



中山大學  
SUN YAT-SEN UNIVERSITY

计算机学院（软件学院）

SCHOOL OF COMPUTER SCIENCE AND ENGINEERING

# Compilation Principle

## 编译原理

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### 第8讲：语法分析(4)

张献伟

[xianweiz.github.io](https://xianweiz.github.io)

DCS290, 3/26/2024



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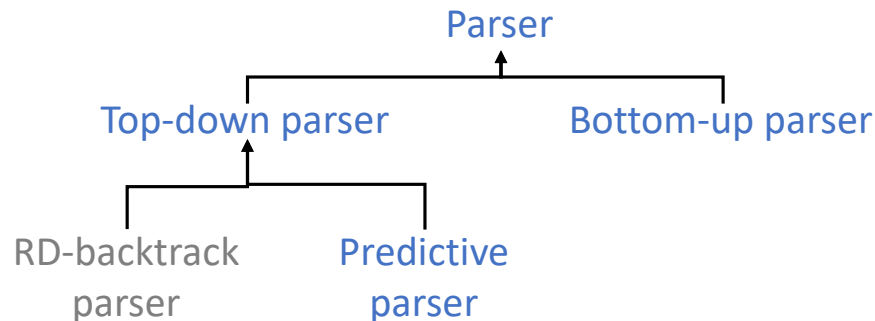
# Review Questions

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- How does parse tree relate to derivation?  
Parse tree is a graphical repr. of derivation. No orders kept.
- Regard AST tree build, how to classify parser?  
Top-down (root to leaves), bottom-up (leaves to root).
- Explain top-down parsing?  
Build parse tree in a top-down fashion;  
from start symbol to input string, i.e., leftmost derivation.
- How does recursive-descent with backtracking work?  
NT: expand, T: compare; trial and error, backtrack when wrong
- Can  $S \rightarrow Ta \mid b, T \rightarrow Sd$  be parsed with RD-backtrack?  
No. There exists left recursion.

# Summary of RD-backtrack[小结]

- **RD-backtrack** is a simple and general parsing strategy
  - Left-recursion must be eliminated first
    - Can be eliminated automatically using some algorithm
  - $L(\text{Recursive\_descent}) \equiv L(\text{CFG}) \equiv \text{CFL}$
- However it is **not popular** because of **backtracking**
  - Backtracking requires re-parsing the same string
  - Which is inefficient (can take exponential time)
  - Also undoing semantic actions may be difficult
    - E.g. removing already added nodes in parse tree



# Predictive Parsers[预测分析]

- In recursive descent with backtracking[有回溯]:
  - At each step, many choices of production to use
  - Backtracking used to undo bad choices
- A parser with **no backtracking**[无回溯]: **predict** correct next production given next input terminal(s)?[以下面一些输入来预测]
  - If first terminal of every alternative production is **unique**, then parsing requires no backtracking[候选产生式首符号唯一]
  - If not unique, grammar cannot use predictive parsers[不唯一]

$A \rightarrow aBD \mid bBB$

$B \rightarrow c \mid bce$

$D \rightarrow d$

parsing input “**abcd**” requires no backtracking

?: 如果只往前看一个, 那么next terminal其实就是current terminal, 即准备匹配的那个 (注意backtrack是完全不看)

# Predictive Parsers (cont.)

- A predictive parser chooses the production to apply solely on the basis of [选取产生式的依据]
  - Next input symbol(s) [下一输入符号/终结符; 怎么预测?]
  - Current nonterminal being processed [当前非终结符; 为谁预测?]
- Patterns in grammars that prevent predictive parsing [并非总是能预测分析]
  - **Common prefix** [共同前缀]:  
 $S \rightarrow cAd$        $A \rightarrow ab \mid a$   
 $A \rightarrow \alpha\beta \mid \alpha\gamma$   
Given input terminal(s)  $\alpha$ , cannot choose between two rules
  - **Left recursion** [左递归]:  
 $A \rightarrow A\beta \mid \alpha$        $A \rightarrow Ab \mid a$   
从不匹配 (一直展开)      input: abbbb  
Lookahead symbol changes only when a terminal is matched

# Rewrite Grammars for Prediction[改写]

- **Left factoring**[左公因子提取]: removes common left prefix

- In previous example:  $A \rightarrow \alpha\beta \mid \alpha\gamma$

- can be changed to  $stmt \rightarrow \text{if expr then stmt else stmt} \mid \text{if expr then stmt}$

$$A \rightarrow \alpha A'$$

$$stmt \rightarrow \text{if expr then stmt } S'$$
$$A' \rightarrow \beta \mid \gamma$$
$$S' \rightarrow \text{else stmt} \mid \epsilon$$

