version 11.0.1-2"}
```

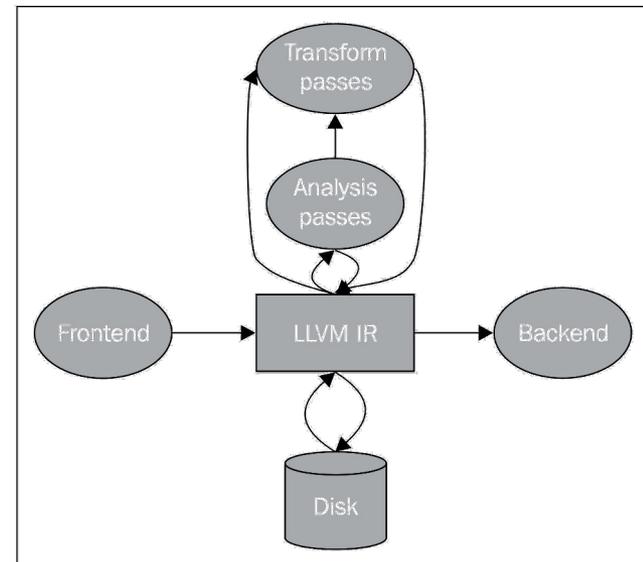


`$dot -Tpng -o ir_test.png ir_test.dot`

`$opt -dot-cfg ir_test.ll`

# IR Forms

- Three different forms (these three forms are equivalent)
  - In-memory compiler IR [在内存中的编译中间语言]
  - On-disk bitcode file [.bc, 在硬盘上存储的二进制中间语言]
  - Human readable plain text file [.ll, 人类可读的代码语言]
- Translate to bitcode file<sup>[2]</sup>: `$llvm-as *.ll [-o *.bc]`
  - Reverse: `$llvm-dis *.bc -o *.ll`
  - Further compile the bitcode<sup>[3]</sup>:
    - `$llc -march=x86 *.bc -o out.x86`
- Execute the IR file<sup>[1]</sup>: `$lli *.ll`
  - Result: `$echo $?`



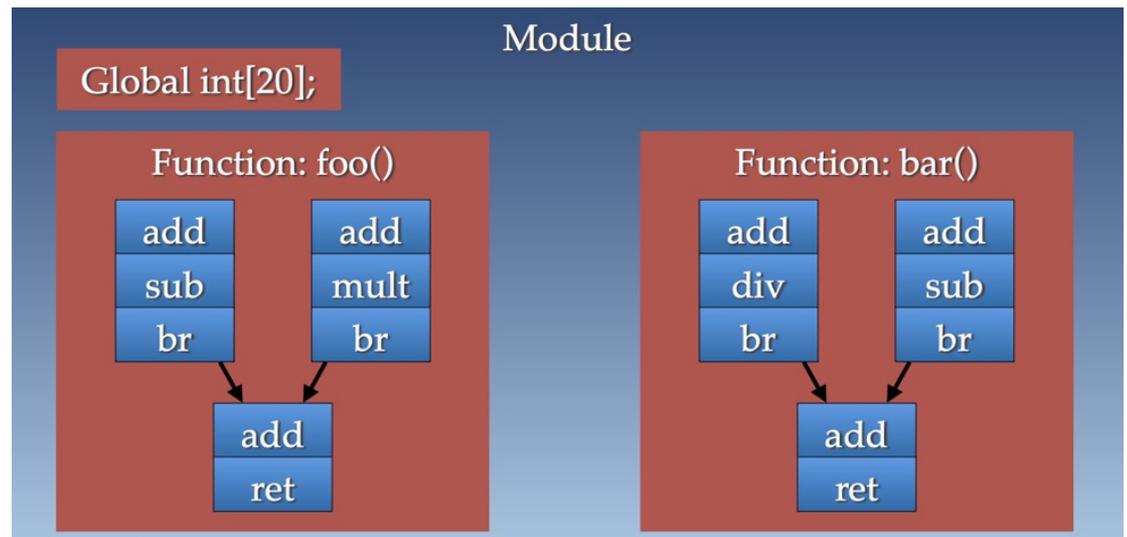
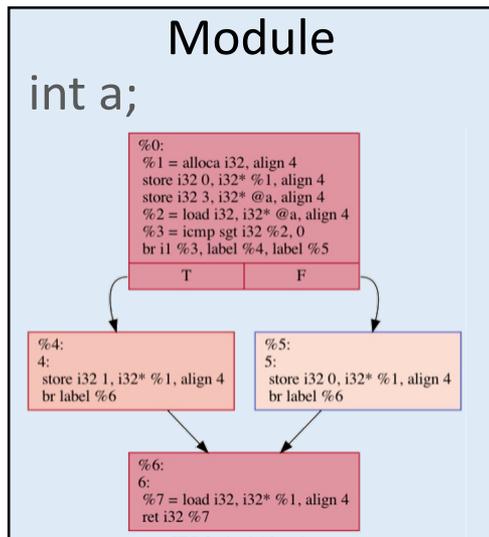
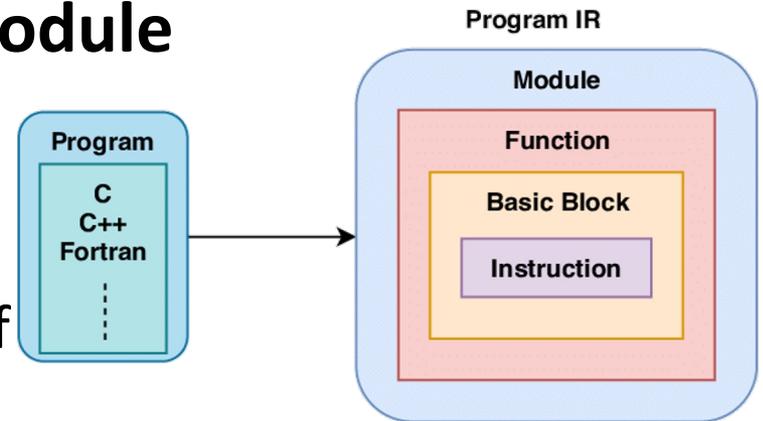
[1] <https://www.llvm.org/docs/CommandGuide/lli.html>

[2] <https://www.llvm.org/docs/CommandGuide/llvm-as.html>

[3] <https://www.llvm.org/docs/CommandGuide/llc.html>

# IR Overview

- Each assembly/bitcode file is a **Module**
- Each Module is comprised of
  - Global variables
  - A set of **Functions** which consists of
    - A set of **Basic Blocks**