- After processing  $\alpha$ ,  $A'$  can choose between  $\beta$  or  $\gamma$

- (assuming  $\beta$  or  $\gamma$  do not start with  $\alpha$ )

推迟选择, 直到可区分


- **Left-recursion removal**[左递归消除]: same as recursive descent

- In previous example:  $A \rightarrow A\beta \mid \alpha$

- can be changed to

$$A \rightarrow \alpha A'$$
$$A' \rightarrow \beta A' \mid \epsilon$$

- After processing  $\alpha$ ,  $A'$  can choose between  $\beta$  or  $\epsilon$


$$A \rightarrow Ab \mid a$$
$$A \rightarrow aA'$$
$$A' \rightarrow bA' \mid \epsilon$$

逐步匹配

input: abbbb

# LL(k) Parser / Grammar / Language

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- **LL(k) Parser**

- A predictive parser that uses  $k$  lookahead tokens
- **L**: scans the input from left to right[从左往右]
- **L**: produces a leftmost derivation[生成最左推导]
- **k**: using  $k$  input symbols of lookahead at each step to decide[向前看 $k$ 个符号]

- **LL(k) Grammar**

- A grammar that can be parsed using an LL(k) parser
- $LL(k) \subset CFG$ 
  - Some CFGs are not LL(k): common prefix or left-recursion

- **LL(k) Language**

- A language that can be expressed as an LL(k) grammar

- Many languages are LL(k) ...

- In fact many are **LL(1)**!

# LL(k) Parser Implementation[实现]

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- Implemented in a recursive or non-recursive fashion[递归/非递归]
  - Recursive: recursive descent (recursive function calls, implicit stack)
  - Non-recursive: explicit stack to keep track of recursion[栈]
- Recursive LL(1) parser for:  $A \rightarrow B \mid C, B \rightarrow b, C \rightarrow c$ 
  - Parser consists of small functions, one for each non-terminal

```
void A() {  
    token = peekNext(); // lookahead token  
    switch(token) {  
        case 'b': // 'B' starts with 'b'  
            B(); // call procedure B()  
        case 'c': // 'C' starts with 'c'  
            C(); // call procedure C()  
        default: // Reject  
            return;  
    }  
}
```



# LL(k) Parser Implementation (cont.)

---

- Recursive LL(1) parser for:  $A \rightarrow B \mid C, B \rightarrow b, C \rightarrow c$

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void A() {  
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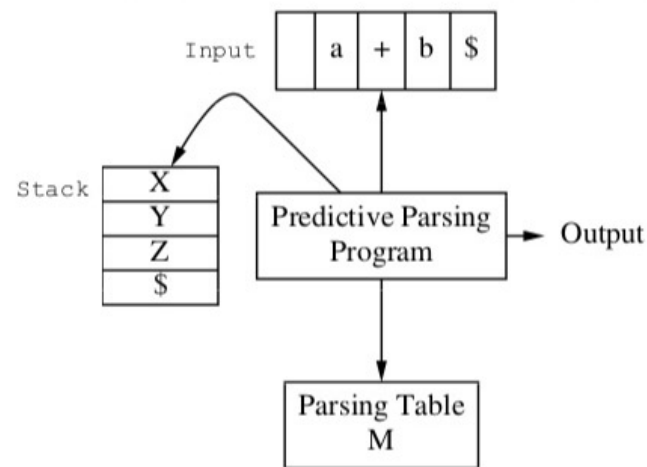
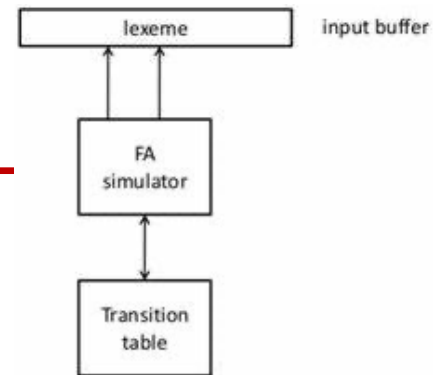
- Is there a way to express above code more concisely?[简洁]
  - Non-recursive LL(k) parsers use a **state transition table** (just like finite automata)[状态转换表]
  - Easier to automatically generate a non-recursive parser[自动化]

# LL(1) Parser[非递归]

- Table-driven parser[表驱动]: amenable to automatic code generation (just like lexers)
  - **Input buffer**: contains the string to be parsed, followed by \$
  - **Stack**: holds unmatched portion of derivation string, \$ marks the stack end
  - **Parse table**  $M[A, b]$ : an entry containing rule “ $A \rightarrow \dots$ ” or error
  - **Parser driver** (a.k.a., predictive parsing program): next action based on <stack top, current token>
    - **Reject** on reaching error state
    - **Accept** on end of input & empty stack

A stack records frontier of parse tree

- Non-terminals that have yet to be expanded
- Terminals that have yet to be matched against the input
- Top of stack = leftmost pending terminal or non-terminal



# LL(1) Parse Table: Example

table	int	*	+	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow \text{int } T'$			$T \rightarrow (E)$		
T'		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

$E \rightarrow TE'$   
 $E' \rightarrow +E \mid \epsilon$   
 $T \rightarrow \text{int}T' \mid (E)$   
 $T' \rightarrow *T \mid \epsilon$

- Implementation with 2D parse table

- **First column** (each row) lists all non-terminals in the grammar
  - I.e., leftmost non-terminal in derivation
- **First row** (each col) lists all possible terminals in the grammar and '\$'
  - I.e., next input token
- A **table entry** contains one production
  - One action for each <non-terminal, input> combination
  - It “predicts” the correct action based on one lookahead
  - No backtracking required

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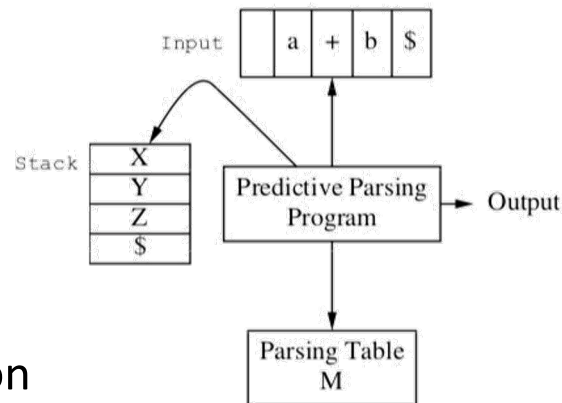
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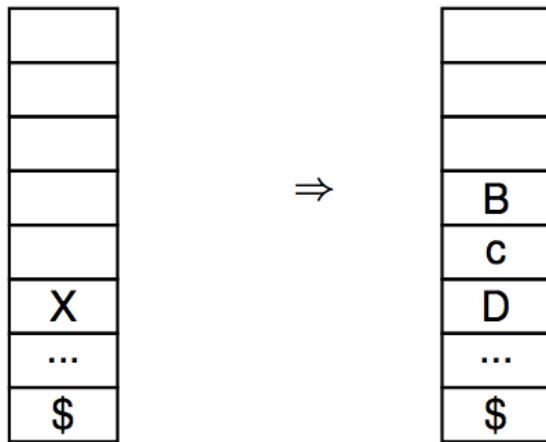
# LL(1) Parsing Algorithm[算法]

- Initial state[初始态]
  - **Input** tape: input tokens followed by '\$'
  - **Stack**: start symbol followed by '\$' at bottom
- General idea[总体思路]: repeat one of two actions
  - **Expand** symbol at top of stack by applying a production
  - **Match** terminal symbol at top of stack with input token
- Step-by-step[每步操作] parsing based on  $\langle X, a \rangle$ 
  - X: symbol at the top of the stack
  - a: current input token
    - If  $X \in T$ , then[终结符-比较]
      - If  $X == a == \$$ , parser halts with "success"
      - If  $X == a \neq \$$ , successful match, pop X from stack and advance input head
      - If  $X \neq a$ , parser halts and input is **rejected**
    - If  $X \in N$ , then[非终结符-展开]
      - If  $M[X, a] == "X \rightarrow RHS"$ , pop X and push RHS to stack
      - If  $M[X, a] == \text{empty}$ , parser halts and input is **rejected**



# Push RHS in Reverse Order[逆序入栈]

- For  $\langle X, a \rangle$ 
  - X: symbol at the top of the stack
  - a: current input token
- If  $M[X,a] = "X \rightarrow BcD"$



逆序入栈：最左符号需要被最先展开或比较（即，最左推导），因此需在靠近栈顶位置

- Performs the leftmost derivation:  $\alpha X \beta \Rightarrow \alpha BcD \beta$ 
  - $\alpha$ : string that has already been matched with input
  - $\beta$ : string yet to be matched, corresponding to the ... above

# Apply LL(1) Parsing to Grammar[应用]

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- Consider the grammar

$$E \rightarrow T+E | T$$

$$T \rightarrow \text{int} * T | \text{int} | (E)$$

- Left recursion? **NO!**
- Left factoring? **YES.**  $E \rightarrow T+E | T$ ,  $T \rightarrow \text{int} * T | \text{int}$