      - Which is further comprised of a set of **Instructions**



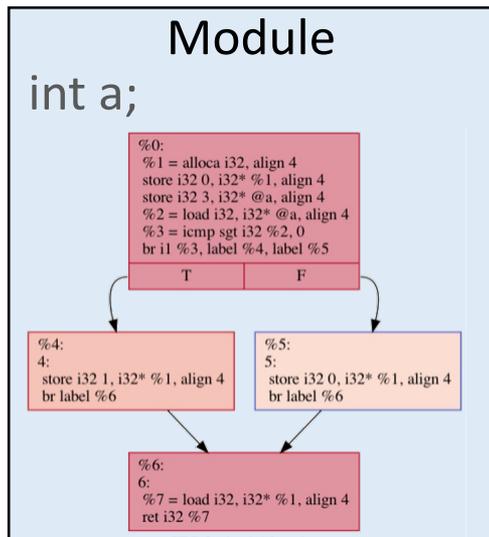
# IR Overview (cont.)

---

- LLVM IR resembles **three-address code** (TAC)
  - Two source operands, one separate destination operand
  - **Static Single Assignment** (SSA) form, making life easier for optimization writers[静态单赋值]
    - SSA means we define variables before use and assign to variables only once
- LLVM IR is machine independent[机器无关]
  - An unlimited set of virtual registers (labelled %0, %1, %2, ...)
    - It's the backend's job to map from virtual to physical registers
  - Rather than allocating specific sizes of datatypes, we retain types
    - Again, the backend will take this type info and map it to platform's datatype

# LLVM Steps

- Context: `llvm:LLVMContext TheContext`
- Module: `llvm::Module TheModule("-", TheContext);`
  - Function: `auto function = llvm::Function::Create(..., TheModule)`
    - auto **block** = `llvm::BasicBlock::Create(TheContext, "entry", function)`
      - `llvm::IRBuilder<> builder(block);`
        - `builder.CreateRet(tmp);`