- After rewriting grammar, we have

$$E \rightarrow TE'$$

$$E' \rightarrow +E | \varepsilon$$

$$T \rightarrow \text{int}T' | (E)$$

$$T' \rightarrow *T | \varepsilon$$

# Use the Parse Table

- To recognize "int \* int"

$$E \rightarrow TE'$$

$$E' \rightarrow +E \mid \epsilon$$

$$T \rightarrow intT' \mid (E)$$

$$T' \rightarrow *T \mid \epsilon$$

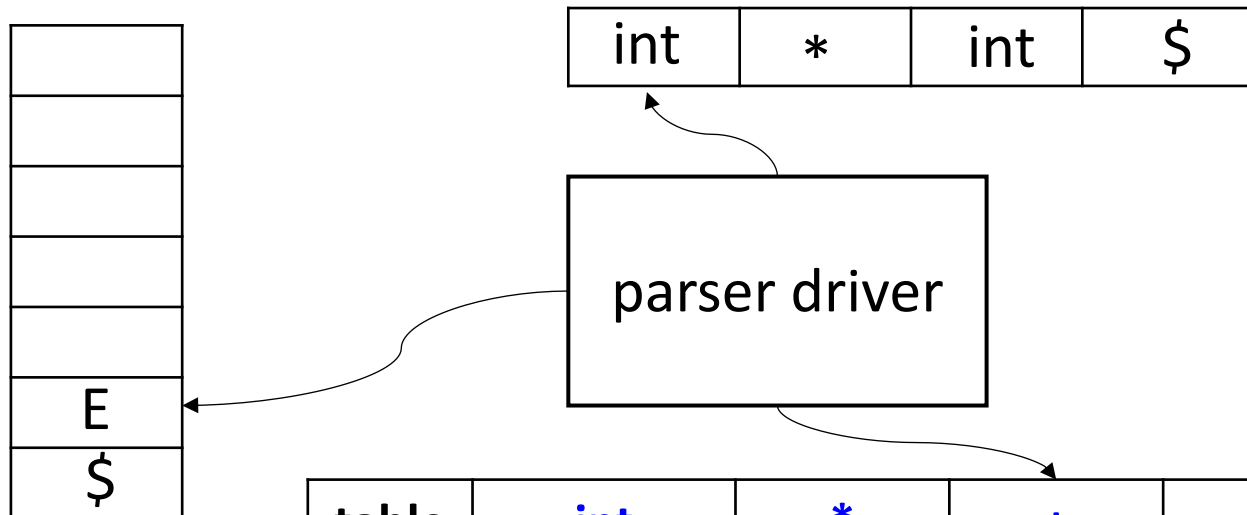


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E'			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
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T'		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$



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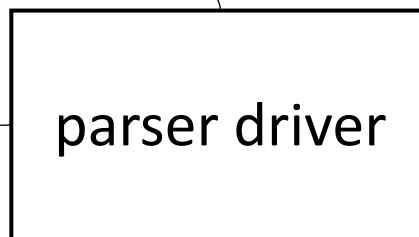
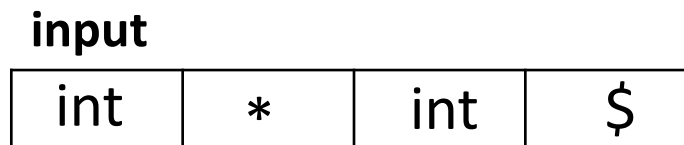
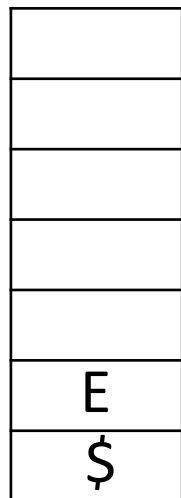


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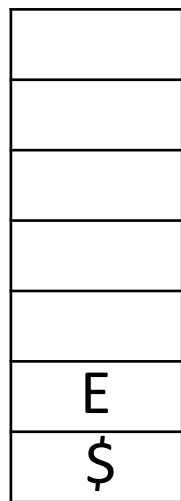
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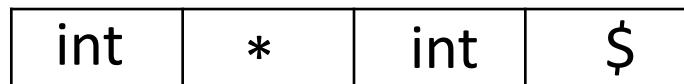
$$T \rightarrow intT' \mid (E)$$

$$T' \rightarrow *T \mid \varepsilon$$



stack

input



parser driver

table	int	*	+	(	)	\$
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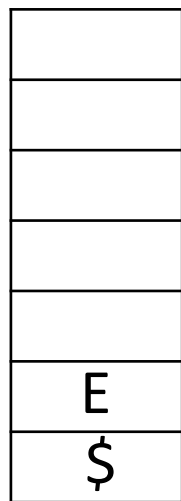
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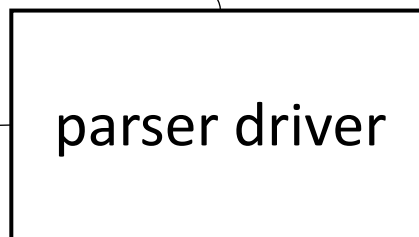


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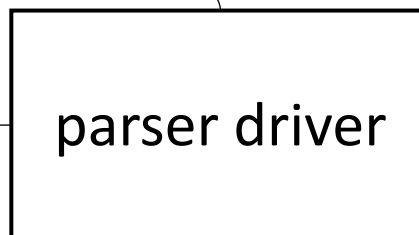
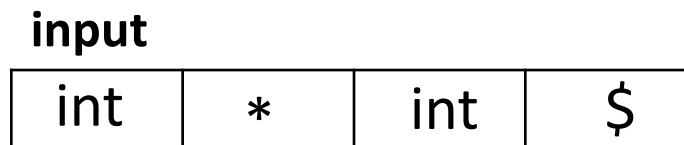
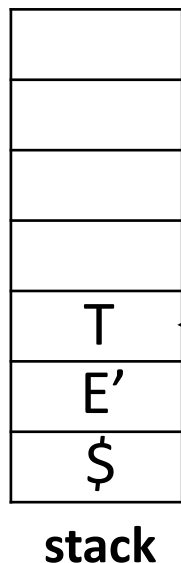


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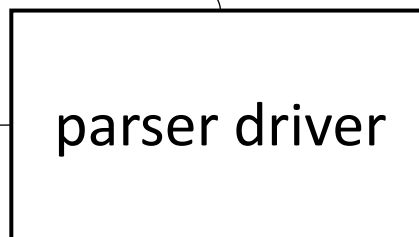
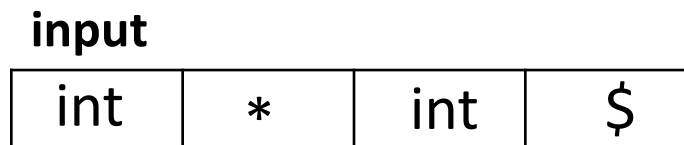
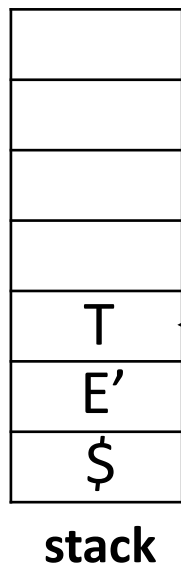


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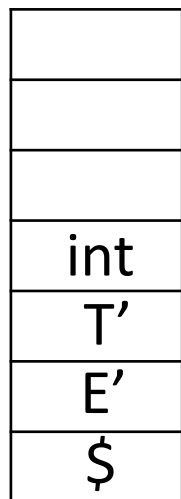
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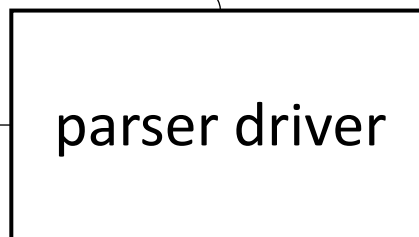


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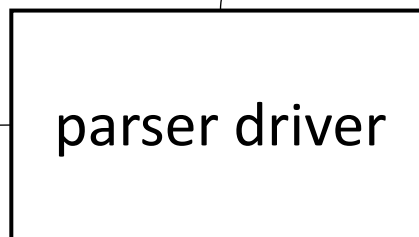
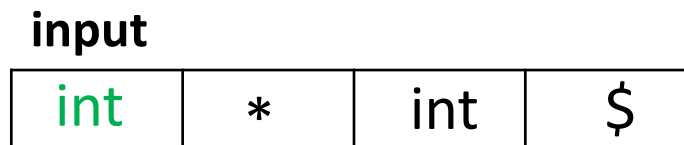
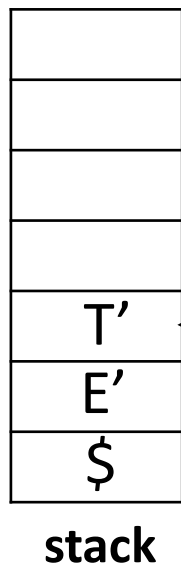