```
1 int a;
2
3 int main() {
4     a = 3;
5
6     if (a > 0) {
7         return 1;
8     } else {
9         return 0;
10    }
11 }
```

```
#include <llvm/IR/IRBuilder.h>
#include <llvm/IR/LLVMContext.h>
#include <llvm/IR/Module.h>
#include <llvm/IR/Type.h>
#include <llvm/IR/Verifier.h>
#include <llvm/Support/JSON.h>
#include <llvm/Support/MemoryBuffer.h>
#include <llvm/Support/raw_ostream.h>
```

# Three-Address Code[三地址码]

---

- High-level assembly where each operation has **at most three** operands. Generic form is  $X = Y \text{ op } Z$ [最多3个操作数]
  - where X, Y, Z can be variables, constants, or compiler-generated temporaries holding intermediate values
- Characteristics[特性]
  - Assembly code for an ‘abstract machine’
  - Long expressions are converted to multiple instructions
  - Control flow statements are converted to jumps[控制流->跳转]
  - Machine independent
    - Operations are generic (not tailored to any specific machine)
    - Function calls represented as generic call nodes
    - Uses symbolic names rather than register names (actual locations of symbols are yet to be determined)
- Design goal: for easier machine-independent optimization

# Example

---

- For example,  $x * y + x * y$  is translated to

$t1 = x * y$ ;  $t1, t2, t3$  are temporary variables

$t2 = x * y$

$t3 = t1 + t2$

- Can be generated through a depth-first traversal of AST
- Internal nodes in AST are translated to temporary variables

- Notice: repetition of  $x * y$  [重复]

- Can be later eliminated through a compiler optimization called common subexpression elimination (CSE) [通用子表达式消除]

$t1 = x * y$

$t3 = t1 + t1$

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- Using TAC rather than AST makes it:

- Easier to spot opportunities (just find matching RHS)
- Easier to manipulate IR (AST is much more cumbersome)

# Three-Address Statements

---

- Assignment statement[二元赋值]

$x = y \text{ op } z$

where op is an arithmetic or logical operation (binary operation)

- Assignment statement[一元赋值]

$x = \text{op } y$

where op is an unary operation such as -, not, shift

- Copy statement[拷贝]

$x = y$

- Unconditional jump statement[无条件跳转]

`goto L`

where L is label

# Three-Address Statements (cont.)

---

- Conditional jump statement[条件跳转]

if (x relop y) goto L

where relop is a relational operator such as =,  $\neq$ , >, <

- Procedural call statement[过程调用]: may have too many addr

param  $x_1, \dots, \text{param } x_n, \text{ call } F_y, n$

As an example,  $\text{foo}(x_1, x_2, x_3)$  is translated to

param  $x_1$

param  $x_2$

param  $x_3$

call foo, 3

- Procedural call return statement[过程调用返回]

return y

where y is the return value (if applicable)

# Three-Address Statements (cont.)

---

- Indexed assignment statement[索引]

$x = y[i]$

or

$y[i] = x$

where  $x$  is a scalar variable and  $y$  is an array variable

- Address and pointer operation statement[地址和指针]

$x = \& y$  ; a pointer  $x$  is set to address of  $y$

$y = * x$  ;  $y$  is set to the value of location

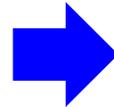
pointed to by pointer  $x$

$*y = x$  ; location pointed to by  $y$  is assigned  $x$

# Example: TAC

```
i = 1
do {
  a[i] = x * 5;
  i ++;
} while (i <= 10);
```

Source program



```
i = 1
L: t1 = x * 5
  t2 = &a
  t3 = sizeof(int)
  t4 = t3 * i
  t5 = t2 + t4
  *t5 = t1
  i = i + 1
  if i <= 10 goto L
```

a[i]

Three-address code

# Example: TAC (cont.)

```
i = 1
do {
    a[i] = x * 5;
    i++;
} while (i <= 10);
```

```
@i = dso_local global i32 1, align 4
@x = dso_local global i32 2, align 4
@a = dso_local global [10 x i32] zeroinitializer, align 4
```

```
; Function Attrs: noinline nounwind optnone
define dso_local i32 @main() #0 {
    %1 = alloca i32, align 4
    store i32 0, i32* %1, align 4
    br label %2
```