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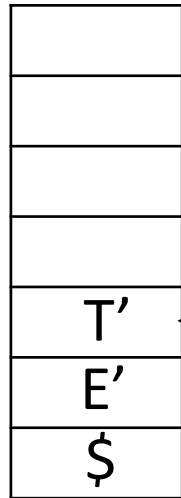
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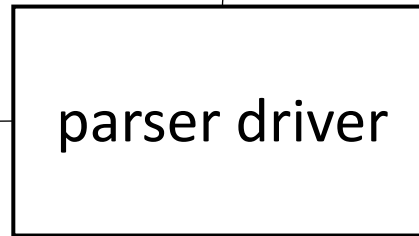
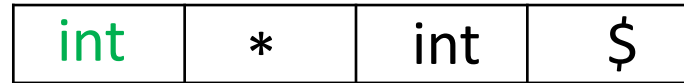


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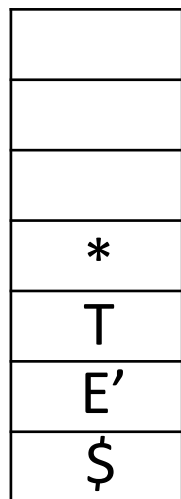
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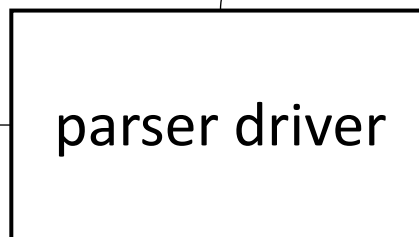
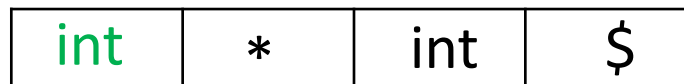


table	int	*	+	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow int T'$			$T \rightarrow (E)$		
T'		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

# Use the Parse Table

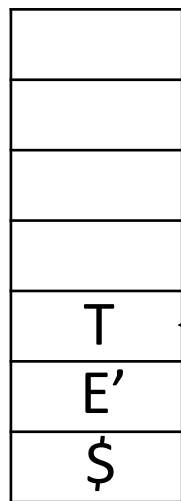
- To recognize “int \* int”

$$E \rightarrow TE'$$

$$E' \rightarrow +E \mid \epsilon$$

$$T \rightarrow intT' \mid (E)$$

$$T' \rightarrow *T \mid \epsilon$$



stack

input

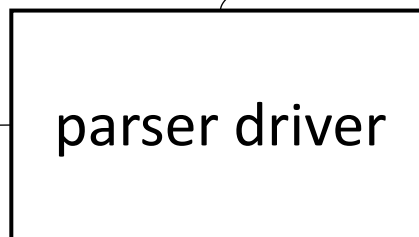


table	int	*	+	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow int T'$			$T \rightarrow (E)$		
T'		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

# Use the Parse Table

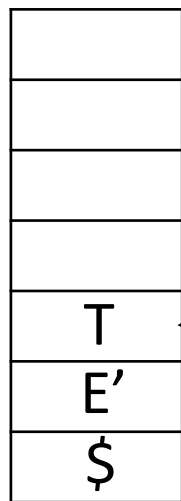
- To recognize “int \* int”

$$E \rightarrow TE'$$

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stack

input

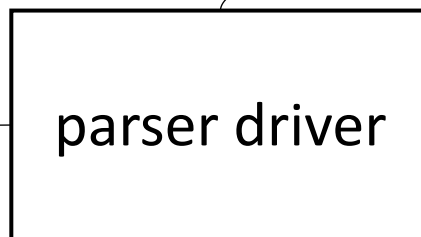


table	int	*	+	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow int T'$			$T \rightarrow (E)$		
T'		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

# Use the Parse Table

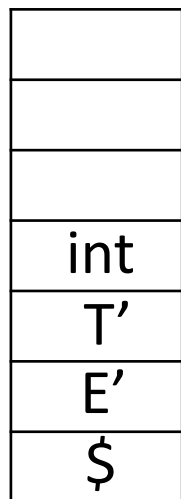
- To recognize "int \* int"

$$E \rightarrow TE'$$

$$E' \rightarrow +E \mid \epsilon$$

$$T \rightarrow intT' \mid (E)$$

$$T' \rightarrow *T \mid \epsilon$$



stack

input

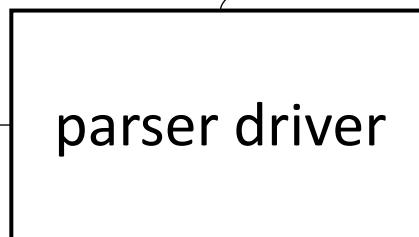


table	int	*	+	(	)	\$
<b>E</b>	$E \rightarrow TE'$			$E \rightarrow TE'$		
<b>E'</b>			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
<b>T</b>	$T \rightarrow int T'$			$T \rightarrow (E)$		
<b>T'</b>		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

# Use the Parse Table

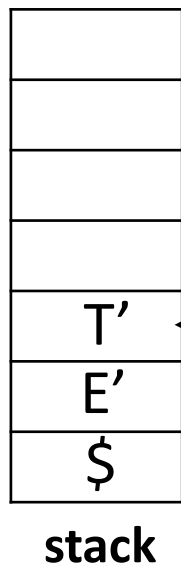
- To recognize "int \* int"

$$E \rightarrow TE'$$

$$E' \rightarrow +E \mid \epsilon$$

$$T \rightarrow intT' \mid (E)$$

$$T' \rightarrow *T \mid \epsilon$$



input

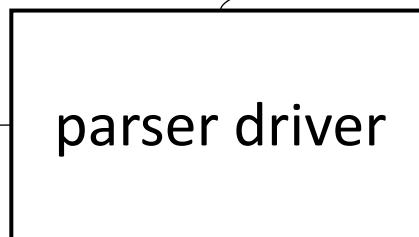


table	int	*	+	(	)	\$
<b>E</b>	$E \rightarrow TE'$			$E \rightarrow TE'$		
<b>E'</b>			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
<b>T</b>	$T \rightarrow int T'$			$T \rightarrow (E)$		
<b>T'</b>		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

# Use the Parse Table

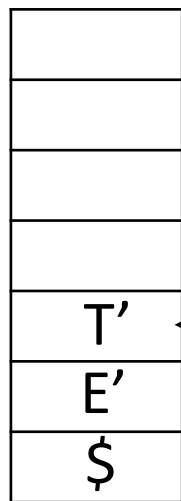
- To recognize "int \* int"

$$E \rightarrow TE'$$

$$E' \rightarrow +E \mid \epsilon$$

$$T \rightarrow intT' \mid (E)$$

$$T' \rightarrow *T \mid \epsilon$$



stack

input

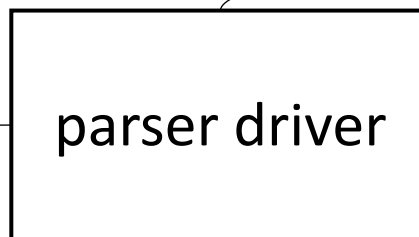
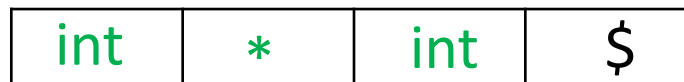


table	int	*	+	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow int T'$			$T \rightarrow (E)$		
T'		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

# Use the Parse Table

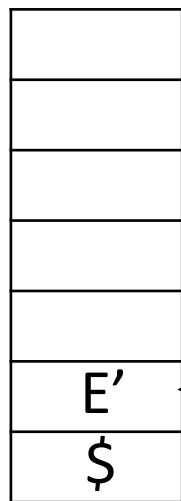
- To recognize "int \* int"

$$E \rightarrow TE'$$

$$E' \rightarrow +E \mid \epsilon$$

$$T \rightarrow intT' \mid (E)$$

$$T' \rightarrow *T \mid \epsilon$$



stack

input

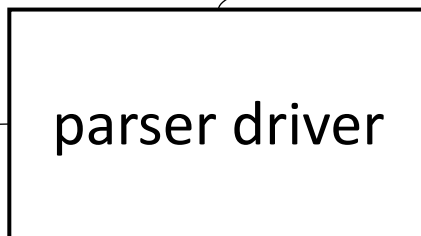
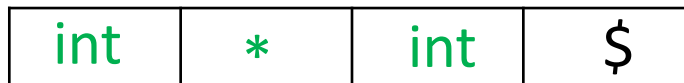


table	int	*	+	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow int T'$			$T \rightarrow (E)$		
T'		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

# Use the Parse Table

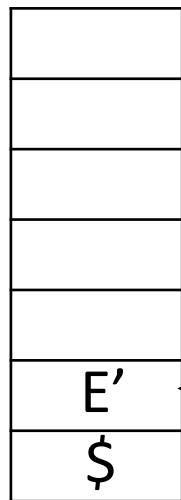
- To recognize "int \* int"

$$E \rightarrow TE'$$

$$E' \rightarrow +E \mid \epsilon$$

$$T \rightarrow intT' \mid (E)$$

$$T' \rightarrow *T \mid \epsilon$$



stack

input

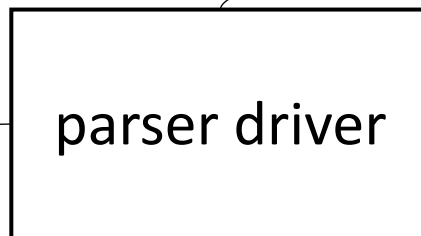
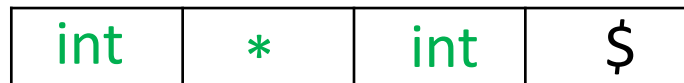


table	int	*	+	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow int T'$			$T \rightarrow (E)$		
T'		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$