```
2:                                     ; preds = %7, %0
    %3 = load i32, i32* @x, align 4     // %3 = x
    %4 = mul nsw i32 %3, 5              // %4 = %3 x 5
    store i32 %4, i32* @getelementptr inbounds ([10 x i32], [10 x i32]* @a, i64 0, i64 1), align 4
    %5 = load i32, i32* @i, align 4     // %5 = i
    %6 = add nsw i32 %5, 1              // %6 = %5 + 1
    store i32 %6, i32* @i, align 4     // i = %6
    br label %7
```

```
7:                                     ; preds = %2
    %8 = load i32, i32* @i, align 4     // %8 = i
    %9 = icmp sle i32 %8, 10            // %9 = (i <= 10)
    br i1 %9, label %2, label %10      // T: %2, F: %10
```

```
10:                                    ; preds = %7
    ret i32 0
}
```

```
1 int i = 1, x = 2;
2 int a[10];
3
4 int main(){
5
6     do {
7         a[1] = x * 5;
8         i++;
9     } while (i <= 10);
10
11 return 0;
12 }
```

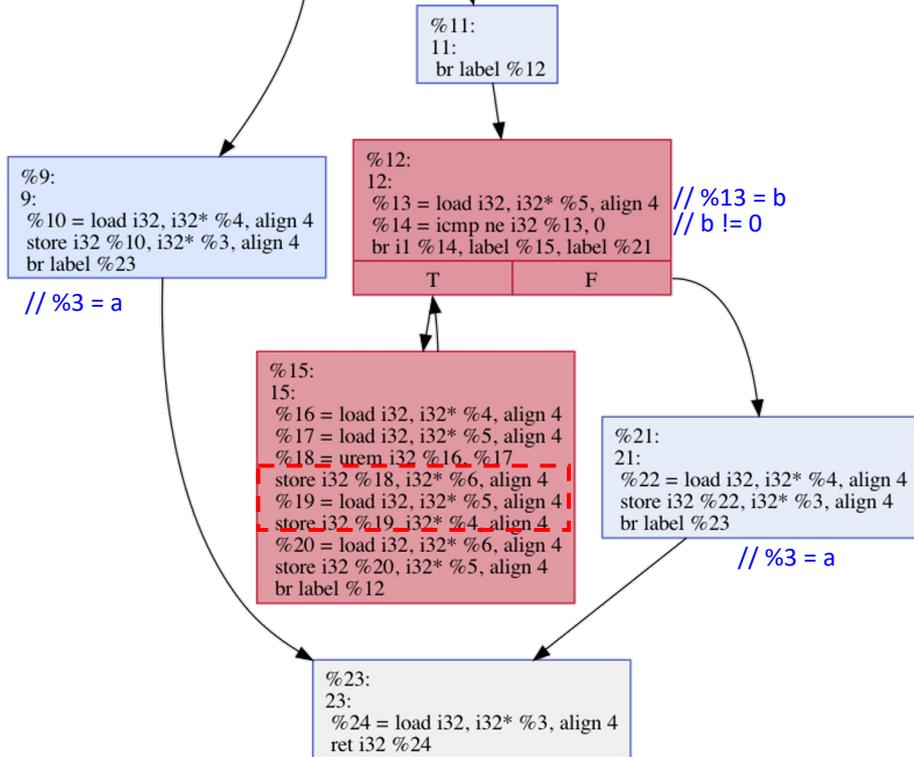


# Example: IR and SSA

\$clang -emit-llvm -S gcd.c

```

%2:
%3 = alloca i32, align 4 // a
%4 = alloca i32, align 4 // b
%5 = alloca i32, align 4
%6 = alloca i32, align 4 // %4 = a
store i32 %0, i32* %4, align 4 // %5 = b
store i32 %1, i32* %5, align 4 // %7 = b
%7 = load i32, i32* %5, align 4 // b == 0?
%8 = icmp eq i32 %7, 0 // Y: %9; N: %11
br i1 %8, label %9, label %11
    