# Use the Parse Table

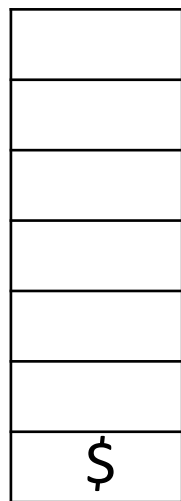
- To recognize “int \* int”

$$E \rightarrow TE'$$

$$E' \rightarrow +E \mid \epsilon$$

$$T \rightarrow intT' \mid (E)$$

$$T' \rightarrow *T \mid \epsilon$$



stack

input

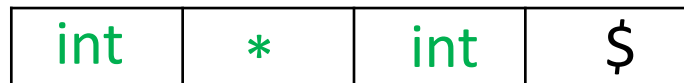


table	int	*	+	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
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T'		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

# Use the Parse Table

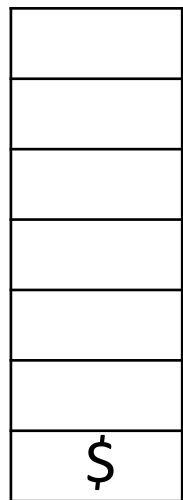
- To recognize "int \* int"

$$E \rightarrow TE'$$

$$E' \rightarrow +E \mid \epsilon$$

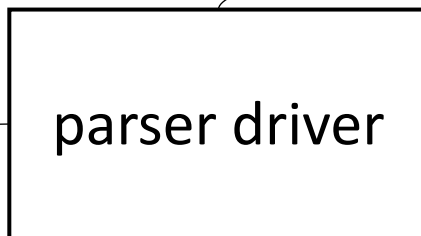
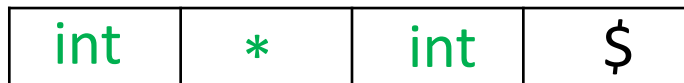
$$T \rightarrow intT' \mid (E)$$

$$T' \rightarrow *T \mid \epsilon$$



stack

input



**ACCEPT!**

table	int	*	+	(	)	\$
<b>E</b>	$E \rightarrow TE'$			$E \rightarrow TE'$		
<b>E'</b>			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
<b>T</b>	$T \rightarrow int T'$			$T \rightarrow (E)$		
<b>T'</b>		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

# Recognize Sequence[解析过程]

Matched	Stack (unmatched)	Input	Action
	E \$	int * int \$	$E \rightarrow TE'$
	T E' \$	int * int \$	$T \rightarrow int T'$
int	int T' E' \$	int * int \$	match
int	T' E' \$	* int \$	$T' \rightarrow *T$
int	* T E' \$	* int \$	match
int *	T E' \$	int \$	$T \rightarrow int T'$
int *	int T' E' \$	int \$	match
int * int	T' E' \$	\$	$T' \rightarrow \epsilon$
int * int	E' \$	\$	$E' \rightarrow \epsilon$
int * int	\$	\$	Halt-accept

$E \rightarrow TE'$

$E' \rightarrow +E \mid \epsilon$

$T \rightarrow intT' \mid (E)$

$T' \rightarrow *T \mid \epsilon$

Input: int \* int

- 'Matched + Stack' constructs the sentential form[句型]
- Actions correspond to productions in leftmost derivation

# LL(1) Parse Table: Example

table	int	*	+	(	)	\$
<b>E</b>	$E \rightarrow TE'$			$E \rightarrow TE'$		
<b>E'</b>			$E' \rightarrow +E$		$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
<b>T</b>	$T \rightarrow \text{int } T'$			$T \rightarrow (E)$		
<b>T'</b>		$T' \rightarrow *T$	$T' \rightarrow \epsilon$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

$E \rightarrow TE'$   
 $E' \rightarrow +E \mid \epsilon$   
 $T \rightarrow \text{int}T' \mid (E)$   
 $T' \rightarrow *T \mid \epsilon$

- Implementation with 2D parse table

- **First column** (each row) lists all non-terminals in the grammar
  - I.e., leftmost non-terminal in derivation
- **First row** (each col) lists all possible terminals in the grammar and '\$'
  - I.e., next input token
- A **table entry** contains one production
  - One action for each <non-terminal, input> combination
  - It “predicts” the correct action based on one lookahead
  - No backtracking required