```



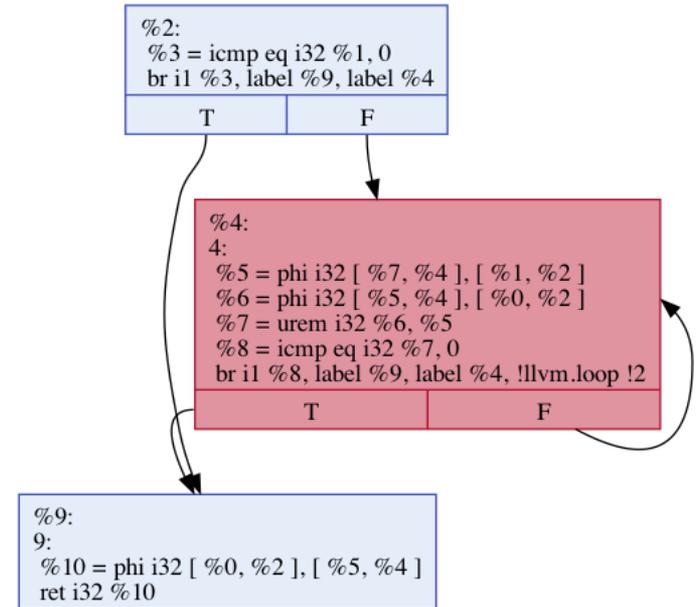
CFG for 'gcd' function

Load-and-store approach (not SSA)

```

1 unsigned gcd(unsigned a, unsigned b) {
2   if (b == 0)
3     return a;
4   while (b != 0) {
5     unsigned t = a % b;
6     a = b;
7     b = t;
8   }
9   return a;
10 }
    
```

\$clang -emit-llvm -S -O1 gcd.c

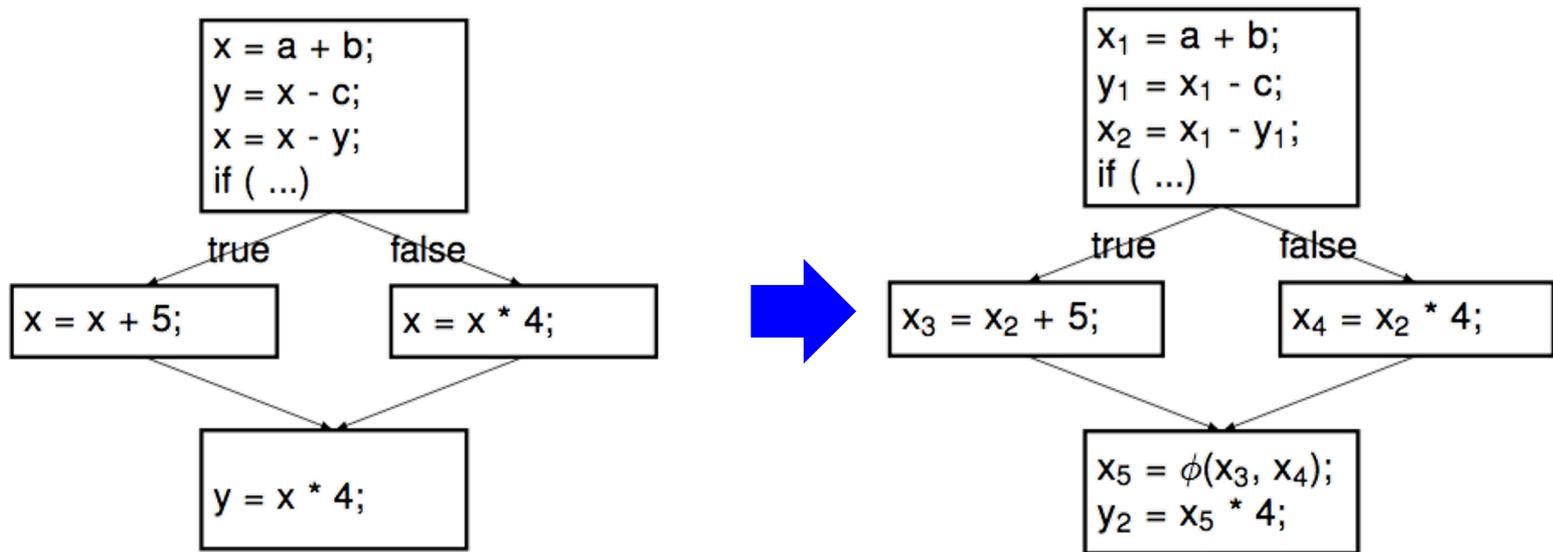


CFG for 'gcd' function

Phi approach (SSA)

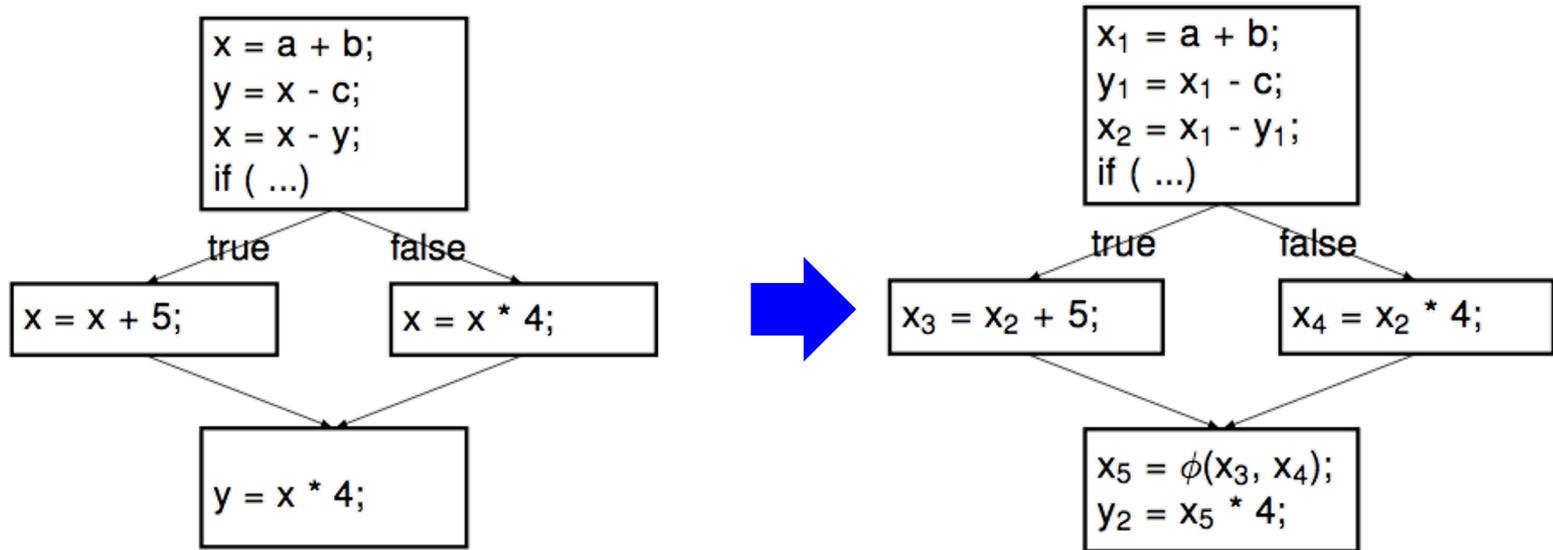
# Single Static Assignment[静态单赋值]

- Every variable is assigned to exactly once statically[仅一次]
  - Give variable a different version name on every assignment
    - e.g.,  $x \rightarrow x_1, x_2, \dots, x_5$  for each static assignment of  $x$
  - Now value of each variable guaranteed not to change
  - On a control flow merge,  $\phi$ -function combines two versions
    - e.g.  $x_5 = \phi(x_3, x_4)$ : means  $x_5$  is either  $x_3$  or  $x_4$



# Benefits of SSA

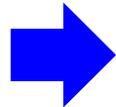
- SSA is an IR that facilitates certain code optimizations
  - SSA tells you when an optimization **shouldn't** happen
  - Suppose compiler performs CSE on previous example:
    - Without SSA, (incorrectly) tempted to eliminate second  $x * 4$ 
      - $x = x * 4; y = x * 4; \rightarrow x = x * 4; y = x;$
      - E.g.,  $x = 5 * 4; y = 20 * 4; \rightarrow x = 5 * 4; y = 20$
    - With SSA,  $x_2 * 4$  and  $x_5 * 4$  are clearly different values



# Benefits of SSA (cont.)

- SSA is an IR that facilitates certain code optimizations
  - SSA tells you when an optimization **should** happen
  - Suppose compiler performs dead code elimination (DCE): (DCE removes code that computes dead values)

```
x = a + b;  
x = c - d;  
y = x * b;
```



```
x1 = a + b;  
x2 = c - d;  
y1 = x2 * b;
```

- Without SSA, not very clear whether there are dead values
- With SSA,  $x_1$  is never used and clearly a dead value
- Why does SSA work so well with compiler optimizations?
  - SSA makes flow of values explicit in the IR[数据流显现]
  - Without SSA, need a separate dataflow graph
  - Will discuss more in **Compiler Optimization** section